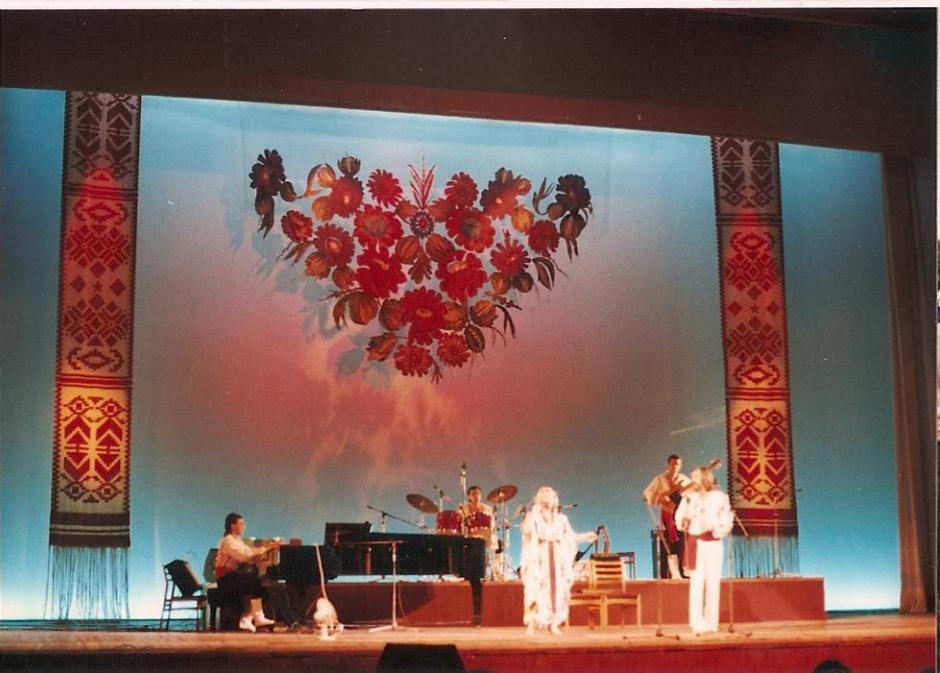


# Kiev 1988



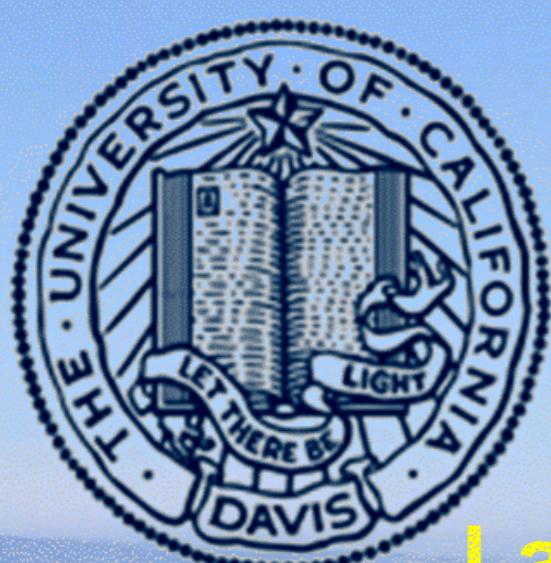
# *Extreme Photoemission: Soft and Hard X-Ray Photoemission and ARPES with Standing-Wave Excitation*



*Informal group meeting to discuss new results*

Gruppo

# *Extreme Photoemission: Soft and Hard X-Ray Photoemission and ARPES with Standing-Wave Excitation*



Chuck Fadley  
Dept. of Physics, UC Davis  
and

Materials Sciences Division  
Lawrence Berkeley National Laboratory



Supported by:

DOE: LBNL Materials Sciences Division

*“Nanoscale Magnetic Materials”*

ARO-Multi-University Research Initiative:

*“Emergent Phenomena at Mott Oxide Interfaces”*

Peter Grünberg Institute, PGI 9, Jülich Research Center

Humboldt Foundation, Germany

Soleil Synchtron-APTCOM Project

V. V. Nemoshkalenko Memorial Conference and Workshop

# Group Members and Some Close Collaborators

Alex Gray → Stanford

Catherine

Bordel  
(UCB)

Albert Greer

Daria  
Eiteneer

Giuseppina  
Conti

Armela  
Perona

Alexander Kaiser → SPECS

Jeff

Claus Kortright  
Schneider (LBNL)  
(Jülich)

Zoe  
Boekelheide  
(UCB → NIST)

Peter  
Fischer  
(LBNL)  
&  
Clara

Alexander  
Saw

Not pictured:

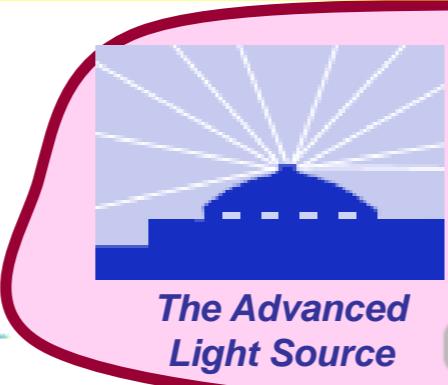
Frances Hellman (UCB)

Postdocs: Slavo Nemsak, Gunnar Palsson

Grads: Arunothai Rattanachata, Catherine Conlon

Undergrads: Jonathan Marbey, Xiaolan Sun

# Other Institutions and Collaborators



SPring-8



## Experiments/Data Analysis

A. Gray<sup>1,2</sup>, A. Kaiser<sup>1,2,&</sup>, G. Conti<sup>1,2</sup>, C. Papp<sup>1,2,\*</sup>, B. Balke<sup>1,2,+</sup>, S. Ueda<sup>3,4</sup>, Y. Yamashita<sup>3,4</sup>, K. Kobayashi<sup>3,4</sup>, M. Gorgoi<sup>5</sup>, S.-H. Yang<sup>6</sup>, L. Plucinski<sup>7</sup>, S. Döring<sup>8</sup>, U. Berges<sup>8</sup>, M. Huijben<sup>9,10</sup>, D. Buergler<sup>7</sup>, F. Hellman<sup>2,11</sup>, E. Rotenberg<sup>12</sup>, A. Bostwick<sup>12</sup>, J. Minar<sup>13</sup>, J. Braun<sup>13</sup>, H. Ebert<sup>13</sup>, P. Krüger<sup>14</sup>, J. Fujii<sup>15</sup>, G. Panaccione<sup>15</sup>, C. Caspers<sup>7</sup>, M. Mueller<sup>7</sup>, B.C. Sell<sup>1,2,#</sup>, M. W. West<sup>2</sup>, M. Press<sup>2</sup>, F. Salmassi<sup>2</sup>, J.B. Kortright<sup>2</sup>, E. Gullikson<sup>2</sup>, S.S.P. Parkin<sup>6</sup>, A. Gloskovskii<sup>16</sup>, W. Drube<sup>16</sup>, F. Kronast<sup>5</sup>, C. Westphal<sup>8</sup>, V. Strocov<sup>18</sup>, M. Kobayashi<sup>18</sup>, J.-P. Rueff<sup>19</sup>, C.M. Schneider<sup>7</sup>, R. Ramesh<sup>2,9,11</sup>, J. Son<sup>17</sup>, P. Moetakef<sup>17</sup>, S. Stemmer<sup>17</sup>, A. Janotti<sup>17</sup>, C. Van der Welle<sup>17</sup>, R. Pentcheva<sup>20</sup>

## Sample Synthesis/Charac.

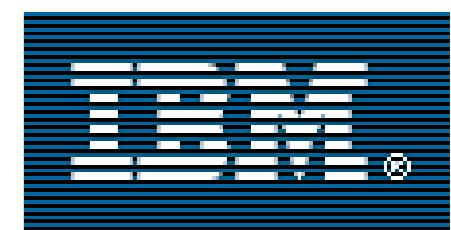
## Theory/Modeling



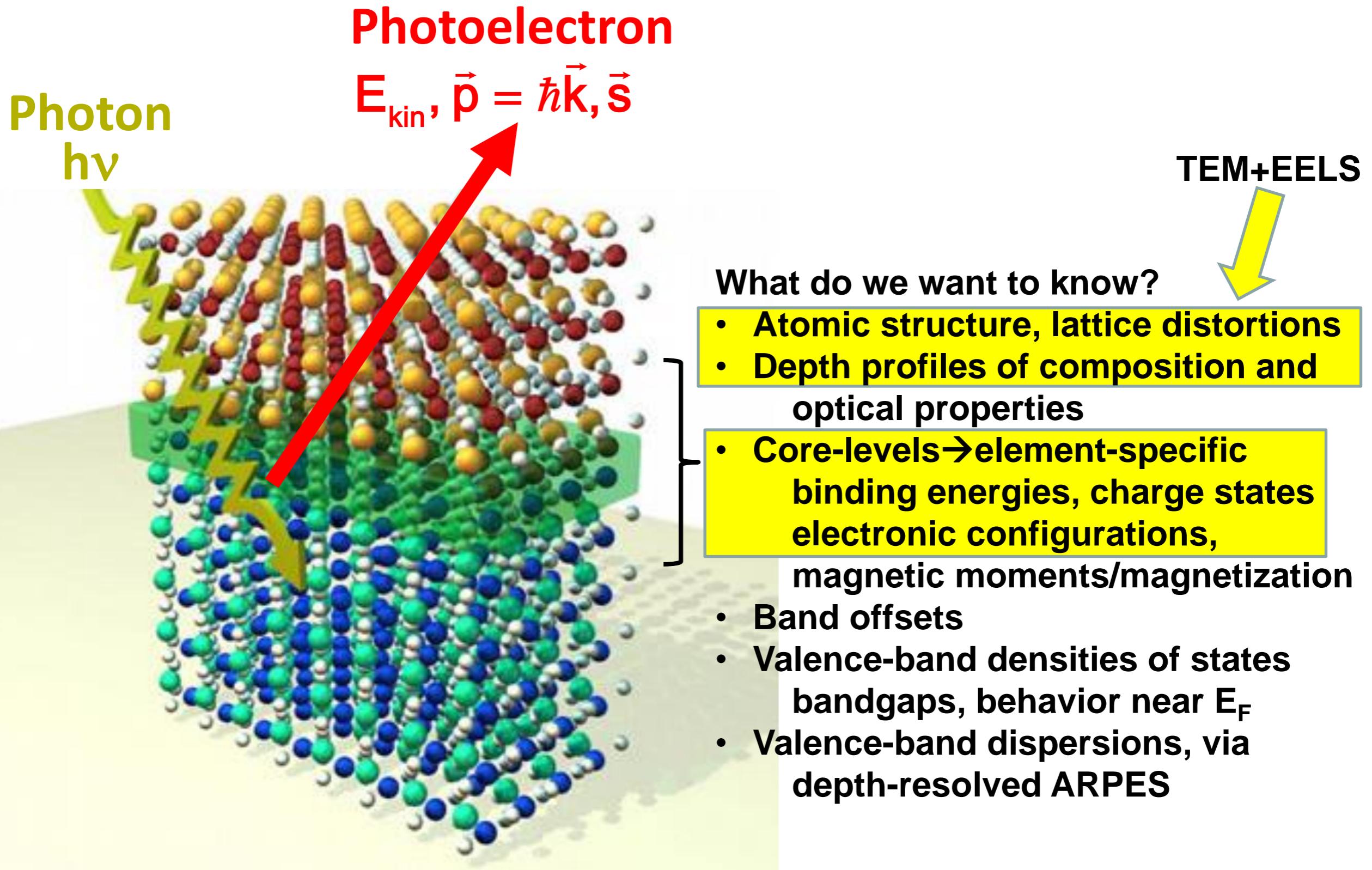
Julich  
Research  
Center

UNIVERSITY OF TWENTE

<sup>1</sup>Physics, UC Davis; <sup>2</sup>Mat. Sci. Div., LBNL; <sup>3</sup>SPring-8; <sup>4</sup>NIMS; <sup>5</sup>HZB-BESSY Berlin; <sup>6</sup>IBM Almaden; <sup>7</sup>Research Center Jülich; <sup>8</sup>Physics, Tech. Univ. Dortmund; Physics; <sup>9</sup>Mat. Sci., UC Berkeley; <sup>10</sup>Univ. of Twente; <sup>11</sup>Physics, UC Berkeley; <sup>12</sup>ALS, LBNL; <sup>13</sup>Phys. Chem., Univ. Munich; <sup>14</sup>Univ. Bourgogne, Dijon; <sup>15</sup>TASC, Trieste; <sup>16</sup>Hasylab, Hamburg; <sup>17</sup>UC Santa Barbara; <sup>18</sup>SLS; <sup>19</sup>Soleil; <sup>20</sup>Earth and Enviro. Science, Univ. Munich; Pres. address: &SPECS; \*Univ. Erlangen; +Univ. Mainz; #Wright-Patterson AFB



# *Photoemission from complex bulk materials, buried layers, interfaces*



## ***Photoemission in complex heterostructures and materials***

Core photoemission → XPS, X-ray photoelectron diffraction-XPD,...

Valence photoemission →

Higher energy a/o temperature → Densities of states-DOSs

Lower energy a/o temperature → Band mapping, Angle-resolved photoemission-ARPES

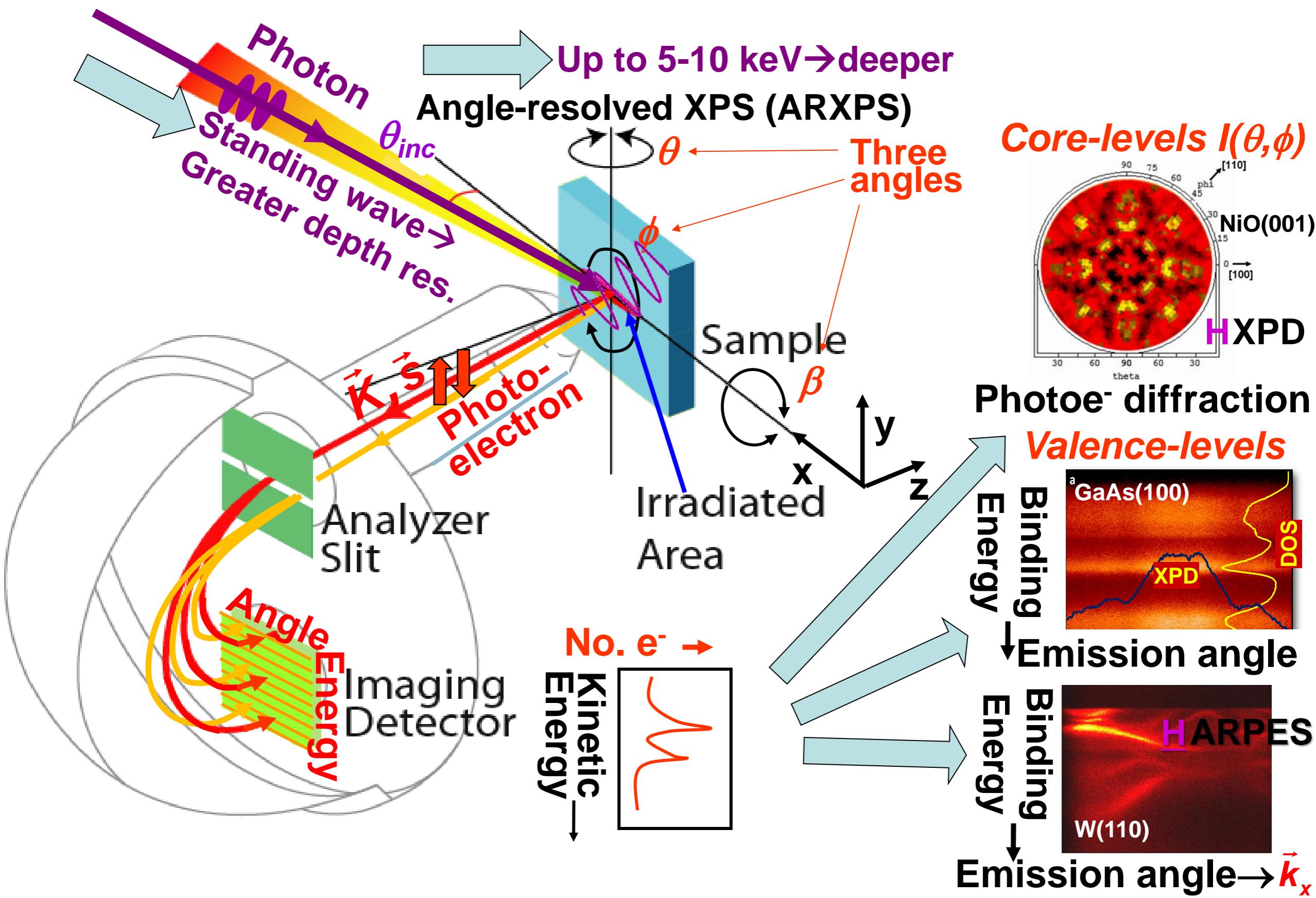
are very powerful techniques, but they:

- are sometimes too strongly influenced by surface effects, if bulk or buried layer/interface properties are to be studied
- may not be able to selectively and quantitatively see bulk, buried-layer or interface properties

**Two ways to address these limitations:**

- use of harder x-ray excitation (HAXPES, HXPS) for deeper probing: core (HXPD) and valence DOSs or hard x-ray ARPES or “HARPES”
- use of soft and hard x-ray standing waves to selectively look below the surface, including ARPES

# X-ray photoemission: some key elements



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Core photoemission → XPS, X-ray photoelectron diffraction-XPD,...

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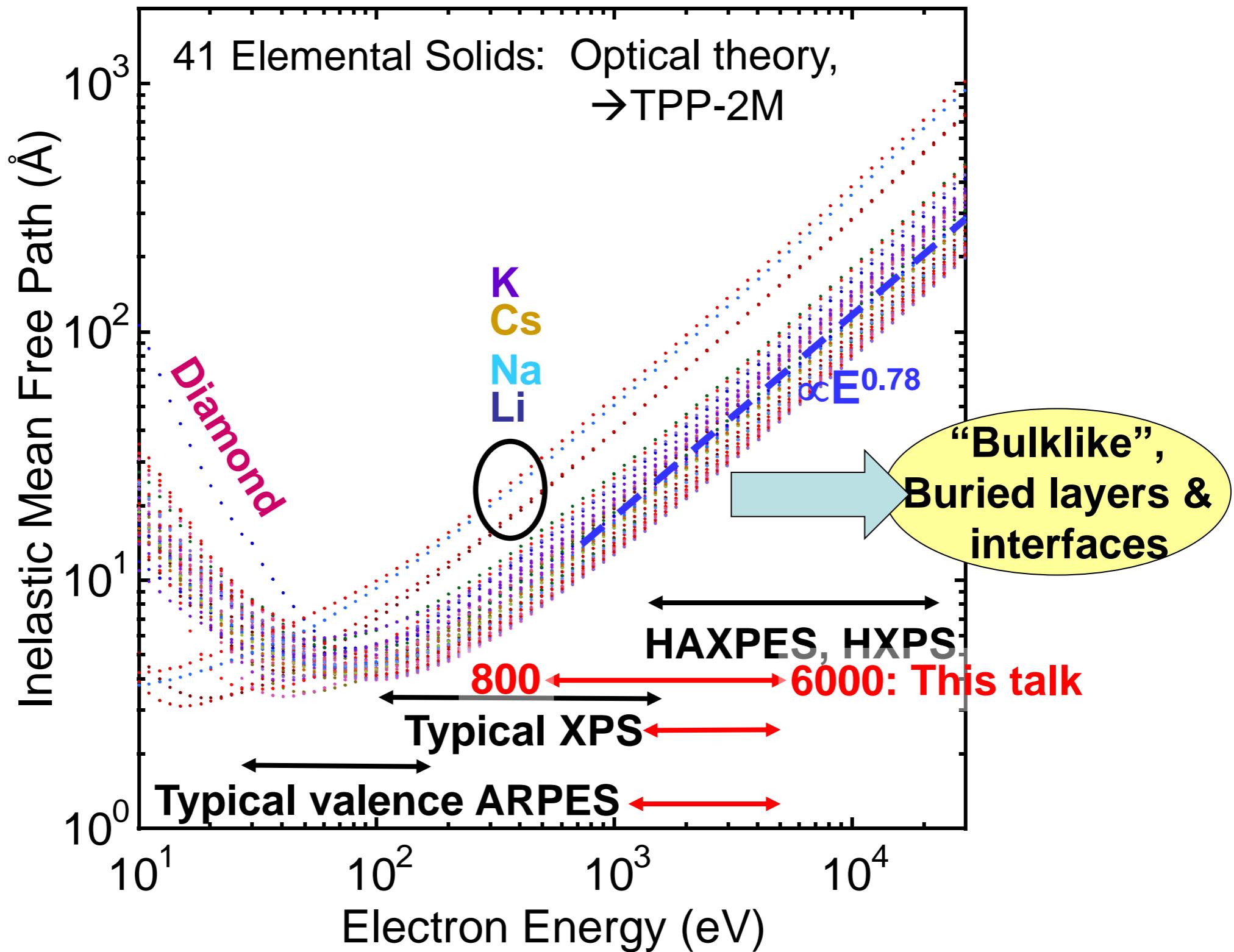
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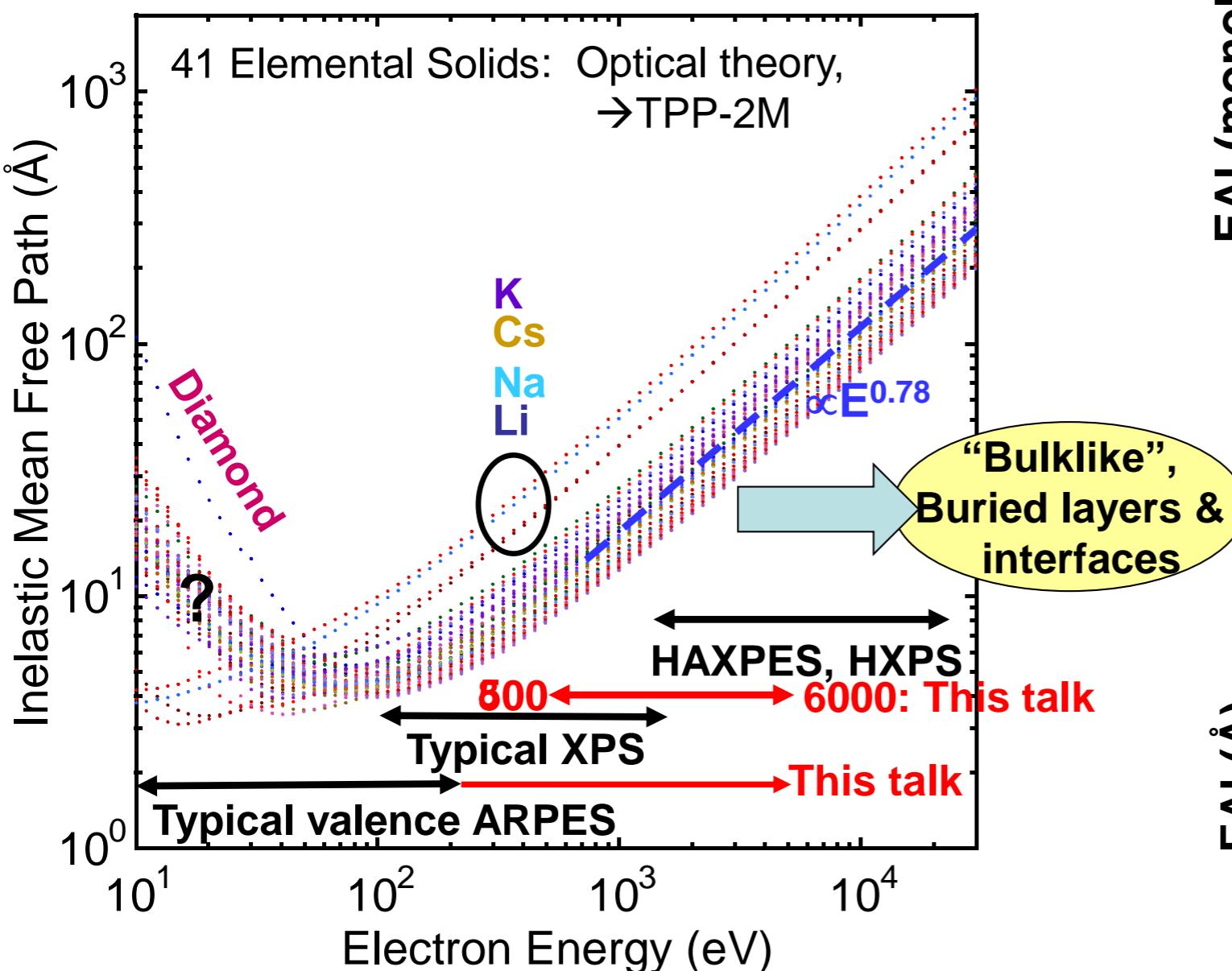
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# Why do we want to go to 5-10 keV in XPS?

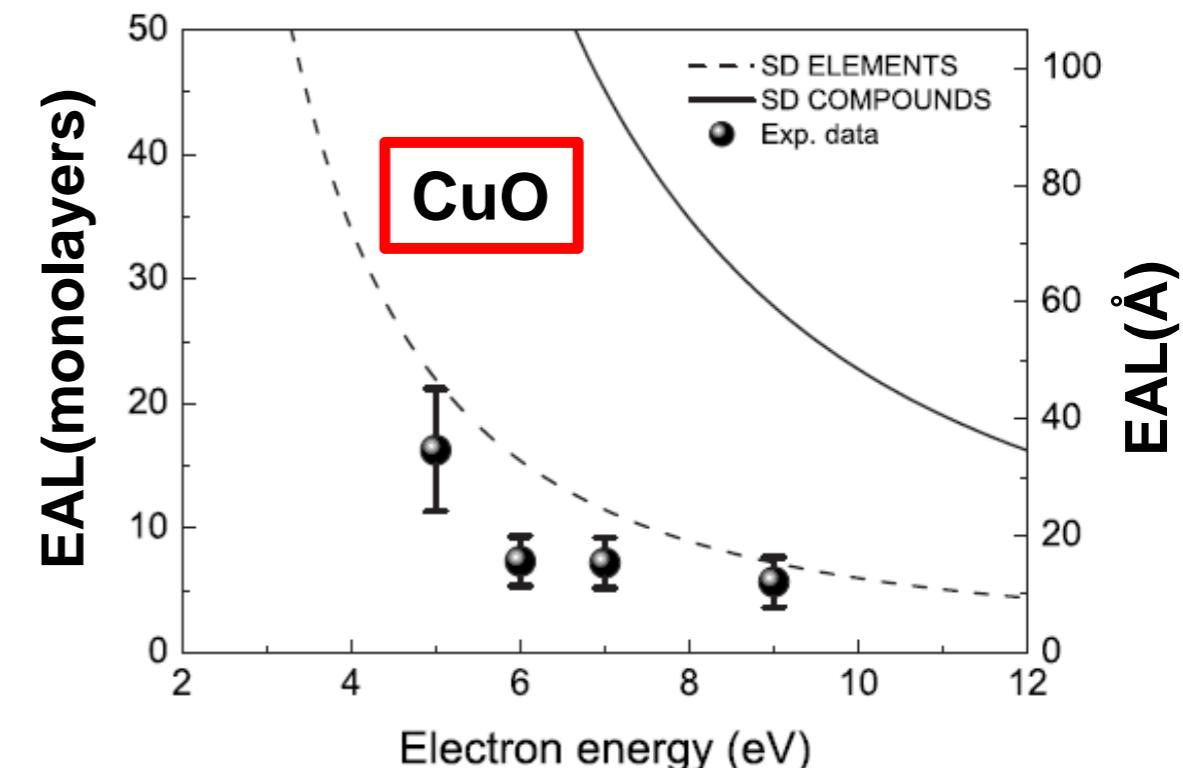


## Why do we want to go to 5-10 keV in XPS?

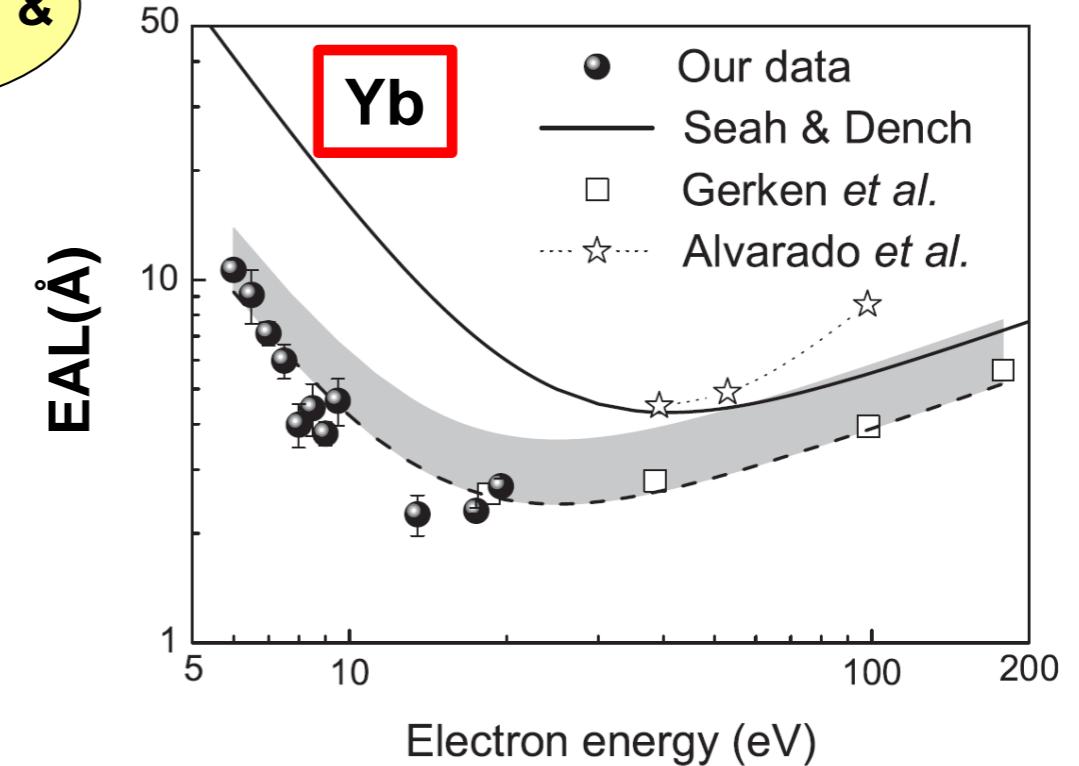


Tanuma, Powell, Penn, Surf. and Interf. Anal. 43, 689 (2011)

→The only certain way to obtain more bulk sensitivity



Offi et al., PRB 77, 201101R (2008)



Offi et al., J. Phys.: Cond. Matt. 22 (2010) 305002

# Hard X-Ray Photoemission (HXPS, HAXPES, HX-PES, HIKE...) in the World

## Past workshops:

HAXPES03, ESRF--Nucl. Inst. and Meth. A, Volume 547, Issue 1, Pages 1-238 (2005)

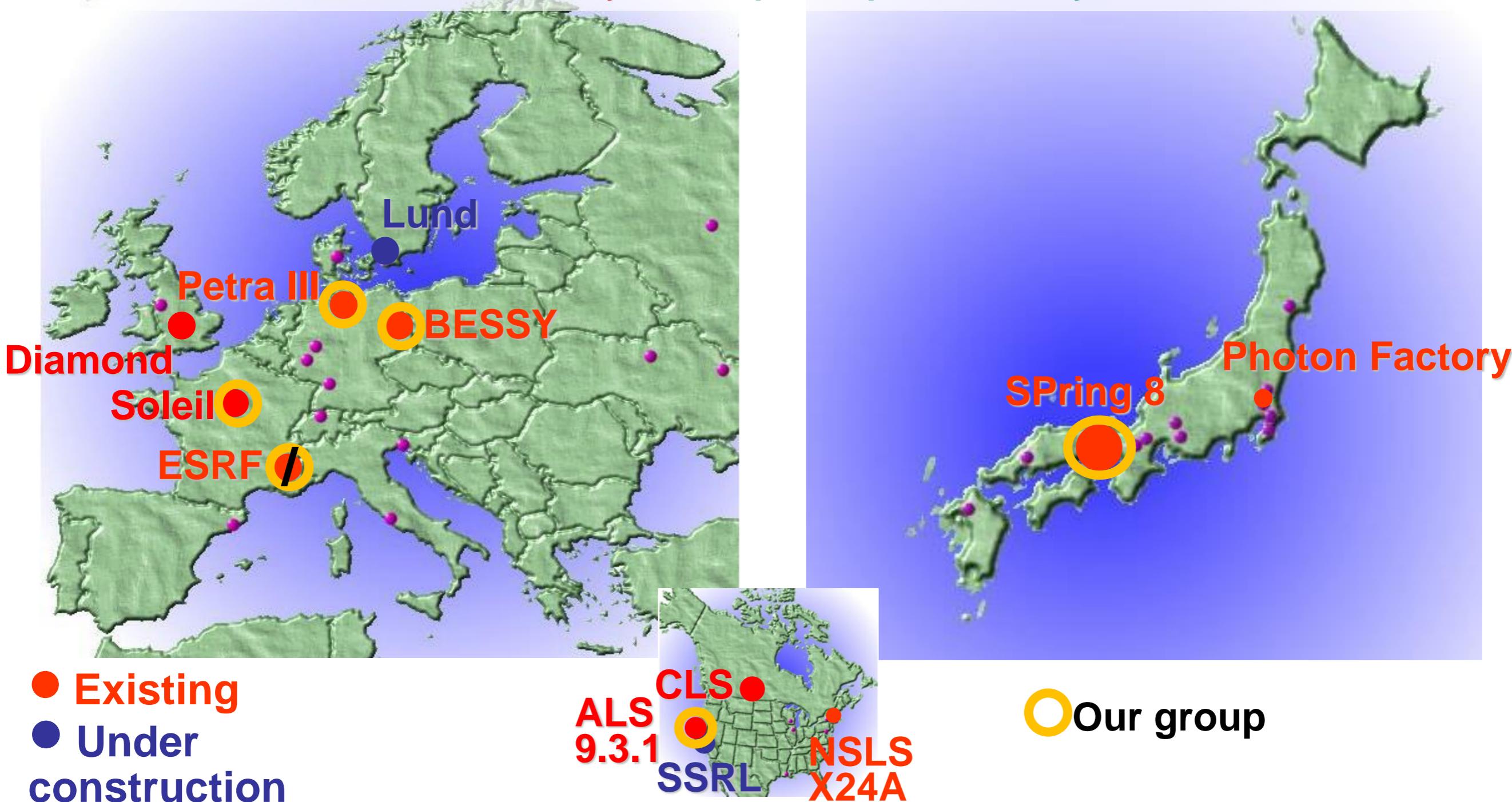
HAXPES06, SPring8-- <http://haxpes2006.spring8.or.jp/program.html>

HAXPES-ALS User Meeting--

<http://ssg.als.lbl.gov/ssgdirectory/fedorov/workshops/index.html>

HAXPES09-NSLS-- <http://www.nsls.bnl.gov/newsroom/events/workshops/2009/haxpes/>

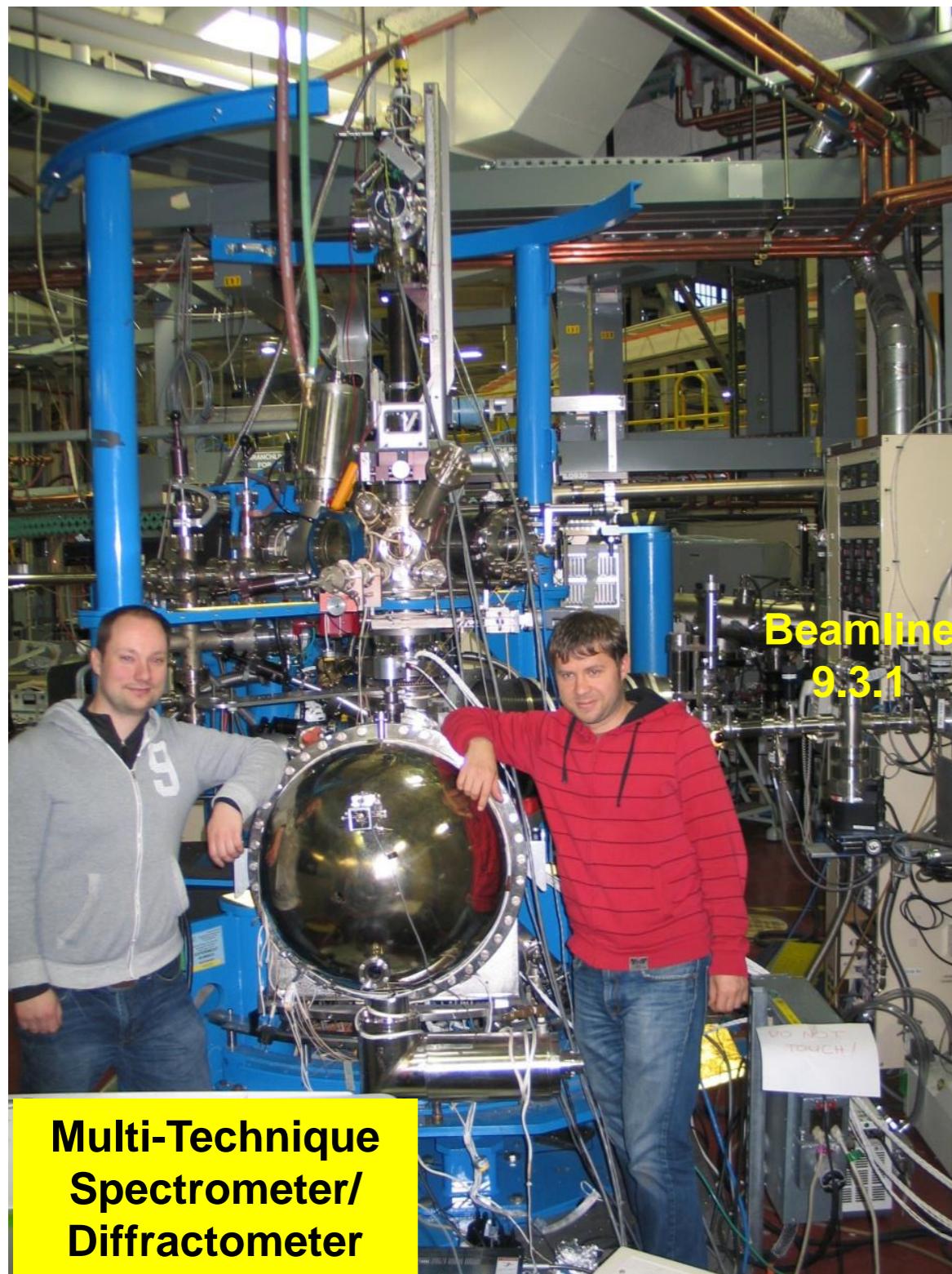
HAXPES11-Hasylab-- <http://haxpes2011.desy.de>



## References to prior papers on hard x-ray photoemission systems at other facilities:

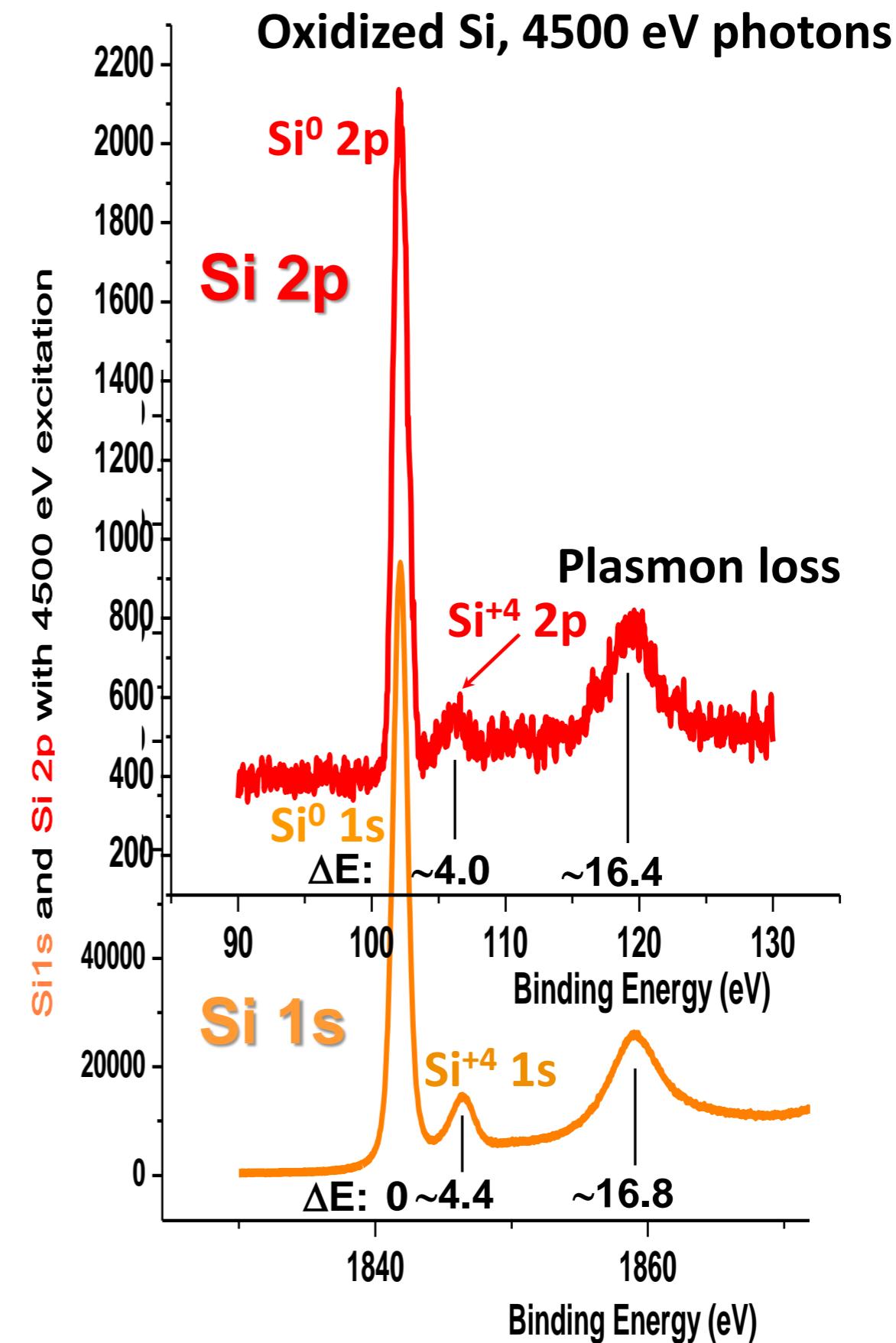
1. "X-Ray Photoelectron Spectroscopy and Diffraction in The Hard X-Ray Regime: Fundamental Considerations and Future Possibilities", C. S. Fadley, Nuclear Instruments and Methods A 547, 24-41 (2005), review in special issue edited by J. Zegenhagen and C. Kunz [—overview](#).
2. "Bulk electronic properties of the bilayered manganite  $\text{La}_{1.2}\text{Sr}_{1.8}\text{Mn}_2\text{O}_7$  from hard-x-ray photoemission", F. Offi, P. Torelli, M. Sacchi, P. Lacovig, A. Fondacaro, G. Paolicelli, S. Huotari, G. Monaco, C.S. Fadley, J.F. Mitchell, G. Stefani, and G. Panaccione, Phys. Rev. B 75, 014422 (2007) [—ESRF](#).
3. "Temperature-dependent electronic structure of the colossal magnetoresistive manganite  $\text{La}_{0.7}\text{Sr}_{0.3}\text{MnO}_3$  from hard x-ray photoemission", F. Offi, N. Mannella, T. Pardini, G. Panaccione, A. Fondacaro, P. Torelli, M.W. West, J.F. Mitchell, and C.S. Fadley, Phys. Rev. B 77, 174422 (2008) [—ESRF](#).
4. "High energy photoelectron diffraction: model calculations and future possibilities", A. Winkelmann, J. Garcia de Abajo and C.S. Fadley, New J. Phys. 10, 113002 (2008) [—theoretical study](#).
5. "Interface properties of magnetic tunnel junction  $\text{La}_{0.7}\text{Sr}_{0.3}\text{MnO}_3/\text{SrTiO}_3$  superlattices studied by standing-wave excited photoemission spectroscopy", A. X. Gray, C. Papp, B. Balke, S.-H. Yang, M. Huijben, E. Rotenberg, A. Bostwick, S. Ueda, Y. Yamashita, K. Kobayashi, E. M. Gullikson, J. B. Kortright, F. M. F. de Groot, G. Rijnders, D. H. A. Blank, R. Ramesh, and C. S. Fadley, Phys. Rev. B 82, 205116 (2010) [—SPring-8 and ALS](#).
6. "Band Gap and Electronic Structure of an Epitaxial, Semiconducting  $\text{Cr}_{0.80}\text{Al}_{0.20}$  Thin Film", Z. Boekelheide, A. X. Gray, C. Papp, B. Balke, D. A. Stewart, S. Ueda, K. Kobayashi, F. Hellman, and C. S. Fadley, Phys. Rev. Letters 105, 236404 (2010).
7. "X-ray Photoelectron Spectroscopy : Progress and Perspectives", C.S. Fadley, invited review, Journal of Electron Spectroscopy and Related Phenomena 178–179, 2 (2010), 30 pp., 35 figs [—review](#), with ESRF, BESSY, and SPring-8 results discussed.
8. "Hard x-ray photoemission using standing-wave excitation applied to the  $\text{MgO}/\text{Fe}$  interface", Sven Döring, Frank Schönbohm, Ulf Berges, Reinert Schreiber, Daniel E. Bürgler, Claus M. Schneider, Mihaela Gorgoi, Franz Schäfers, Christian Papp, Benjamin Balke, Charles S. Fadley, Carsten Westphal, Phys. Rev. B 83, 165444 (2011) [—BESSY](#).
9. "Hard X-ray Photoemission Study of Near-Heusler  $\text{Fe}_x\text{Si}_{1-x}$  Alloys", A. X. Gray, J. Karel, J. Minar, C. Bordel, H. Ebert, J. Braun, S. Ueda, Y. Yamashita, L. Ouyang, D. J. Smith, K. Kobayashi, F. Hellman, and C. S. Fadley, Phys. Rev. B 83, 195112 (2011) [—SPring-8](#).
10. "Insulating State of Ultrathin Epitaxial  $\text{LaNiO}_3$  Thin Films Detected by Hard X-ray Photoemission", A. X. Gray, A. Janotti, J. Son, J. M. LeBeau, S. Ueda, Y. Yamashita, K. Kobayashi, A. M. Kaiser, C. G. Van de Walle, S. Stemmer, and C. S. Fadley, Phys. Rev. B, 84, 075104 (2011) [—SPring-8](#).
11. "Probing bulk electronic structure with hard X-ray angle-resolved photoemission", A. X. Gray, C. Papp, S. Ueda, B. Balke, Y. Yamashita, L. Plucinski, J. Minár, J. Braun, E. R. Ylvisaker, C. M. Schneider, W. E. Pickett, H. Ebert, K. Kobayashi and C. S. Fadley, Nature Materials 10, 759 (2011); see also companion News and Views article: D. L. Feng, Nature Materials 10, 729-730 (2011) [—SPring-8](#).
12. "Identification of different electron screening behavior between bulk and surface of  $(\text{Ga},\text{Mn})\text{As}$  as detected by soft and hard x-ray photoemission", J. Fujii, M. Sperl, S. Ueda, K. Kobayashi, Y. Yamashita, M. Kobata, P. Torelli, F. Borgatti, M. Utz, C.S. Fadley, A. Gray, G. Monaco, C.H. Back, G. van der Laan, and G. Panaccione, Phys. Rev. Letters 107, 187203 (2011) [—SPring-8](#).
13. "Chemical Stability of the Magnetic Oxide  $\text{EuO}$  directly on Silicon observed by Hard X-ray Photoemission Spectroscopy", C. Caspers, M. Müller, A. X. Gray, A. M. Kaiser, A. Gloskovskii, C. S. Fadley, W. Drube, and C. M. Schneider, Phys. Rev. B 84, 205217 (2011) [—Petra III](#).
14. "Electronic structure of  $\text{EuO}$  spin filter tunnel contacts directly on silicon", C. Caspers, M. Müller, A. X. Gray, A. M. Kaiser, A. Gloskovskii, C. S. Fadley, W. Drube, and C. M. Schneider, Phys. Status Solidi, Rapid Research Letters, 5 , 441 (2011) [—Petra III](#).
15. "Electronic Structure Changes across the Metamagnetic Transition in  $\text{Fe}_{0.50}\text{Rh}_{0.50}$ ", A. X. Gray, D. W. Cooke, P. Krüger, C. Bordel, A. M. Kaiser, S. Ueda, Y. Yamashita, C.M. Schneider, K. Kobayashi, F. Hellman, and C. S. Fadley, Phys. Rev. Letters 108, 257208 (2012)—SPring-8.
16. "Non-destructive investigation of delta-doped  $\text{La}:\text{SrTiO}_3$ -layers by hard x-ray photoelectron spectroscopy", A. M. Kaiser, A. X. Gray, G. Conti, B. Jalan, A. Kajdos, A. Gloskovskii, S. Ueda, Y. Yamashita, K. Kobayashi, W. Drube, S. Stemmer, and C. S. Fadley, Applied Phys. Letters 100, 261603 (2012)—SPring-8 and Petra III.
17. "Observation of boron diffusion in an annealed  $\text{Ta}/\text{CoFeB}/\text{MgO}$  magnetic tunnel junction with standing-wave hard x-ray photoemission", A.A. Greer, A. X. Gray, S. Kanai, A. M. Kaiser, S. Ueda, Y. Yamashita, C. Bordel, G. Palsson, N. Maejima, S.-H. Yang, G. Conti, K. Kobayashi, S. Ikeda, F. Matsukura, H. Ohno, C. M. Schneider, J. B. Kortright, F. Hellman, and C. S. Fadley, Appl. Phys. Letters 101, 202402 (2012). [—Spring-8](#)
18. "Bulk Electronic Structure of the Dilute Near-Ferromagnetic Semiconductor  $\text{Ga}_{1-x}\text{Mn}_x\text{As}$  via Hard X-Ray Angle-Resolved Photoemission" A. X. Gray, J. Minar, S. Ueda, P. R. Stone, Y. Yamashita, J. Fujii, J. Braun, L. Plucinski, C. M. Schneider, G. Panaccione, H. Ebert, O. D. Dubon, K. Kobayashi, and C. S. Fadley, Nature Materials 11, 957 (2012).—SPring-8
19. "Nondestructive characterization of a TiN metal gate: chemical and structural properties by means of standing-wave hard x-ray photoemission spectroscopy", C. Papp, G. Conti, B. Balke, S. Ueda, Y. Yamashita, H. Yoshikawa, S.L. He, C. Sakai, Y.S. Uritsky, K. Kobayashi, J.B. Kortright, C.S. Fadley, Journal of Applied Physics 112, 114501 (2012).
20. "Looking Deeper: Angle-Resolved Photoemission with Soft and Hard X-rays", Charles S. Fadley, Synchrotron Radiation News 25, 26 (2012)-Spring-8
21. "Hard X-ray Photoemission with Angular Resolution and Standing-Wave Excitation", C. S. Fadley, invited review, Journal of Electron Spectroscopy, to appear—BESSY, SPring-8 and Petra III

# Hard X-Ray Photoemission at the LBNL Advanced Light Source—First Results, March, 2012

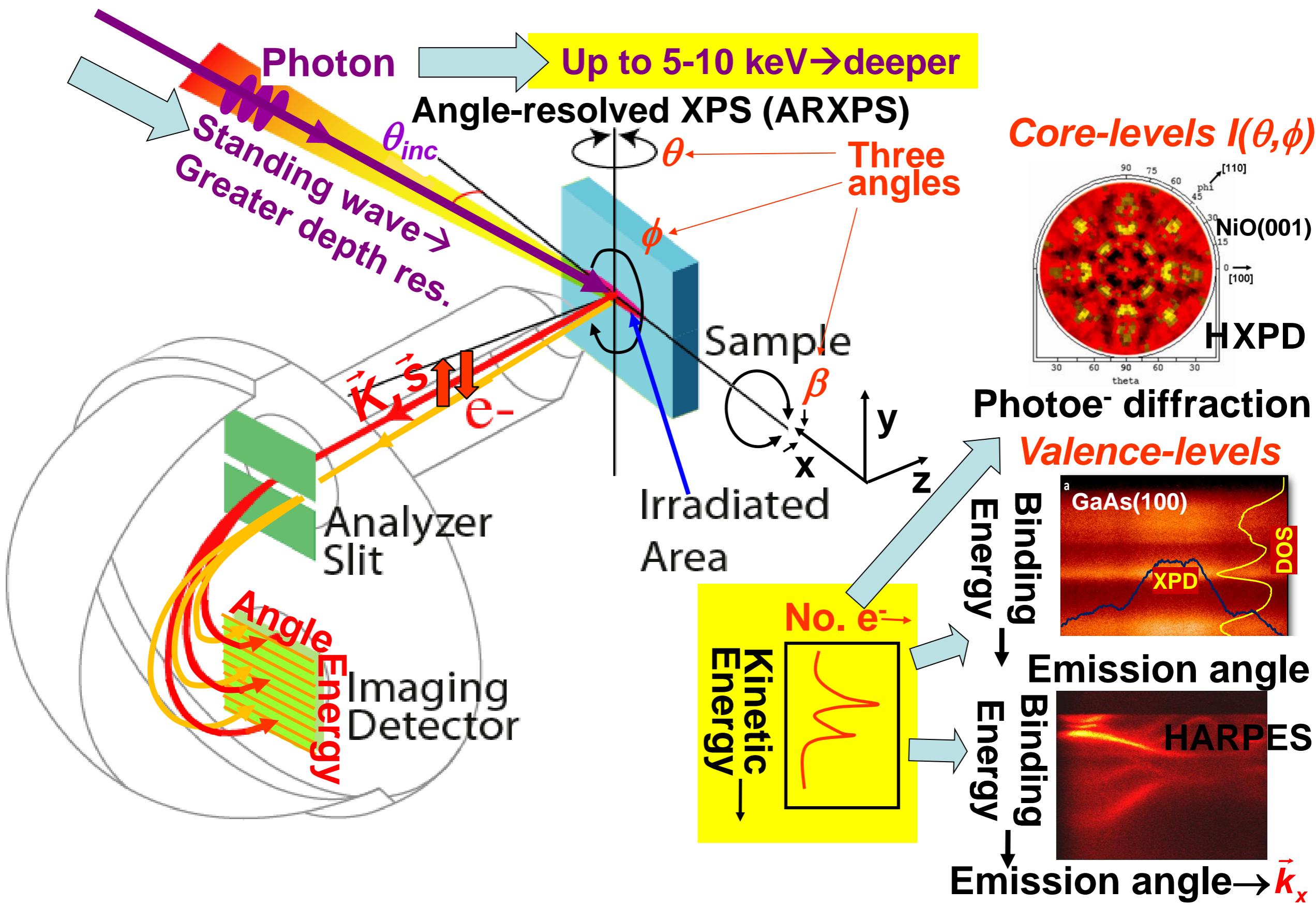


Gunnar Palsson

Slavomir Nemsak



# X-ray photoemission: some key elements



# Hard x-rays, soft x-rays, and standing waves: Some example studies

6.0 keV

CrAl, FeRh:

Core-level fine structure and density of states measurements of  
bulk materials

3.2 &  
6 keV

W; GaAs,  $\text{Ga}_{1-x}\text{Mn}_x\text{As}$ :

SPring8  
Petra III

Core-level fine structure and angle-resolved photoemission  
How high can we go in energy? → Bulk electronic structure!

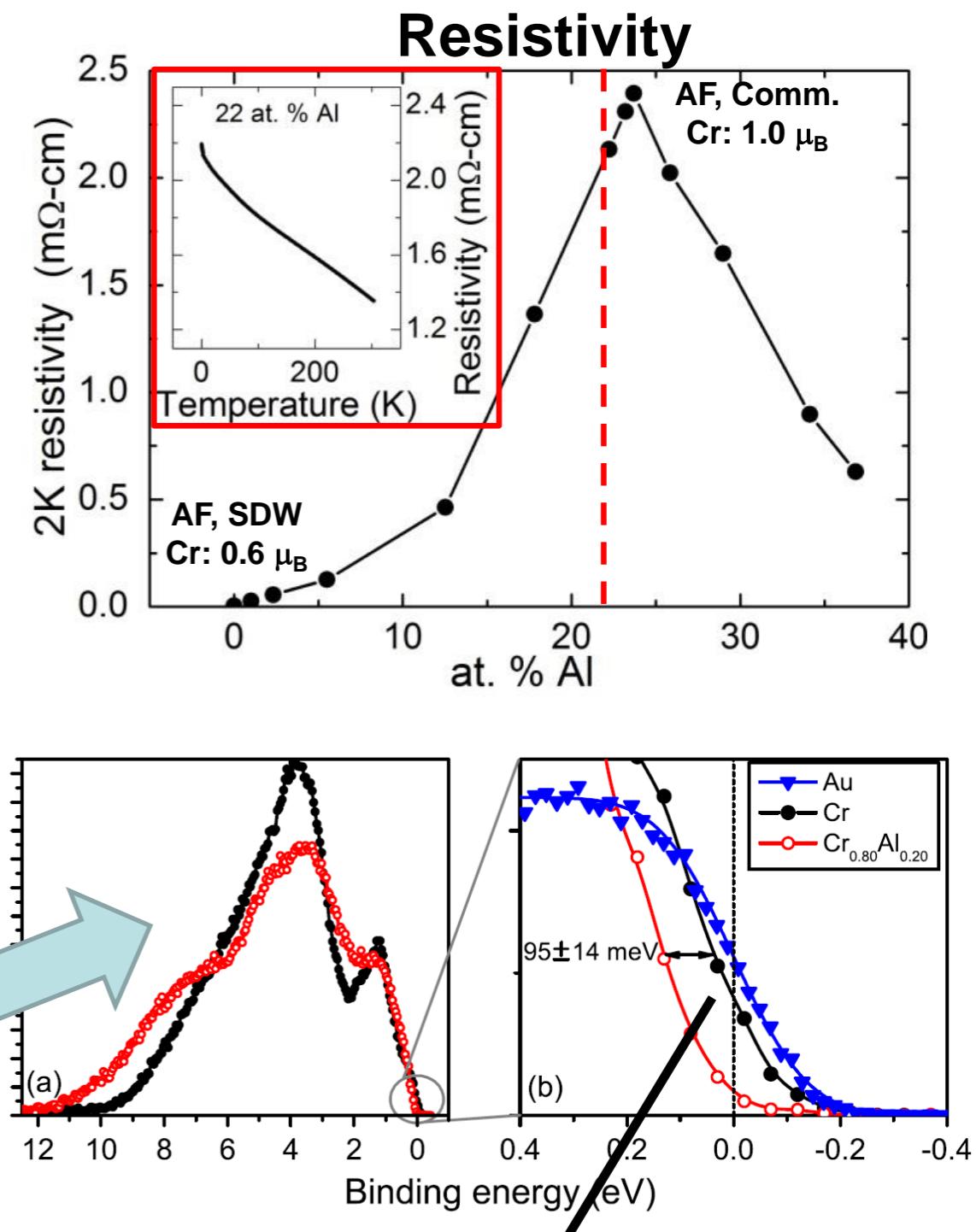
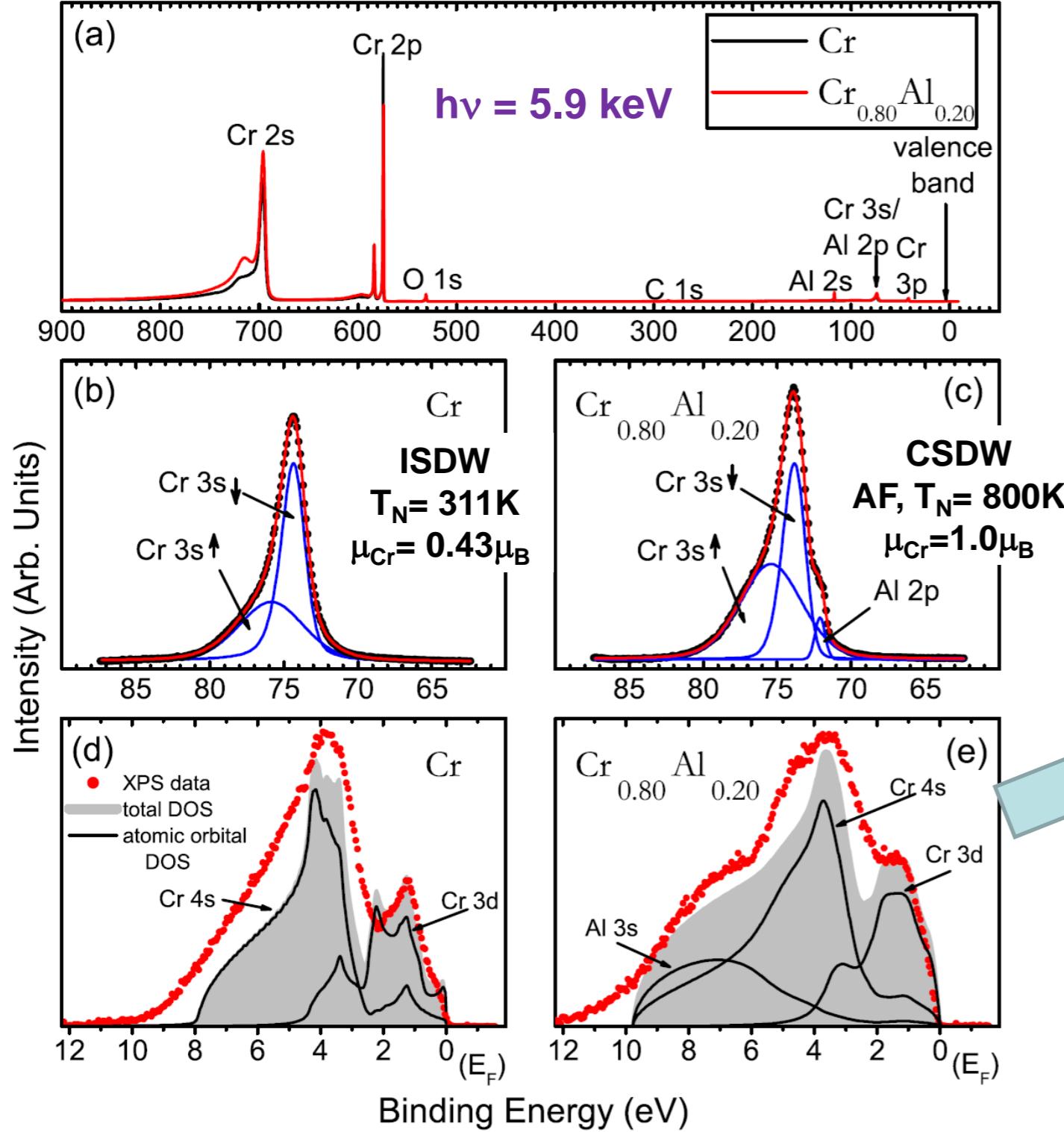
0.8 &  
6 keV

$\text{SrTiO}_3/\text{La}_{2/3}\text{Sr}_{1/3}\text{MnO}_3$  multilayer:

ALS  
SPring8  
SLS

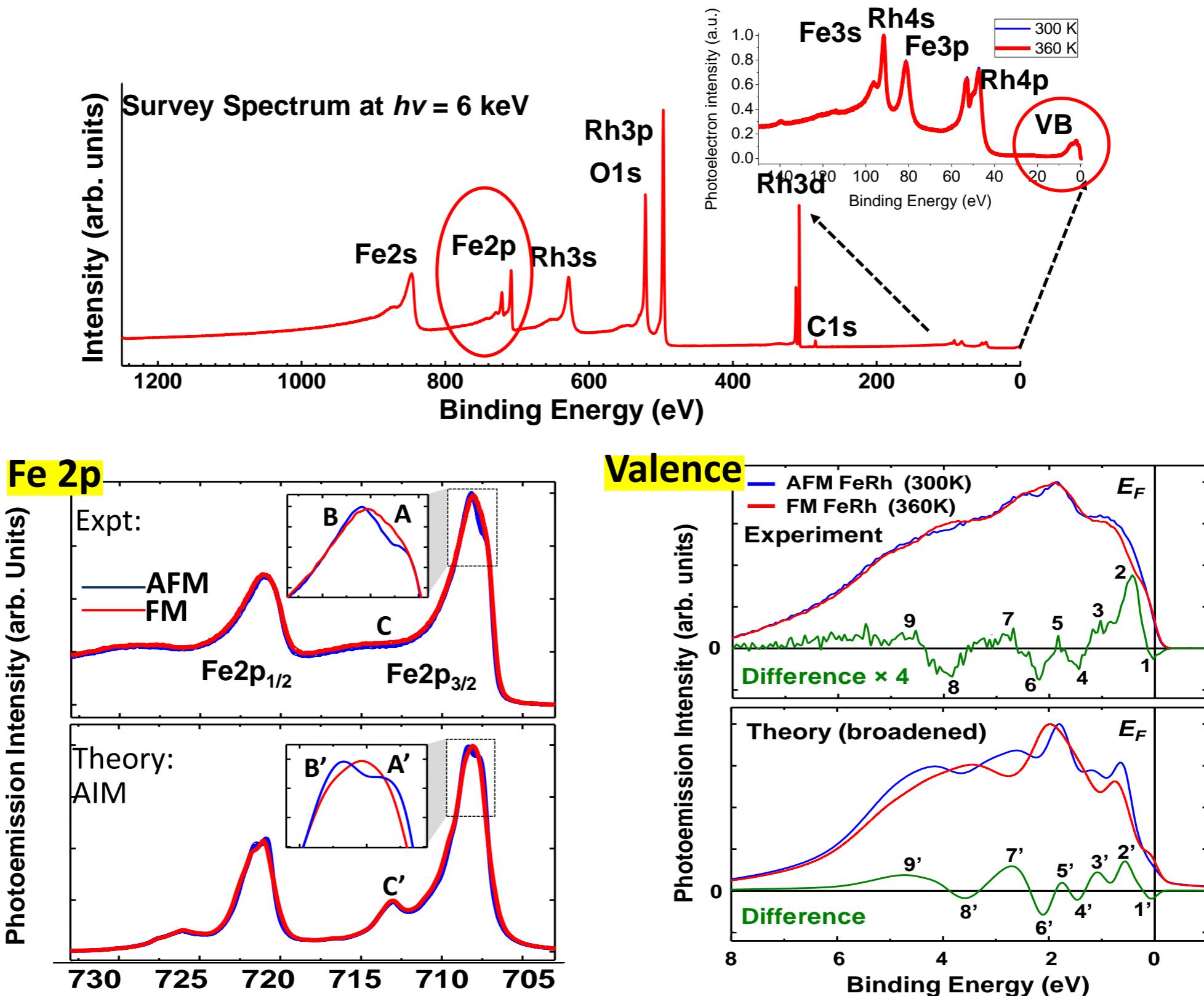
Standing-wave depth-resolved composition,  
dielectric properties, bonding, and band structure

# Opening of the Gap in an Epitaxial Semiconducting $\text{Cr}_{0.80}\text{Al}_{0.20}$ Thin Film

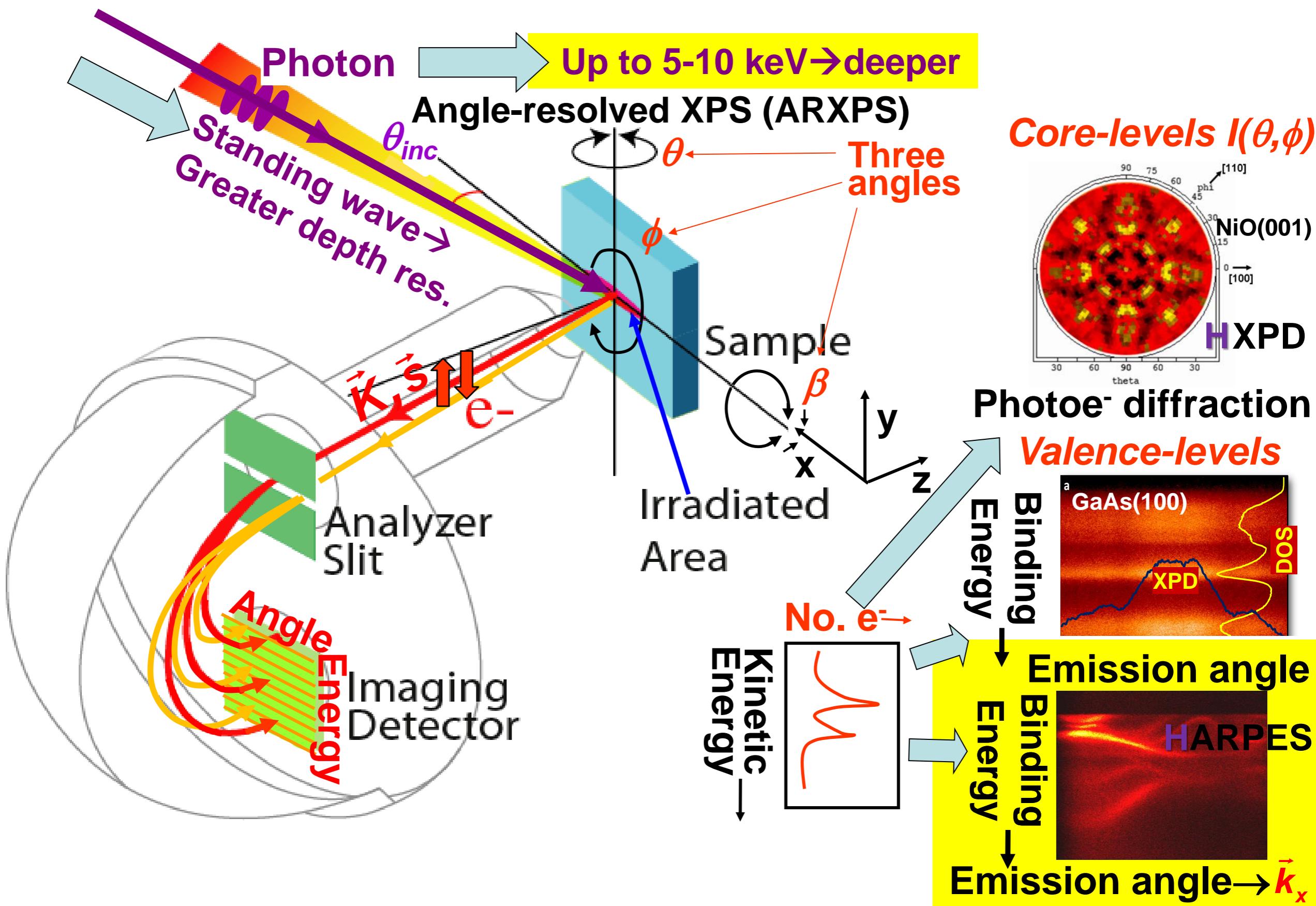


Opening of semiconducting gap

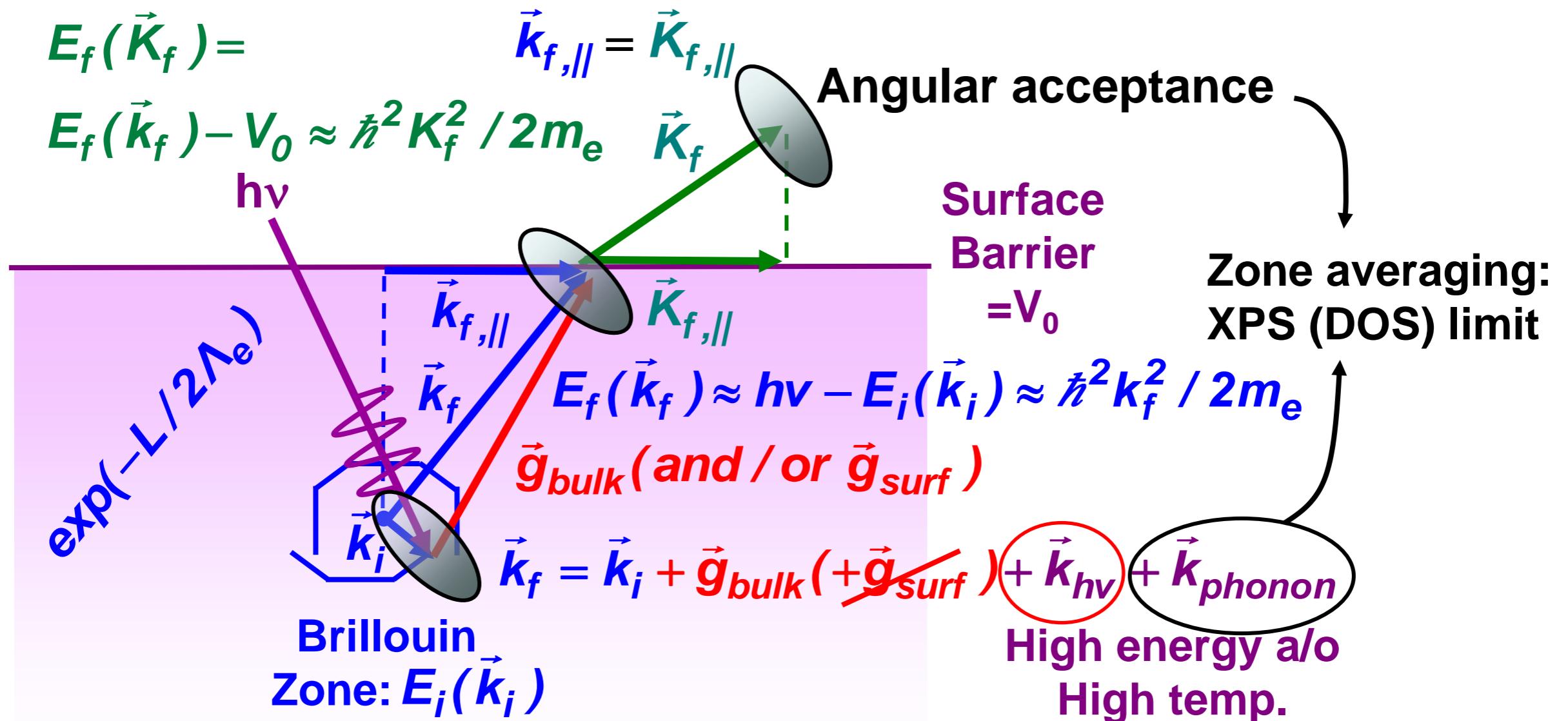
# FeRh—Metamagnetic transition from AF (low T) to FM (high T) at $\sim 330$ K, thermally-assisted magnetic recording



# X-ray photoemission: some key elements



# ARPES—How high can we go in energy and temperature?



Fraction DTs  $\approx$  Debye-Waller factor  $= W(T) \approx \exp[-(k^f)^2 \langle u^2(T) \rangle]$   
 $\approx \exp[-C_1 (k^f)^2 T / (m \Theta_D^2)] \approx \exp(-C_2 E_{kin} T)$

$W \approx 1$

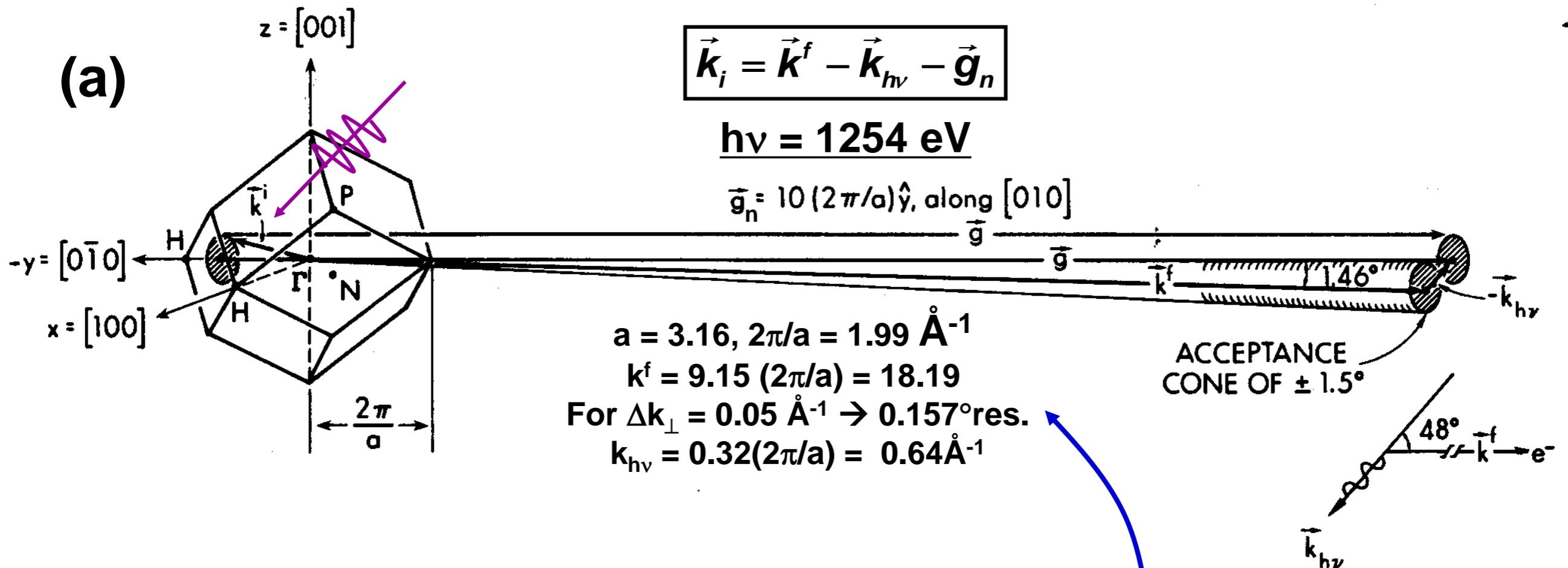
ARPES  $\rightarrow$  bands,  
quasiparticles  
(Low  $h\nu$ , Low  $T$ ,  
High angul. Res.)

$W \approx 0$

XPS  $\rightarrow$  DOS+XPD  
(High  $h\nu$ , High  $T$ ,  
Low angul. Res.)

Shevchik, Phys. Rev. B 16, 3428 (1977)  
Hussain....CF, Phys. Rev. B 34 (1986) 5226

# Angle-Resolved Photoemission at High Energy--



Hussain et al....CF,  
Phys. Rev. B 22 3750  
(1980) Phys. Rev. B 34,  
5226 (1986)

Shevchik, Phys. Rev.  
B 16, 3428 (1977)

## Additional effects at higher energies:

- Non-dipole--the photon momentum  $\vec{k}_{h\nu} \rightarrow$ easy to allow for
- Angular acceptance $\rightarrow$ B.Z. averaging  $\rightarrow$ need better angular res.
- Lattice recoil $\rightarrow$ phonon creation $\rightarrow$ more B.Z. averaging,

Fraction DTs  $\approx$  Debye-Waller factor =  $W(T) \approx \exp[-(k^f)^2 \langle u^2(T) \rangle]$

$\approx \exp[-C_1(k^f)^2 T / (m\Theta_D^2)] \approx \exp(-C_2 E_{kin} T) \rightarrow$ need cryocooling

$\rightarrow$ the “XPS limit” of full B.Z. averaging and D.O.S. sensitivity **Alvarez et al., PRB 54, 14703 (1996)**  
 $\rightarrow$ core-like photoelectron diffraction

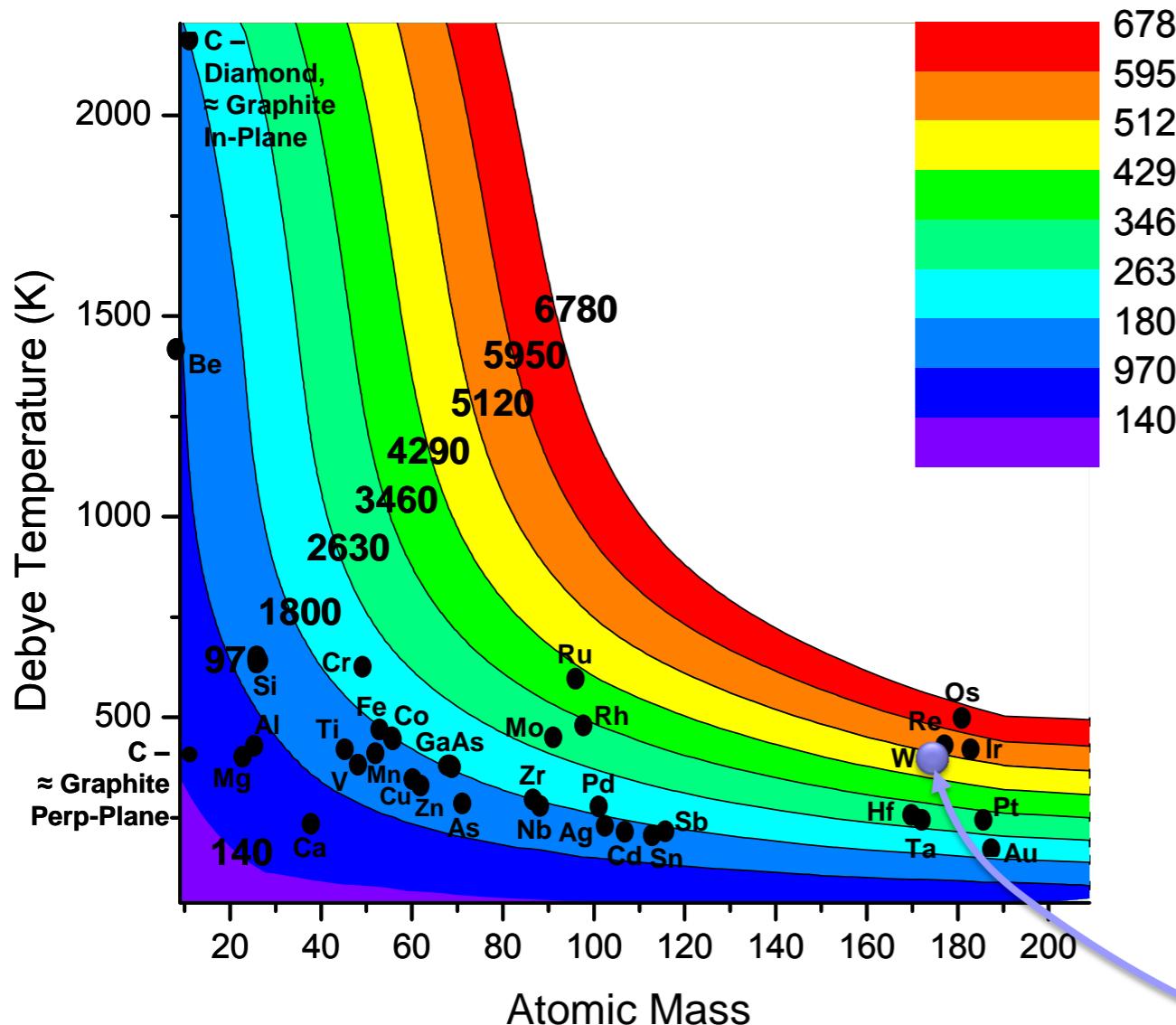
- Recoil  $\rightarrow$ peak shifts and broadening:

$$E_{recoil}(\text{eV}) \approx \left[ \frac{m_e}{M} \right] E_{kin} \approx 5.5 \times 10^{-4} \left[ \frac{E_{kin}(\text{eV})}{M(\text{amu})} \right]$$

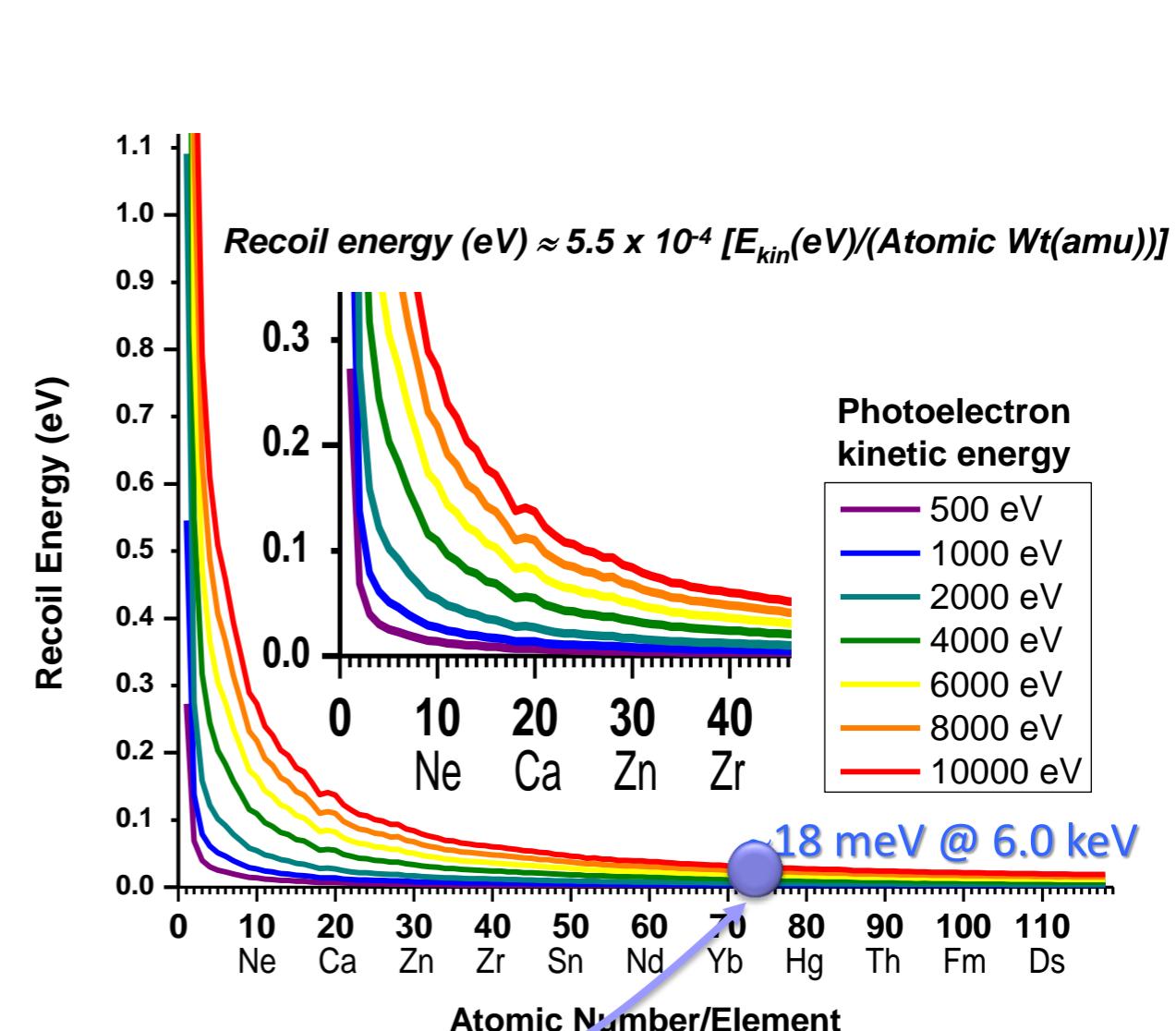
Takata et al.,  
Phys. Rev. B 75,  
233404 (2007)

# ARPES→HARPES-How high can we go? Photoemission Debye-Waller Factors and Recoil Energies

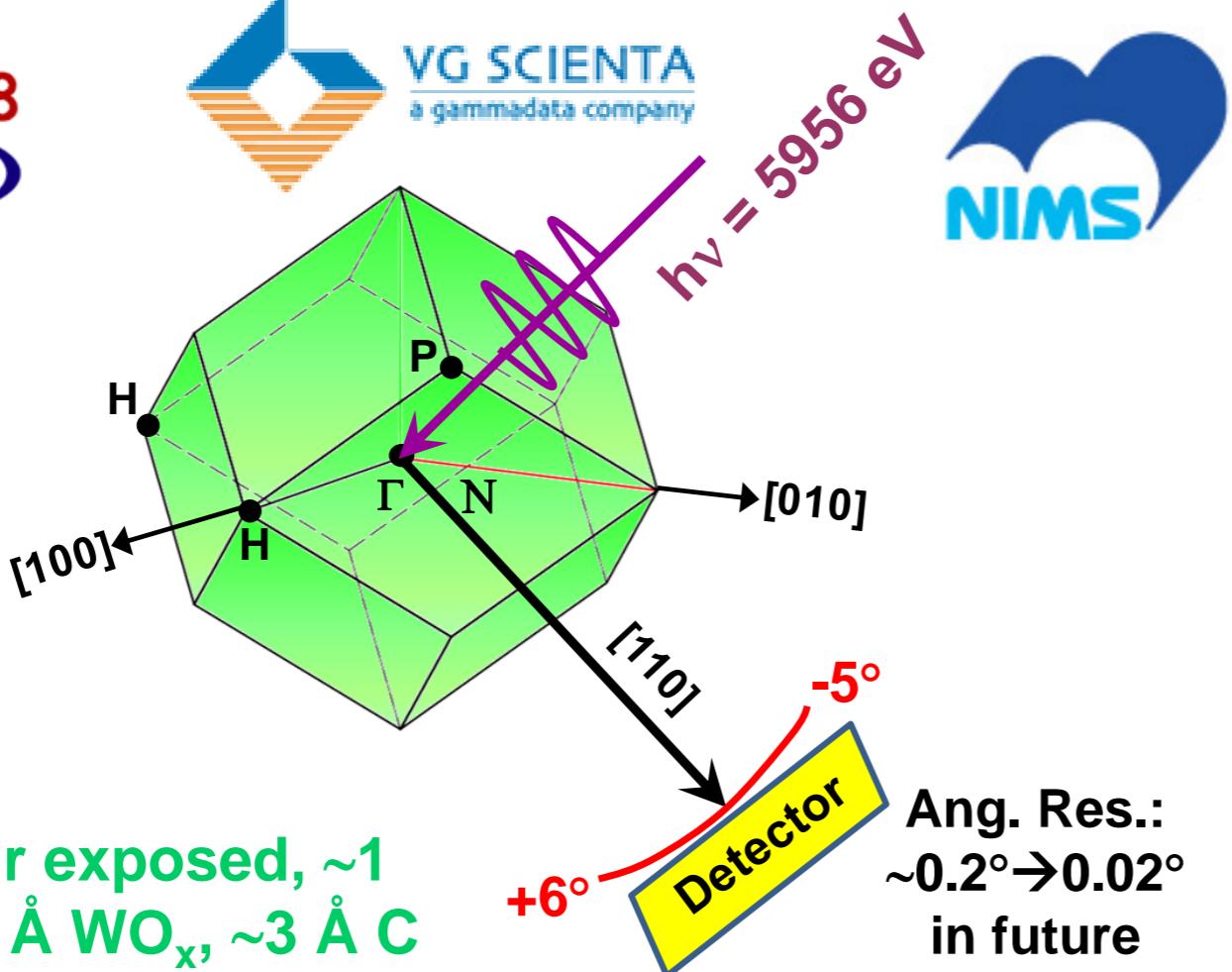
Photon energy for ~50% DTs  
= 0.5 D-W @ 20K



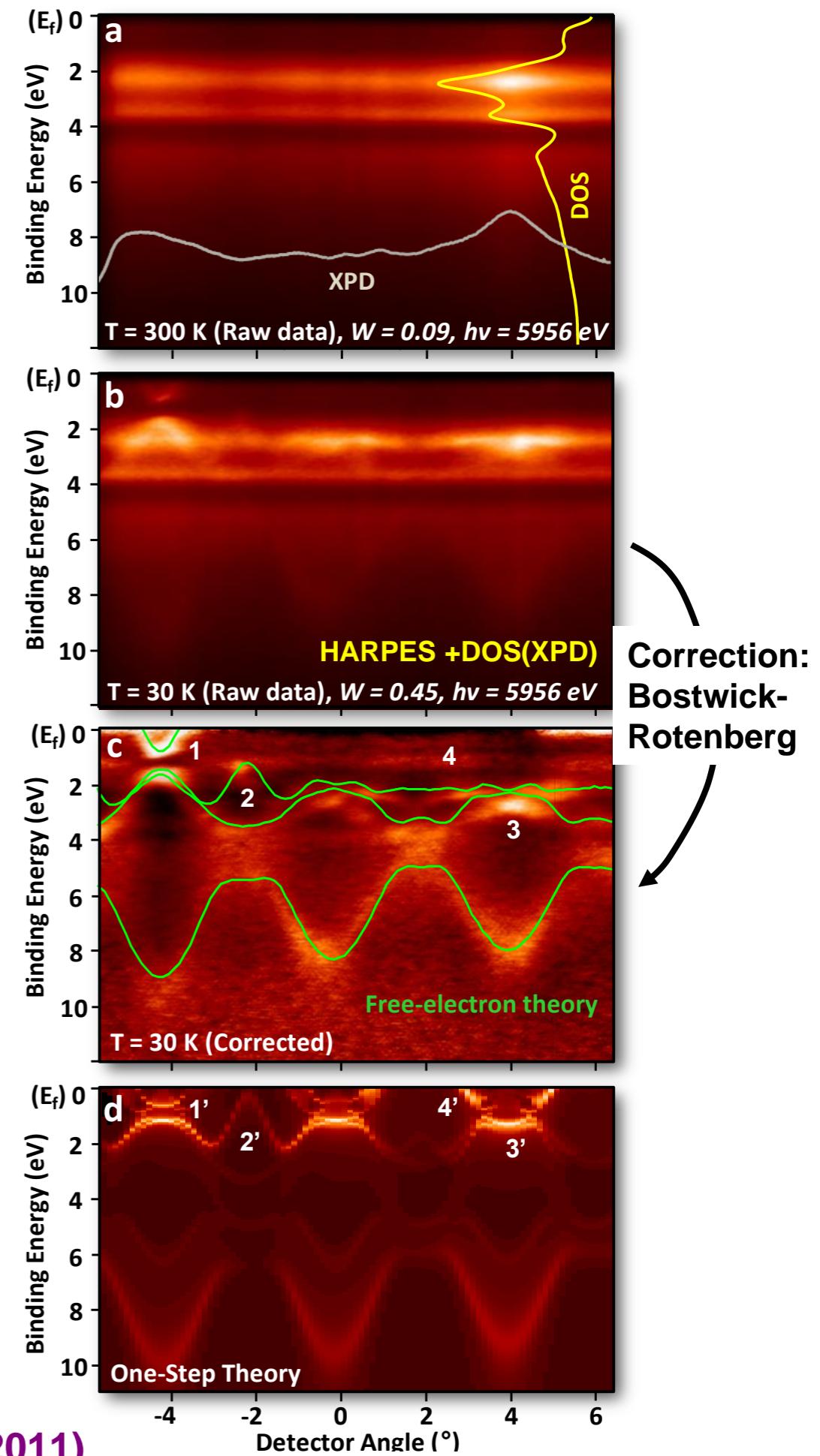
Recoil energy for all atoms and  
different photon energies



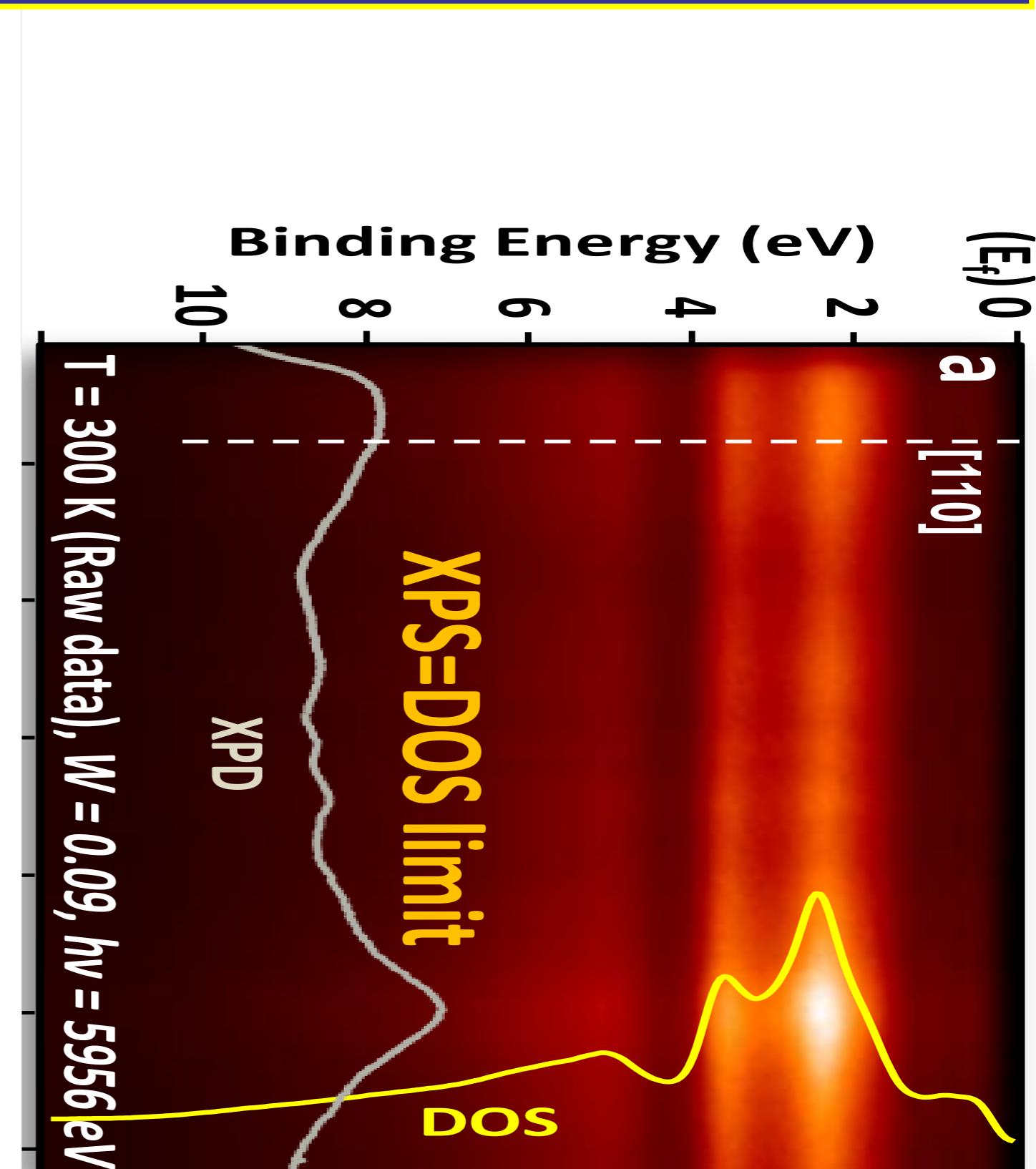
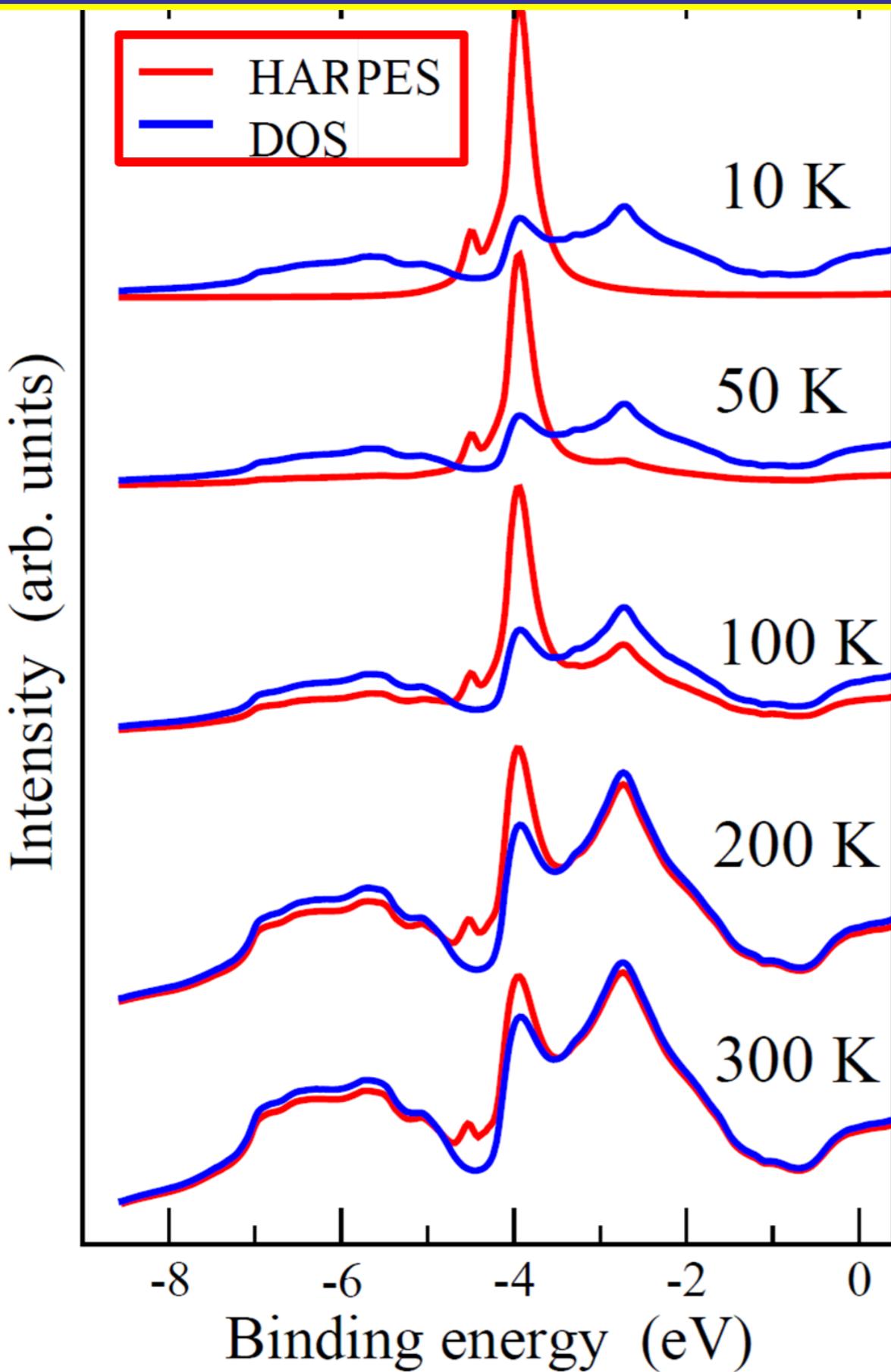
# Hard x-ray ARPES for W(110): 6.0 keV



Gray, Minar et al., Nature Mat. 10, 759 (2011);  
Plus News and Views, Feng, Nature Mat. 10, 729-730 (2011)

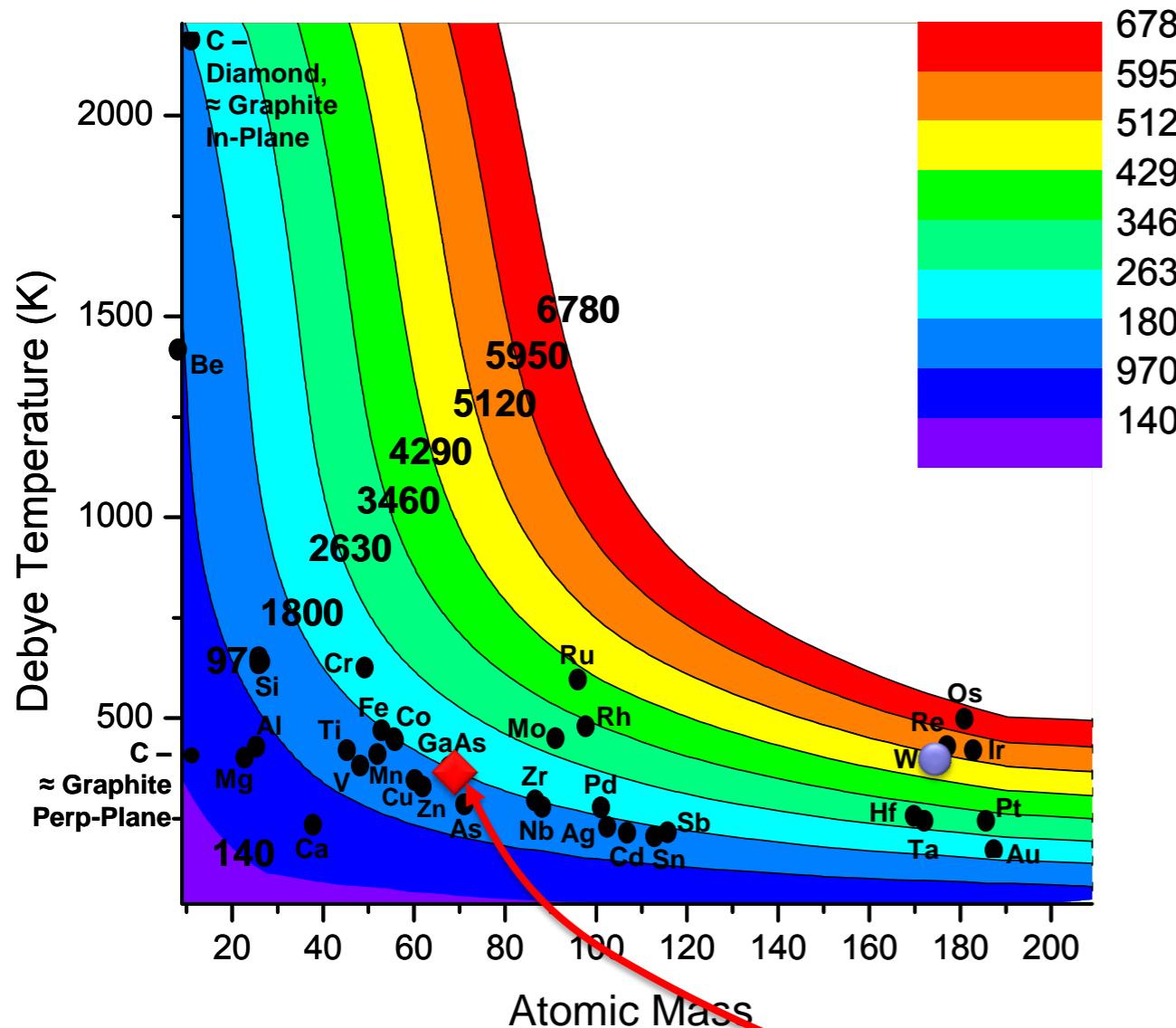


Hard x-ray ARPES for W(110):  
One-step theory with phonons (Braun, Minar, Ebert) vs expt. (Gray et al.)

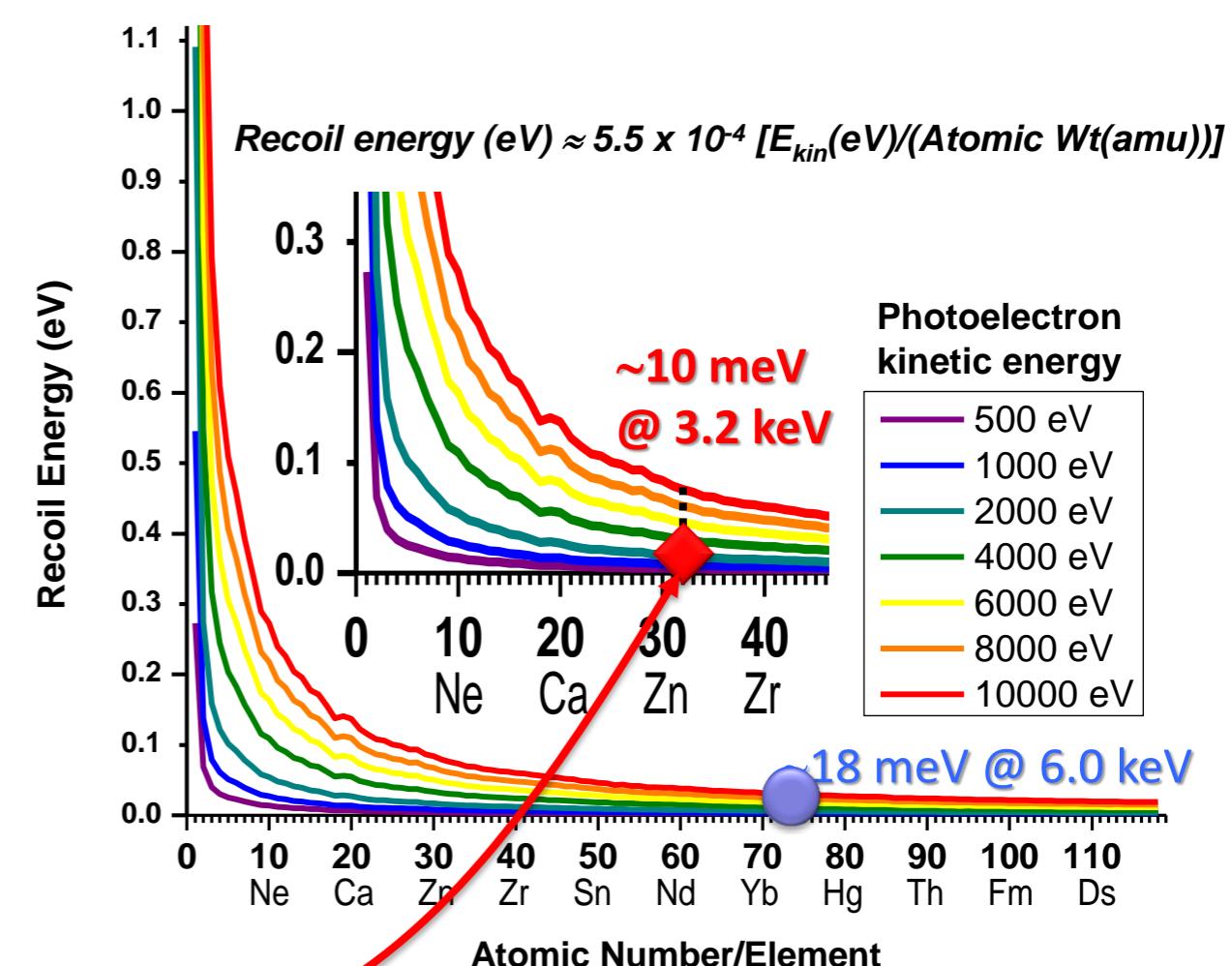


# ARPES→HARPES-How high can we go? Photoemission Debye-Waller Factors and Recoil Energies

Photon energy for ~50% DTs  
= 0.5 D-W @ 20K

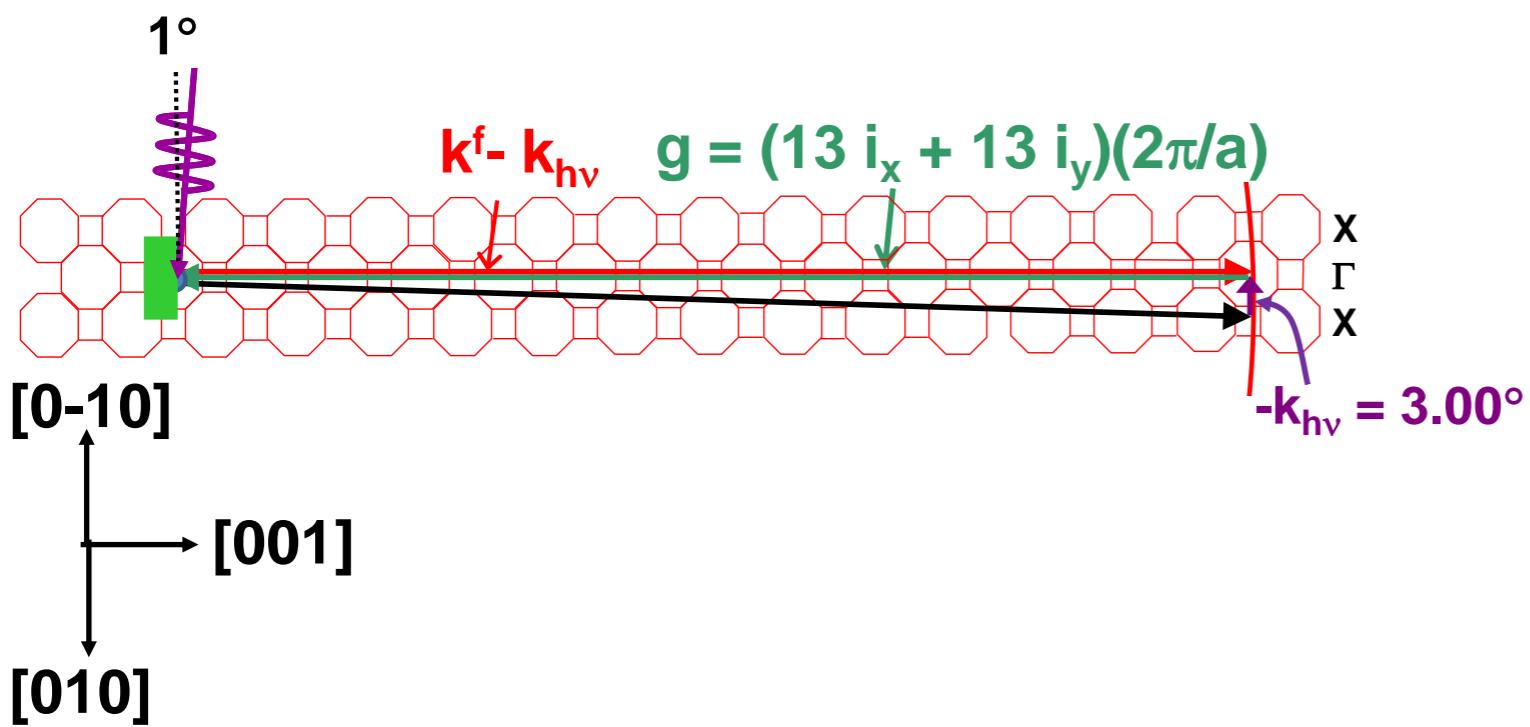
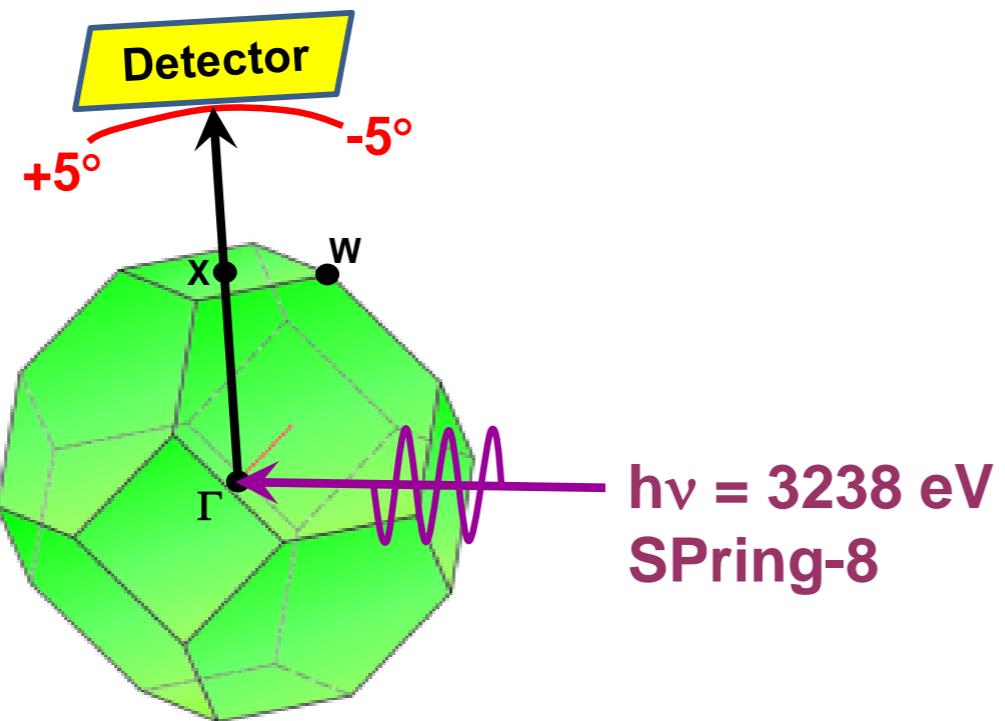


Recoil energy for all atoms and  
different photon energies

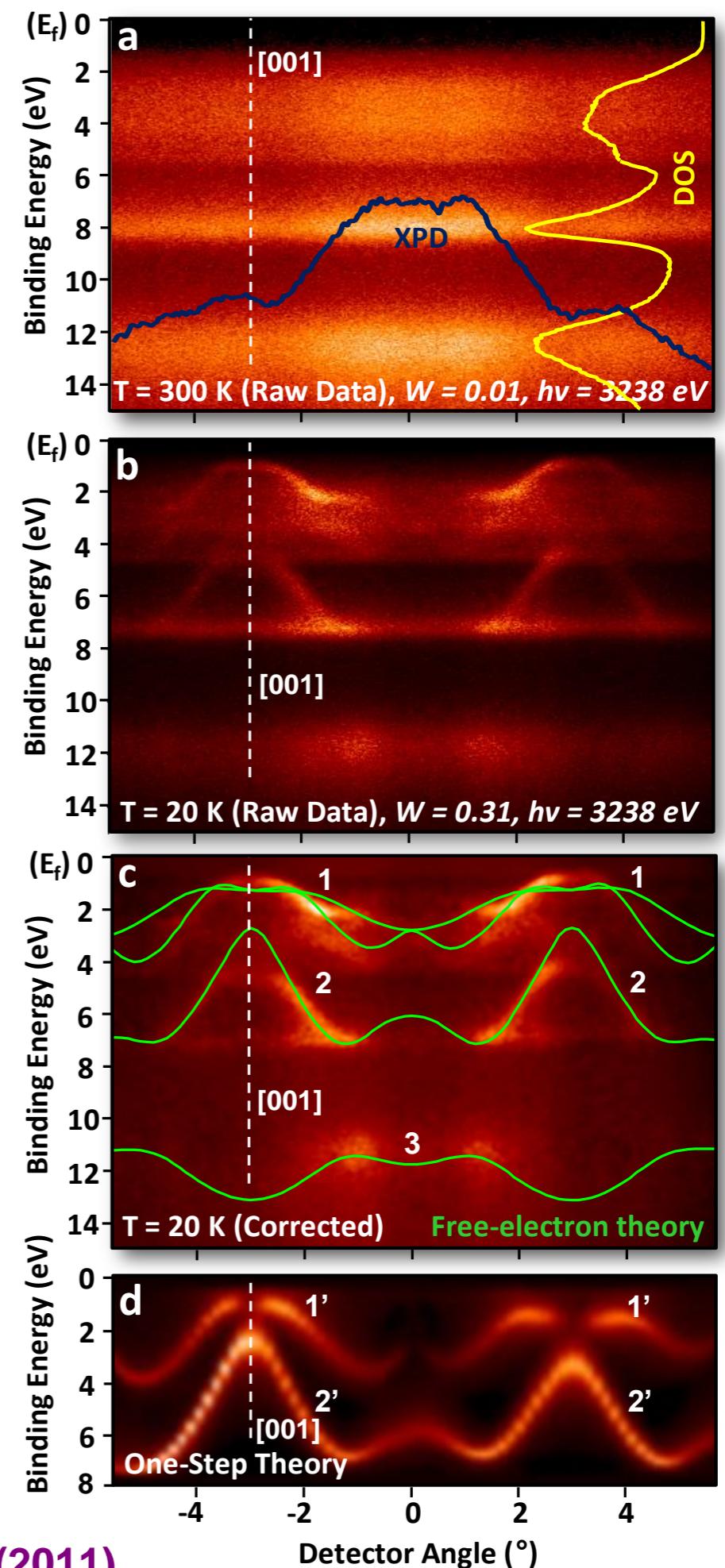


C. Papp, L. Plucinski, et al.,  
Phys. Rev. B 84, 045433 (2011)

# Hard x-ray ARPES for GaAs(001): 3.2 keV

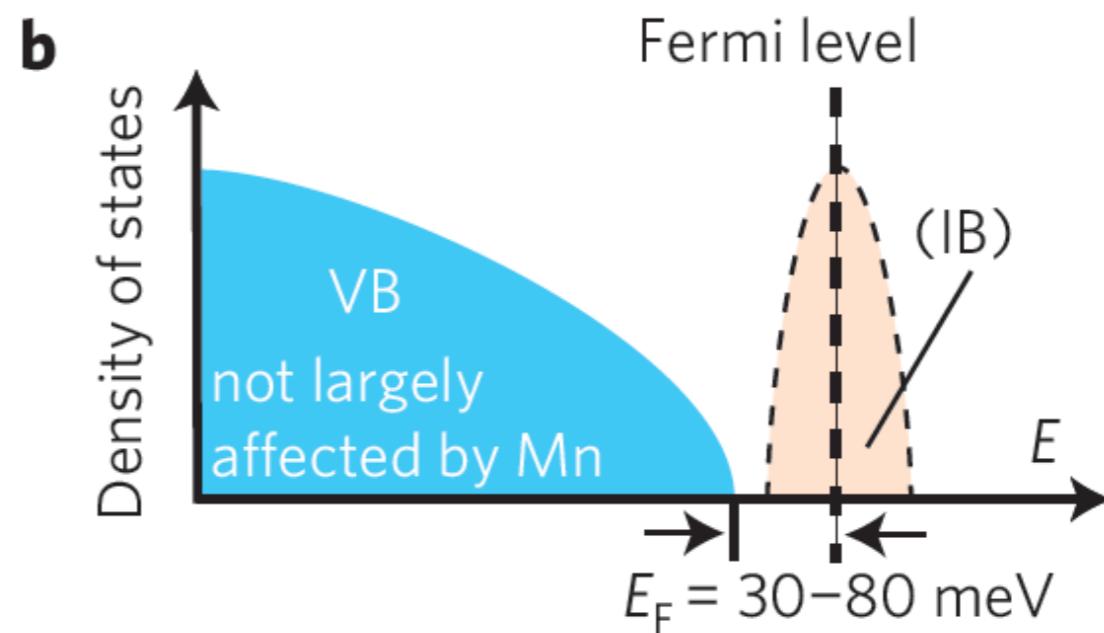
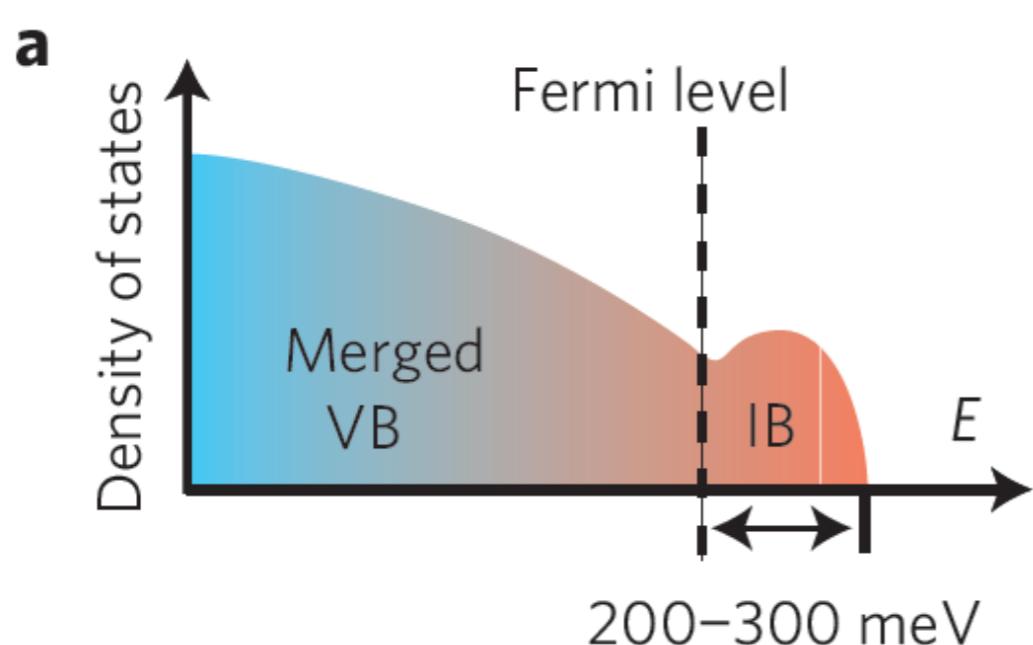


Gray, Minar et al., Spring-8, Nature Materials 10, 759 (2011)

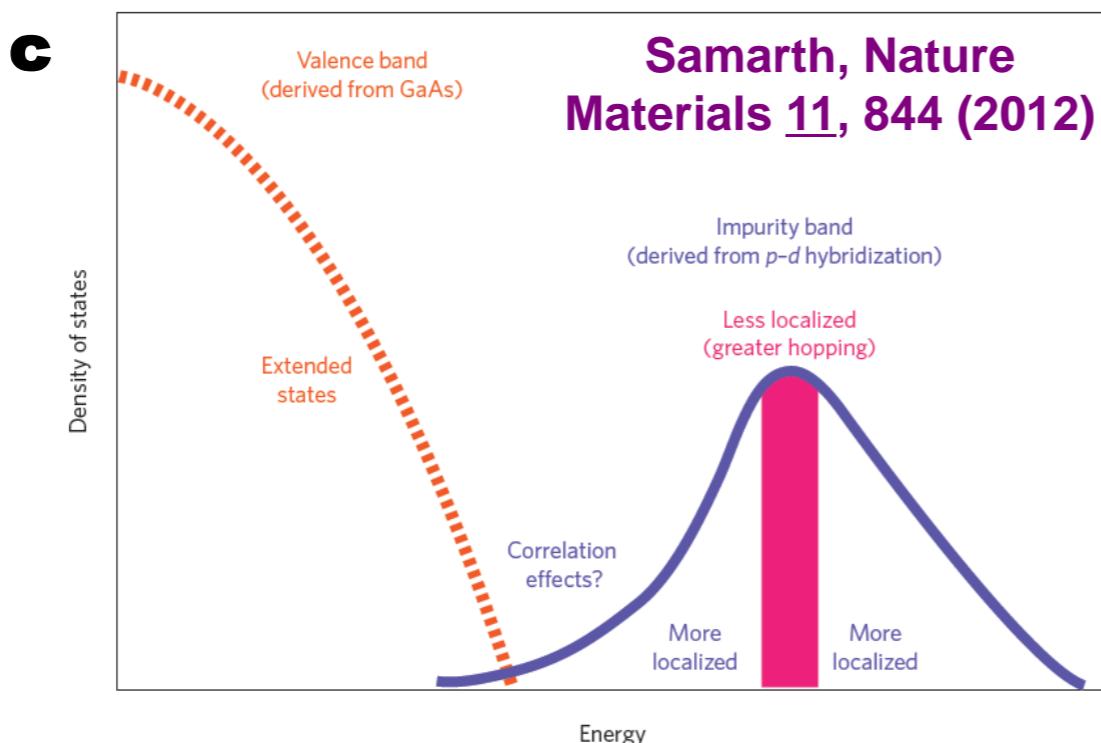


# Hard x-ray ARPES--GaAs and the dilute magnetic semiconductor $\text{Ga}_{0.97}\text{Mn}_{0.03}\text{As}$

How does Mn alter the GaAs electronic structure so as to produce ferromagnetic coupling? Differing views:

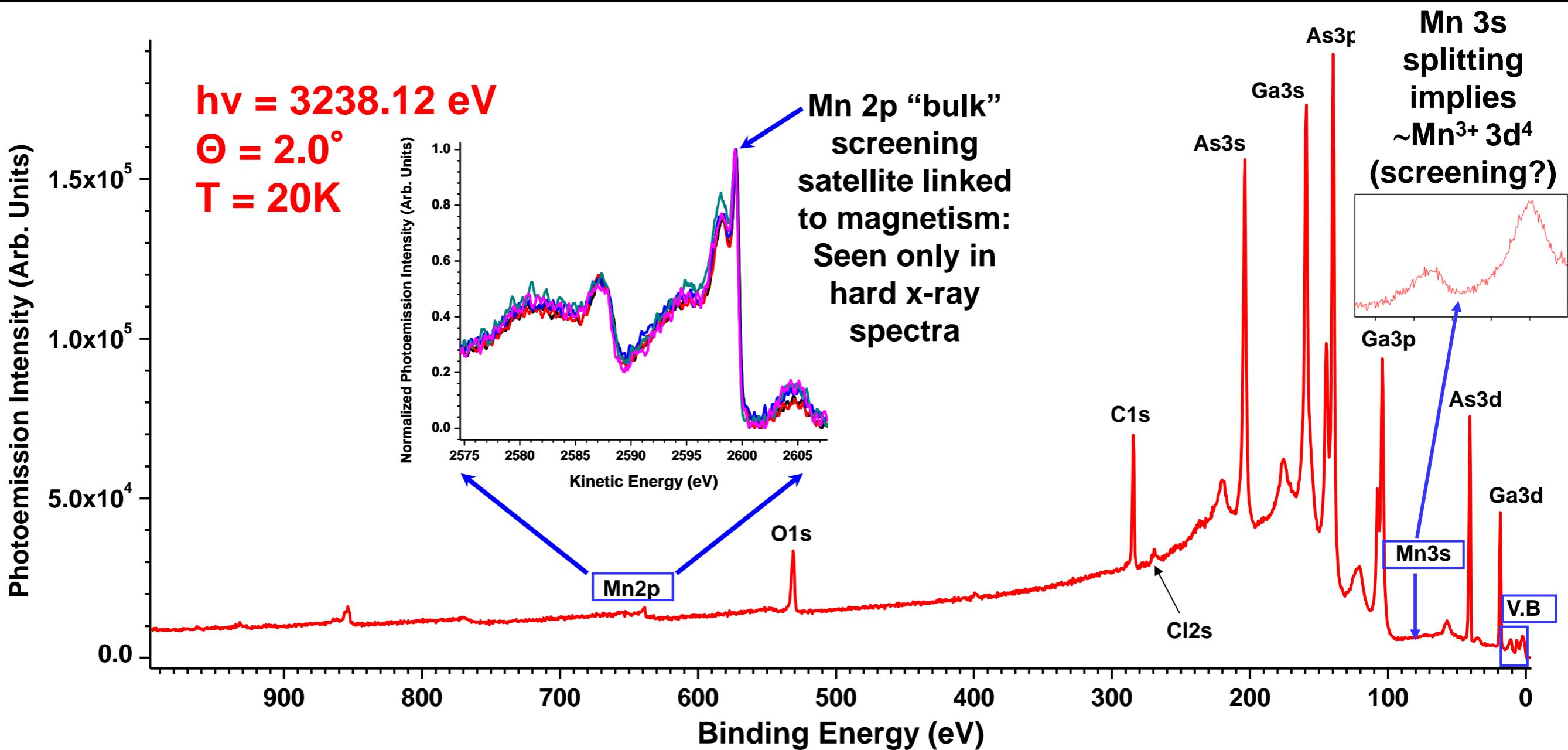


Ohya et al., Nature Physics  
7, 342 (2011)



# E.g.-GaAs doped with Mn: a magnetic semiconductor

## $\text{Ga}_{0.96}\text{Mn}_{0.04}\text{As}$ --HXPS: $T = 20\text{K}$ , Broad Survey



Samples: Stone, Dubon  
 Expt.-Gray, Papp, Ueda, Yamashita, Kobayashi  
 Theory- Pickett, Ylvisaker, Minar, Braun, Ebert

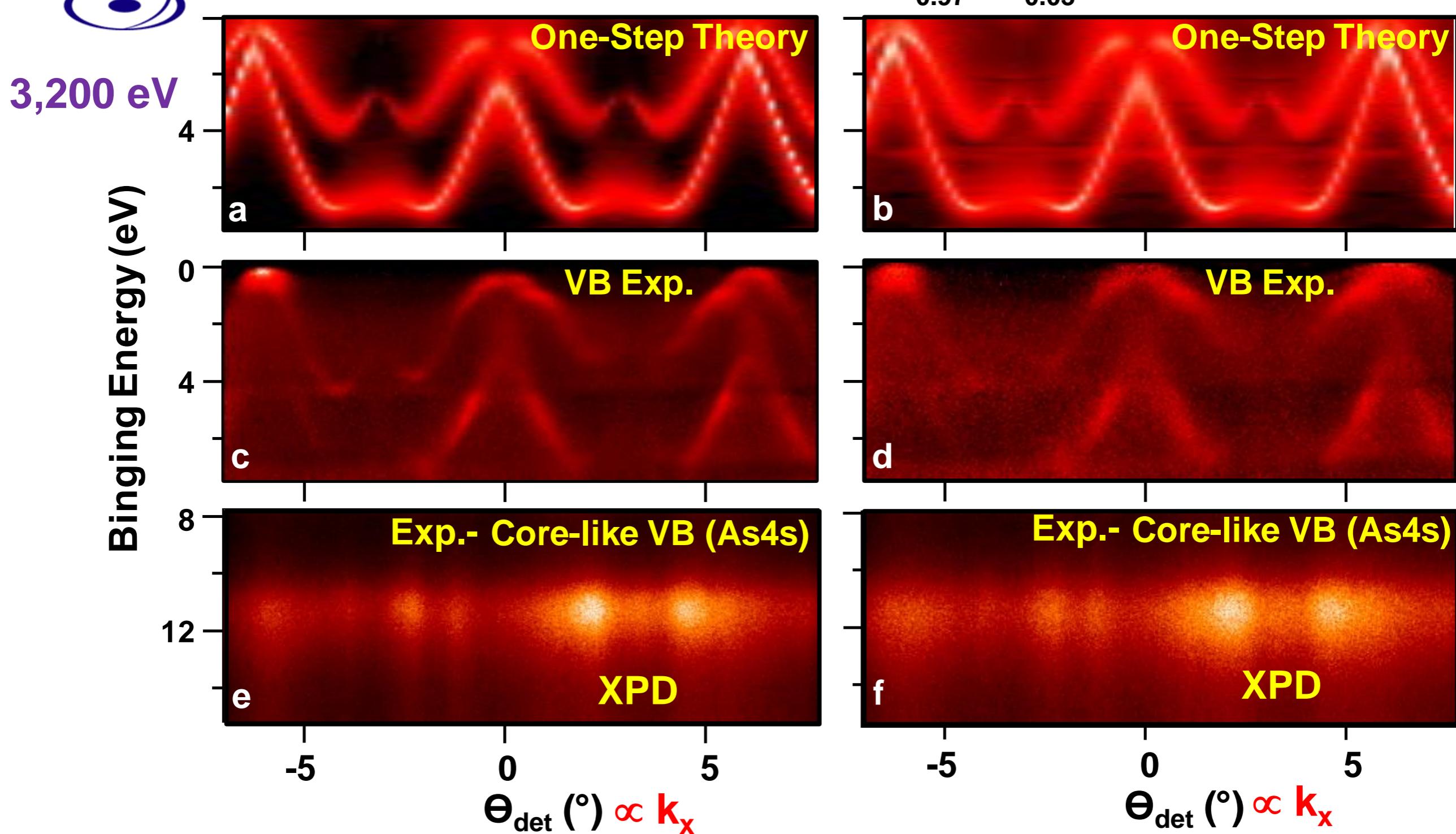


# Hard x-ray ARPES--GaAs and DMS $\text{Ga}_{0.97}\text{Mn}_{0.03}\text{As}$ Comparing Experiment and One-Step KKR Theory

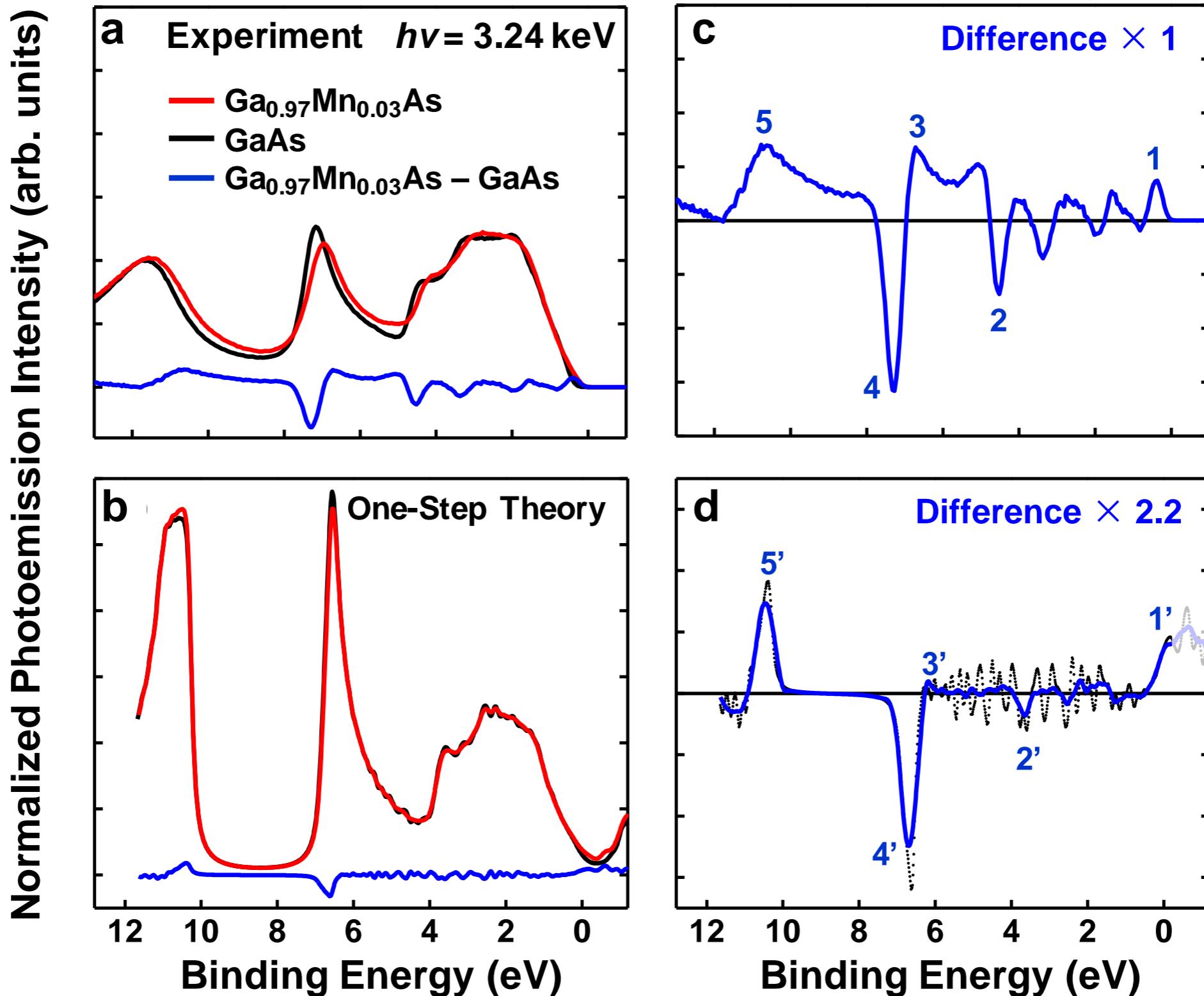


GaAs

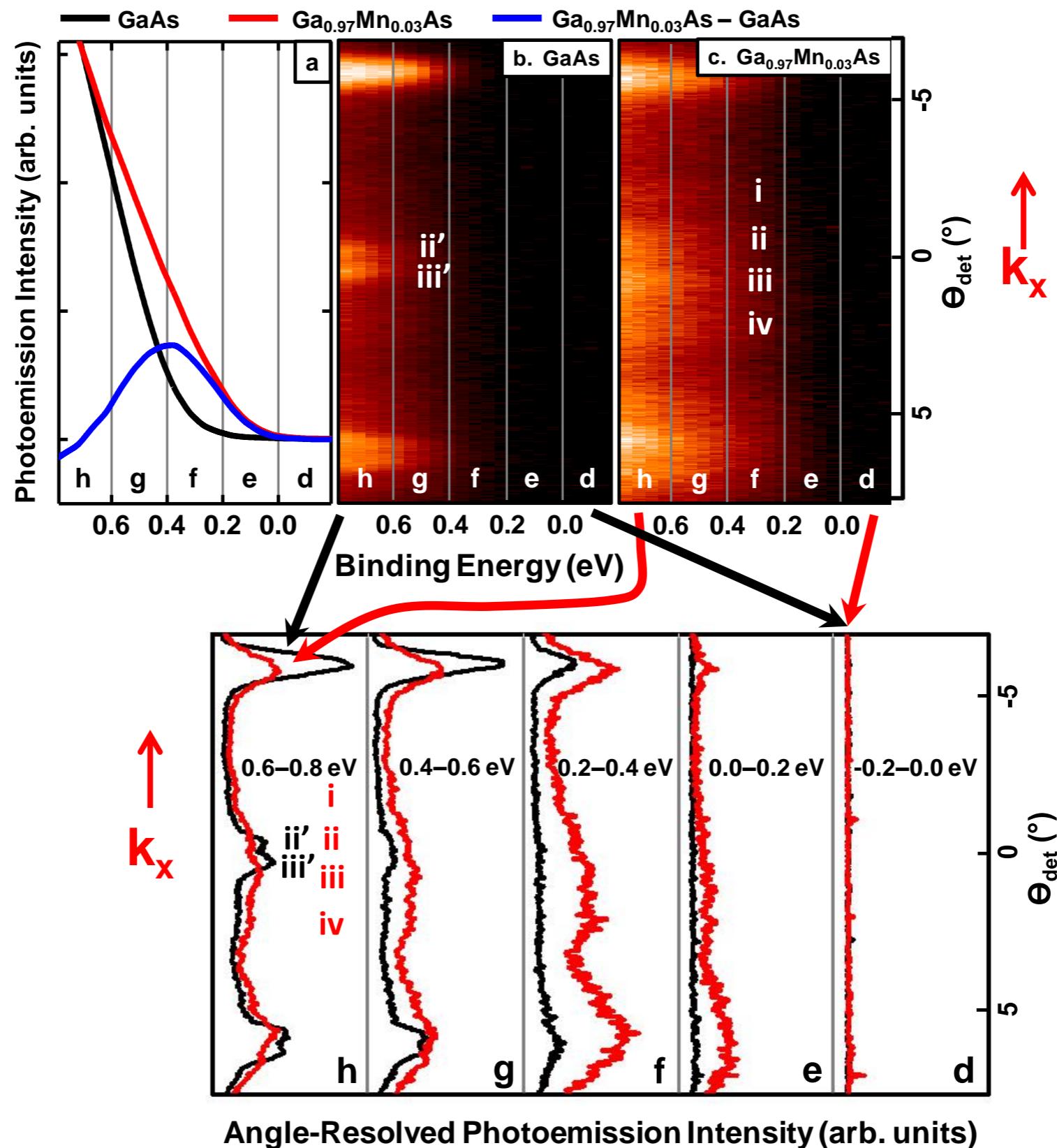
$\text{Ga}_{0.97}\text{Mn}_{0.03}\text{As}$



**GaAs and  $\text{Ga}_{0.97}\text{Mn}_{0.03}\text{As}$**   
**Angle-Integrated Hard X-Ray ARPES @ 3.2 keV**  
**Experiment and One-Step KKR Theory**



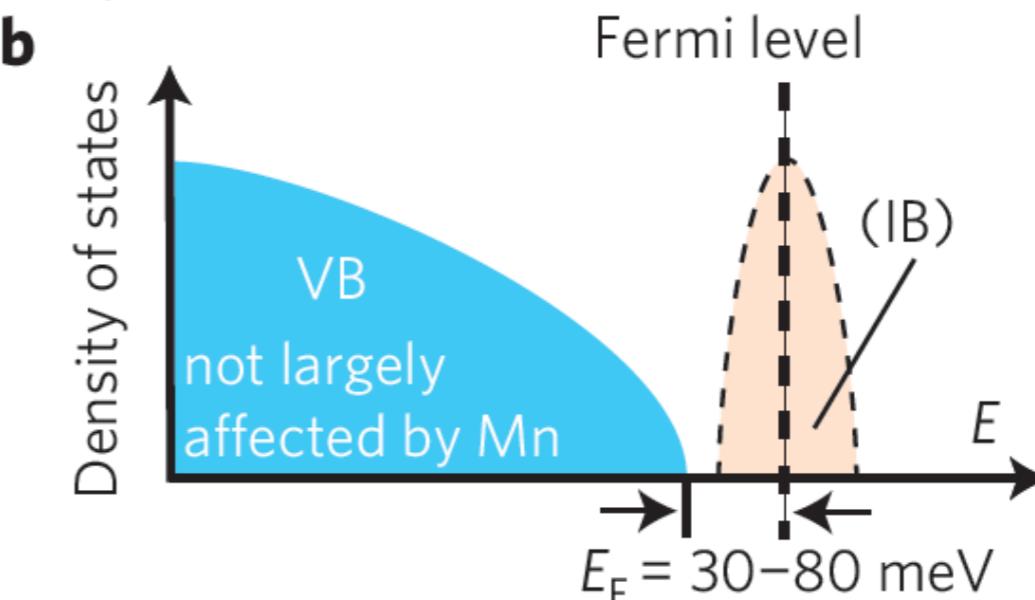
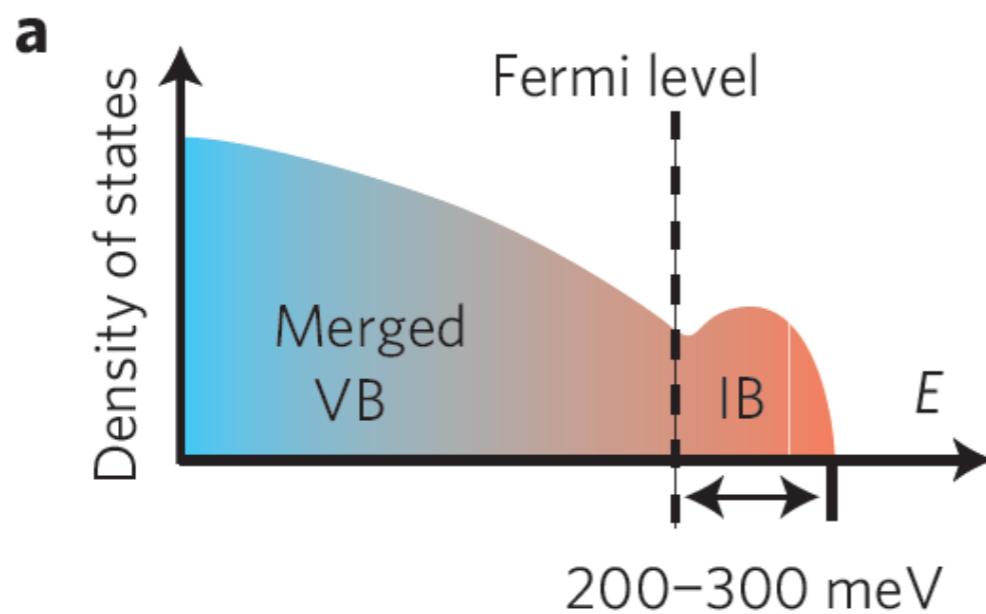
**GaAs and  $\text{Ga}_{0.97}\text{Mn}_{0.03}\text{As}$   
Hard X-Ray ARPES @ 3.2 keV  
Experiment near  $E_F$**



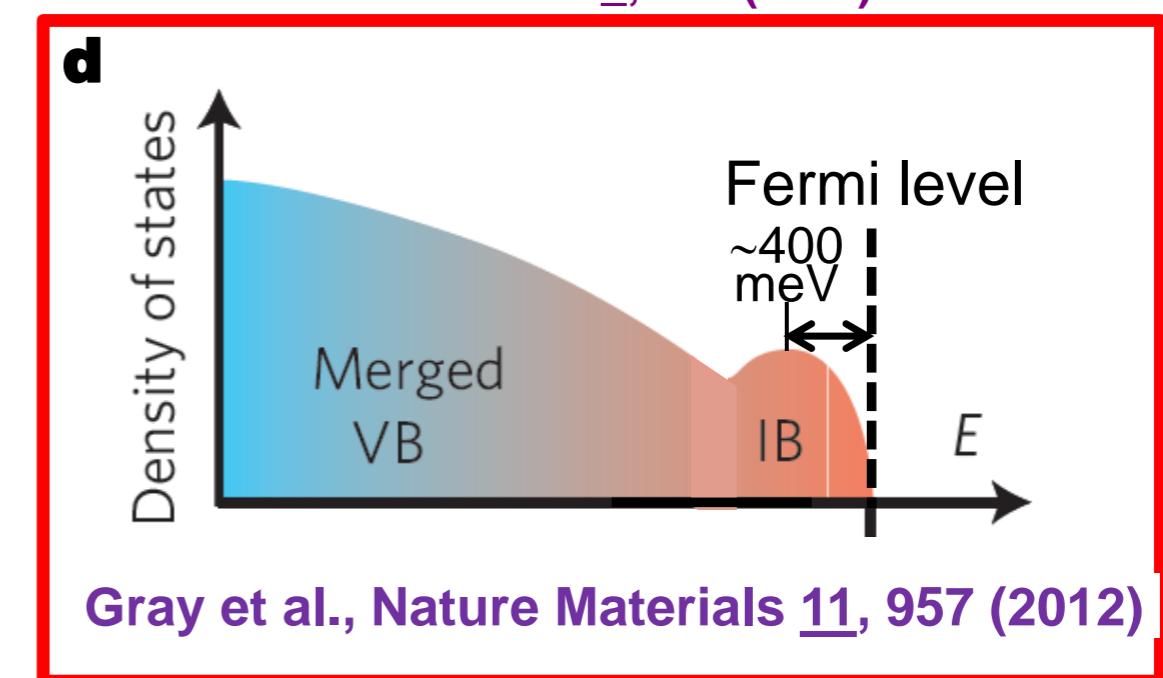
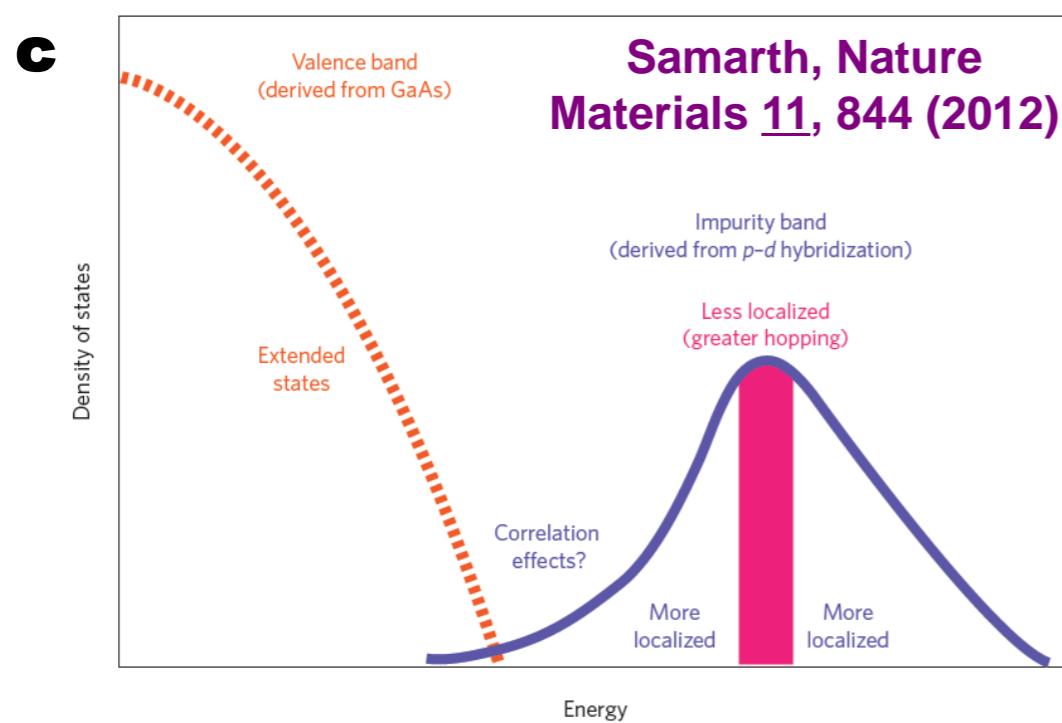
# Hard x-ray ARPES--GaAs and the dilute magnetic semiconductor $\text{Ga}_{0.97}\text{Mn}_{0.03}\text{As}$

How does Mn alter the electronic structure so as to produce ferromagnetic coupling?

Differing views:



Ohyu et al., Nature Physics 7, 342 (2011)



## ***Photoemission in complex heterostructures and materials***

Core photoemission → XPS, X-ray photoelectron diffraction-XPD,...

Valence photoemission →

Higher energy a/o temperature → Densities of states-DOSs

Lower energy a/o temperature → Band mapping, Angle-resolved photoemission-ARPES

are very powerful techniques, but they:

- are sometimes too strongly influenced by surface effects, if bulk or buried layer/interface properties are to be studied
- may not be able to selectively and quantitatively see buried-layer and interface properties

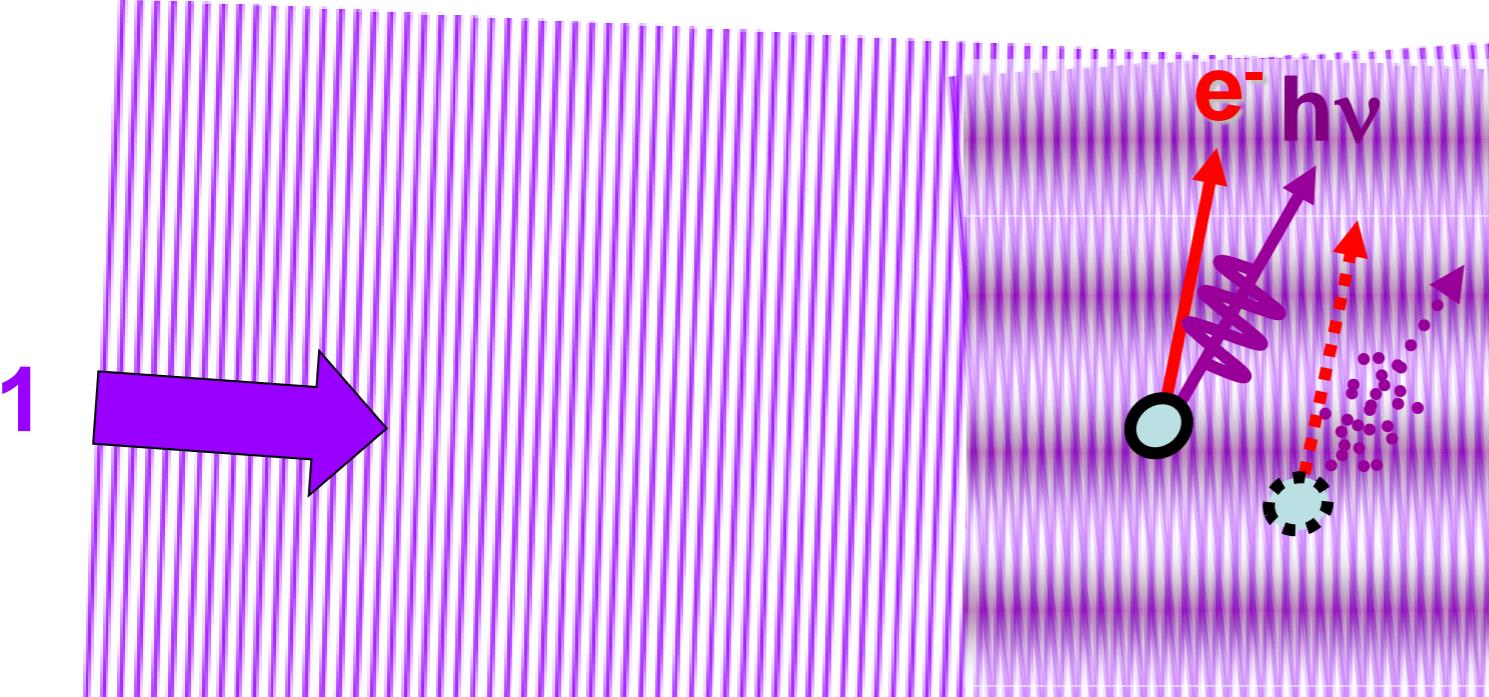
**Two ways to address these limitations:**

- use of harder x-ray excitation (HAXPES, HXPS) for deeper probing: core (HXPD) and valence DOSs or hard x-ray ARPES or “HARPES”

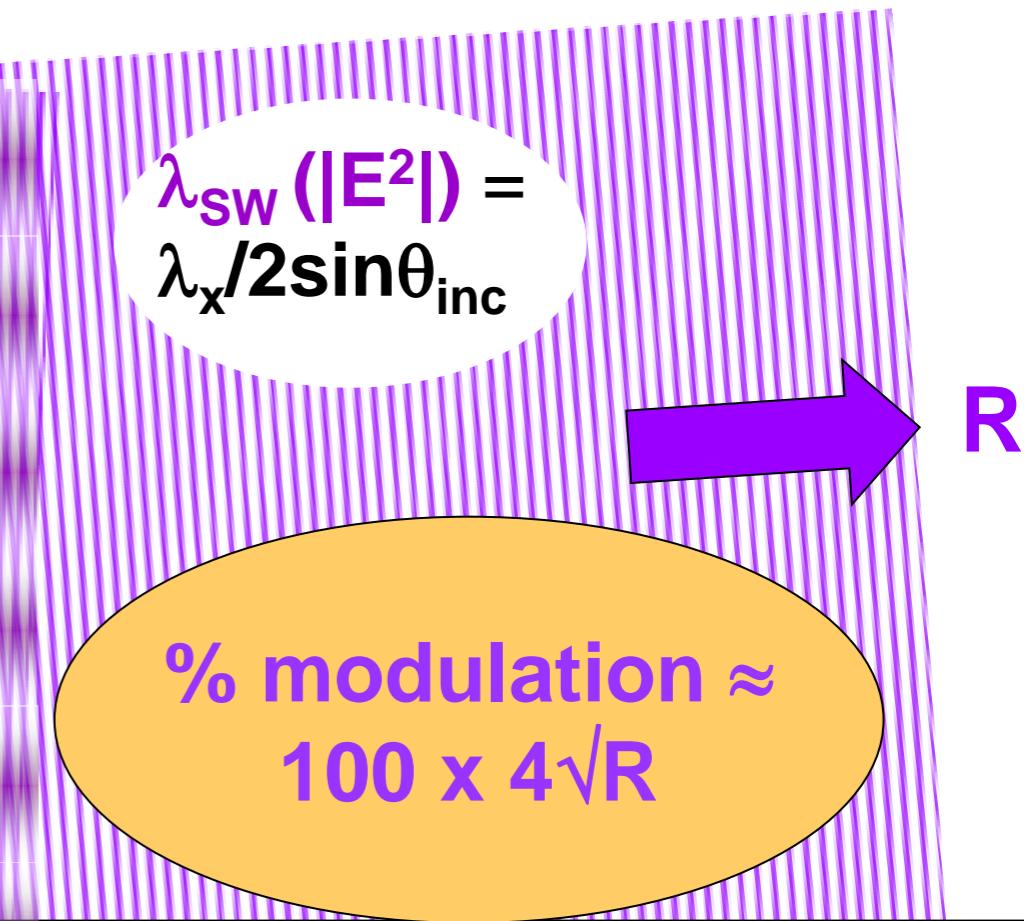
- use of soft and hard x-ray standing waves to selectively look below the surface, including ARPES

# Three ways to scan a standing wave formed in reflection from single-crystal Bragg planes, or a multilayer mirror

## Incident



## Reflected



Three ways to scan  
a standing wave:

### 1. Rocking curve:

$$I(\theta_{inc}) \propto 1 + R(\theta_{inc}) + 2\sqrt{R(\theta_{inc})} f \cos[\varphi(\theta_{inc}) - 2\pi P]$$

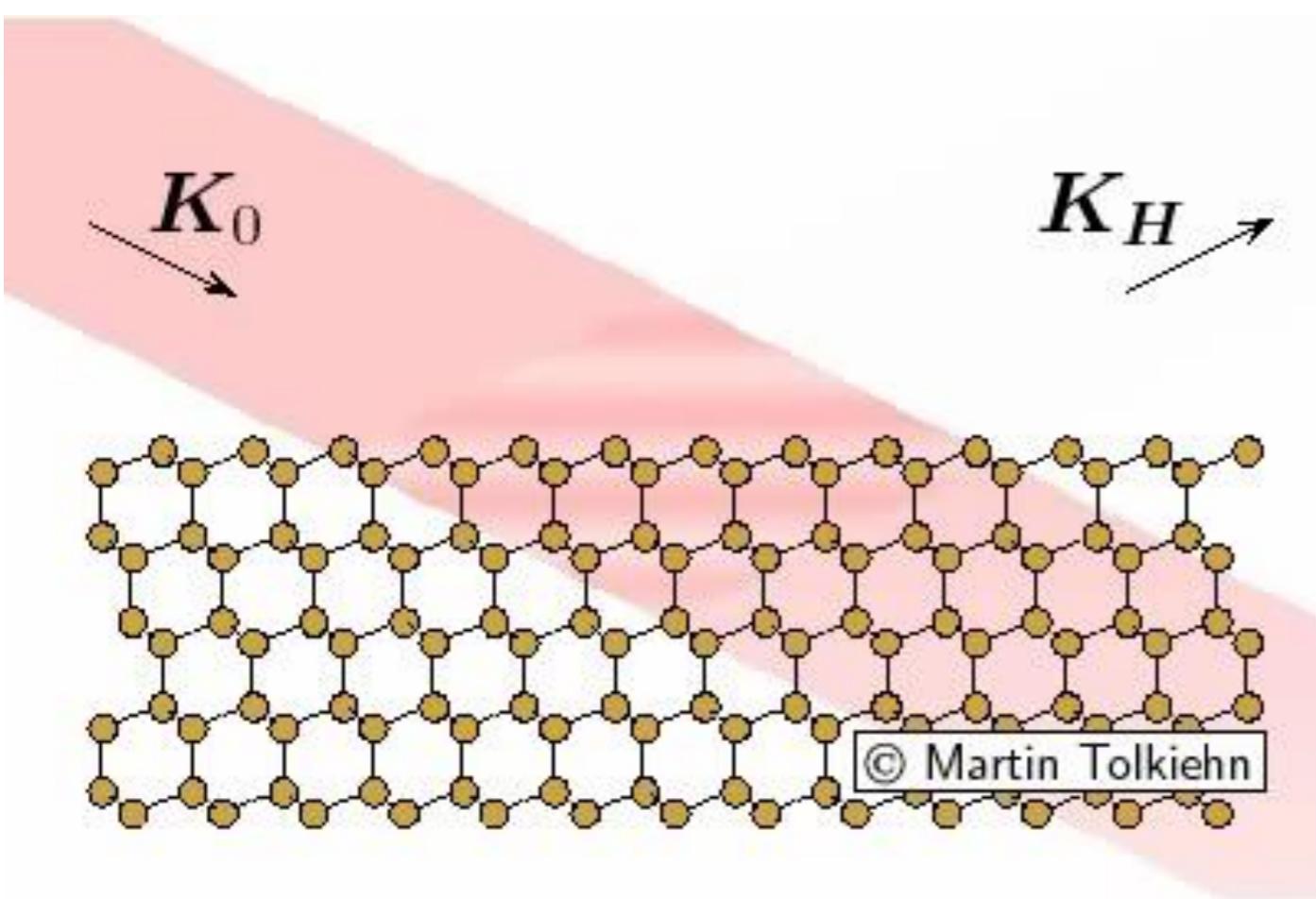
### 2. Photon energy scan:

$$I(h\nu) \propto 1 + R(h\nu) + 2\sqrt{R(h\nu)} f \cos[\varphi(h\nu) - 2\pi P]$$

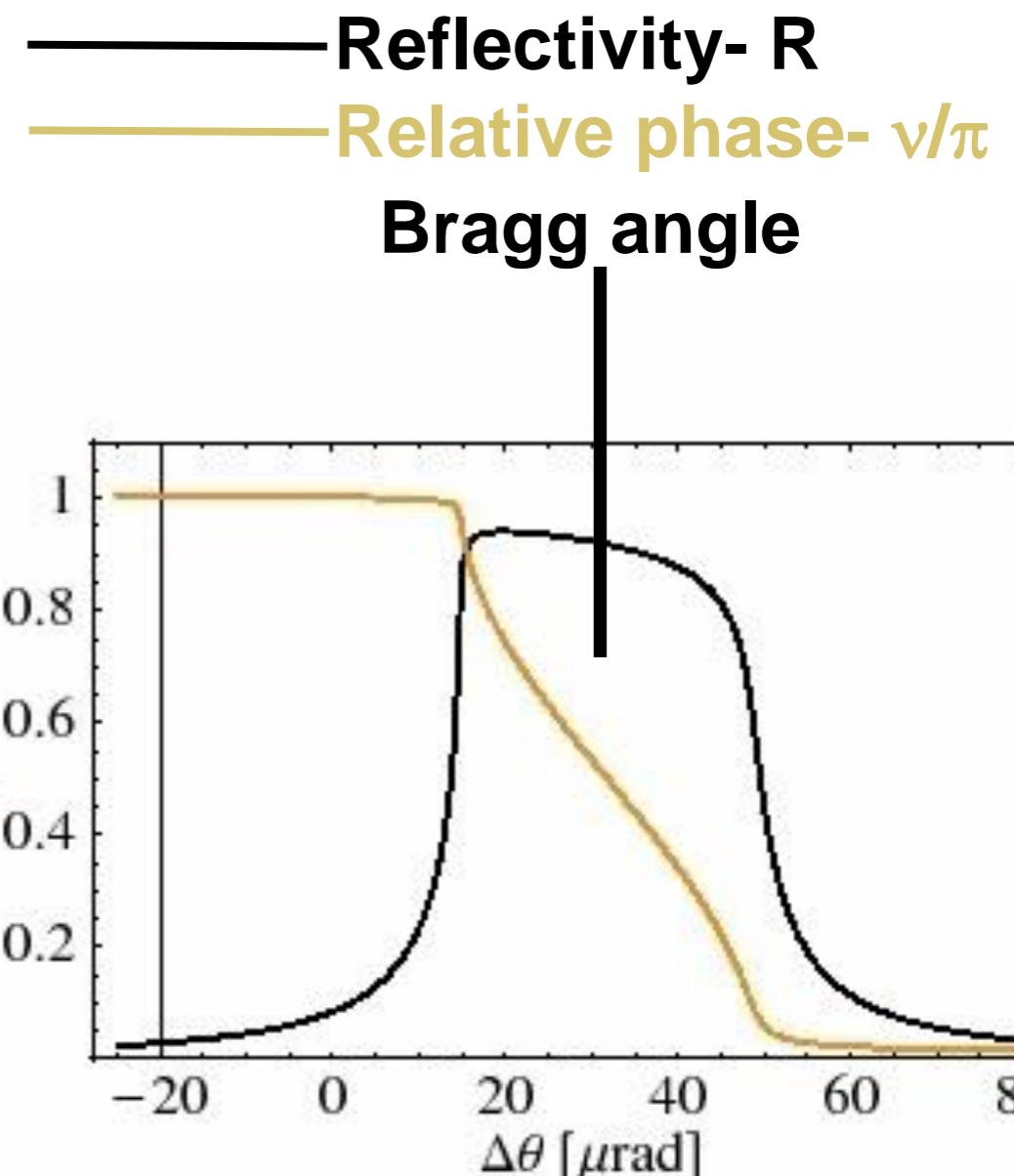
with:  $f$  = coherent fraction of atoms,  $P$  = phase of coherent-atom position

### 3. Phase scan with wedge-shaped sample ("Swedge" method):

# Standing Wave Behavior During a Rocking Curve or Photon-Energy Scan



+Same general forms if photon  
energy is scanned



With thanks to Martin Tolkihn, Dimitri Novikov, DESY

# Hard x-rays, soft x-rays, and standing waves: Some example studies

6.0 keV

CrAl, FeRh:

SPring8

Core-level fine structure and density of states measurements of  
bulk materials

3.2 &  
6 keV

W; GaAs,  $\text{Ga}_{1-x}\text{Mn}_x\text{As}$ :

SPring8

Petra III

Core-level fine structure and angle-resolved photoemission  
How high can we go in energy? → Bulk electronic structure!

0.8 &  
6 keV

$\text{SrTiO}_3/\text{La}_{2/3}\text{Sr}_{1/3}\text{MnO}_3$  multilayer:

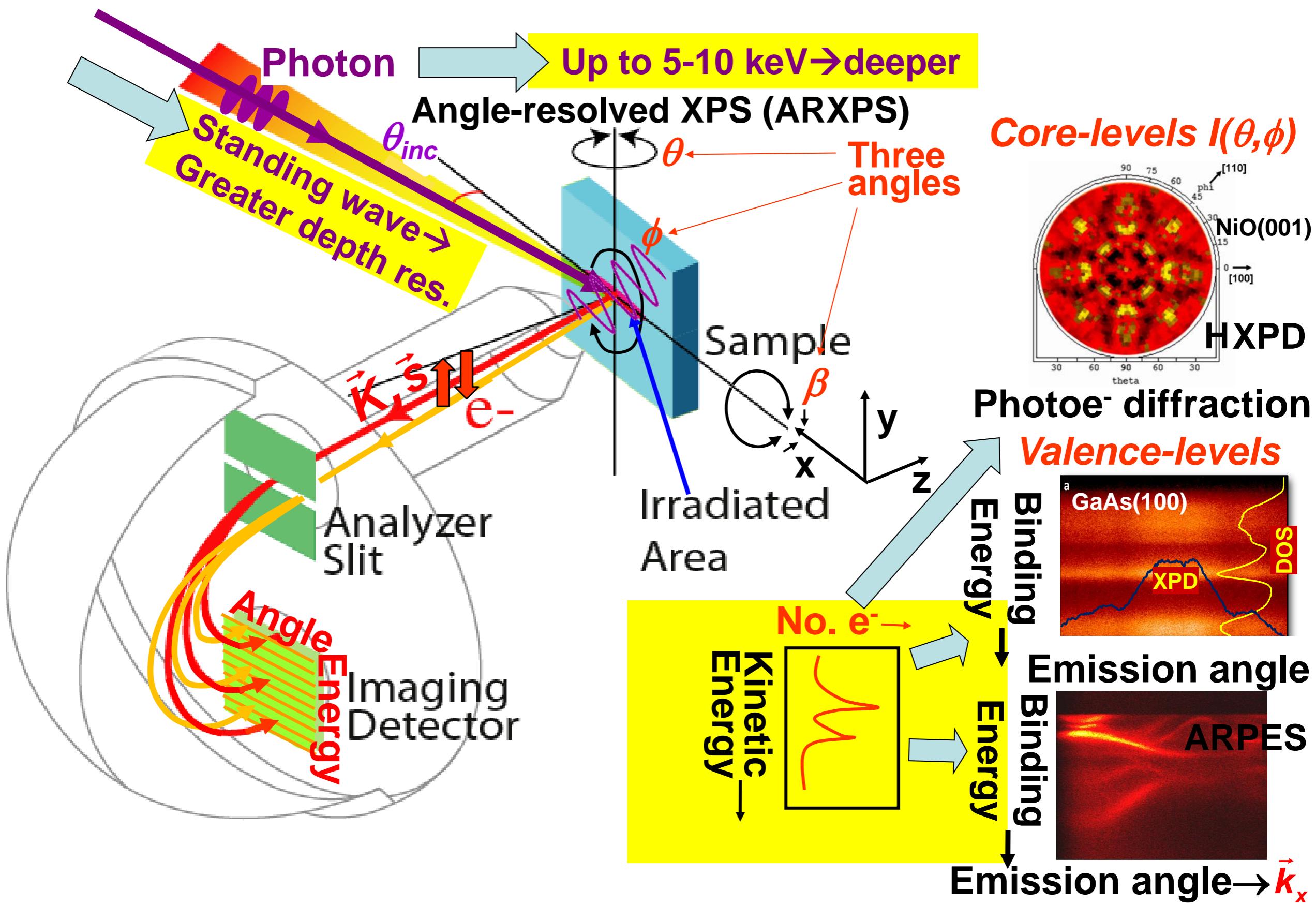
ALS

Standing-wave depth-resolved composition,  
dielectric properties, bonding, and band structure

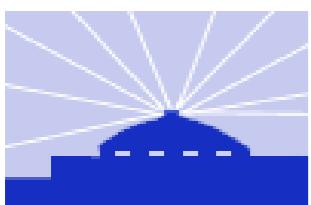
SPring8

SLS

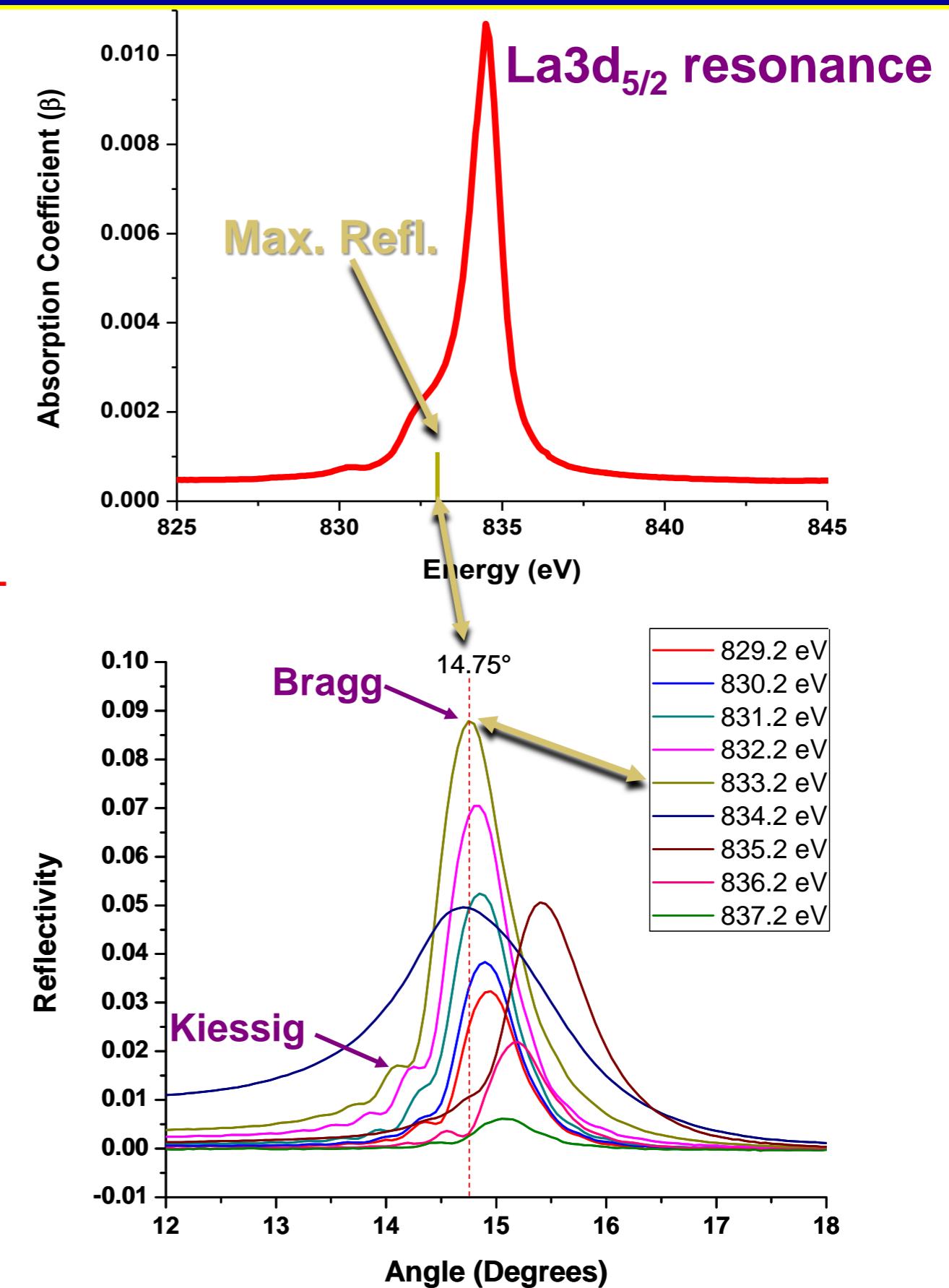
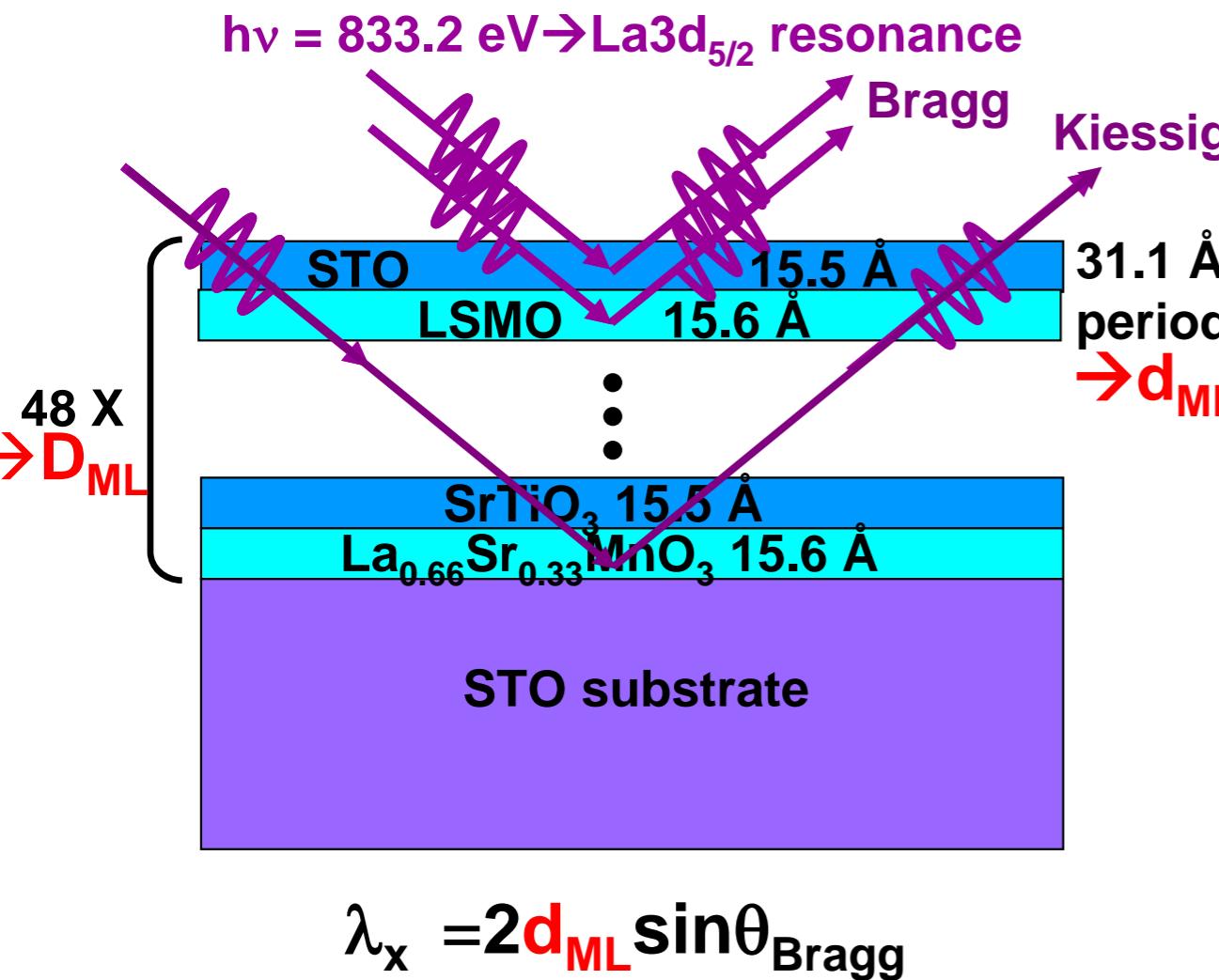
# X-ray photoemission: some key elements



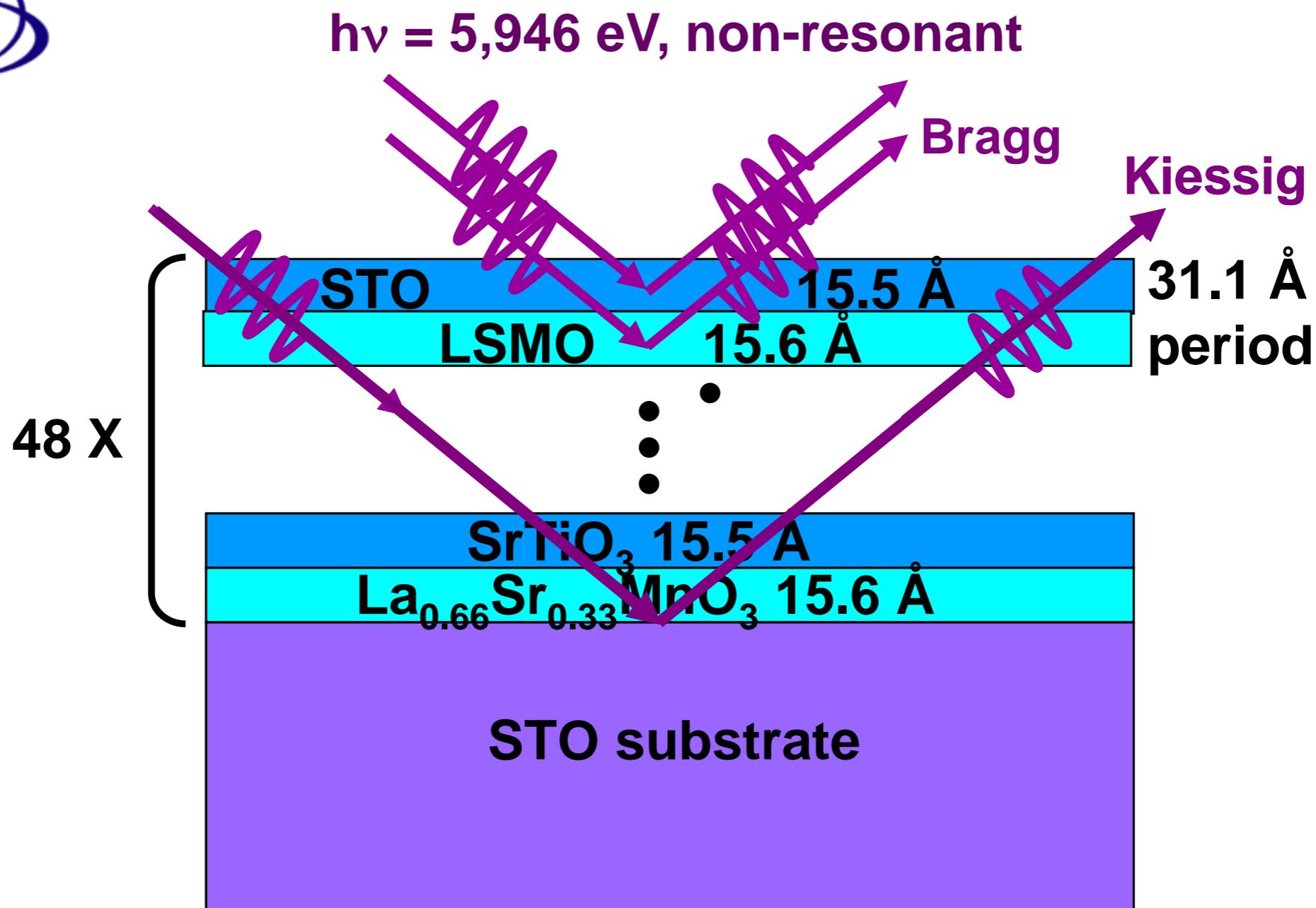
# Case study: Standing wave/rocking curve analysis of an epitaxial SrTiO<sub>3</sub>/La<sub>0.67</sub>Sr<sub>0.33</sub>MnO<sub>3</sub> interface: Resonant soft x-ray excitation



The Advanced Light Source



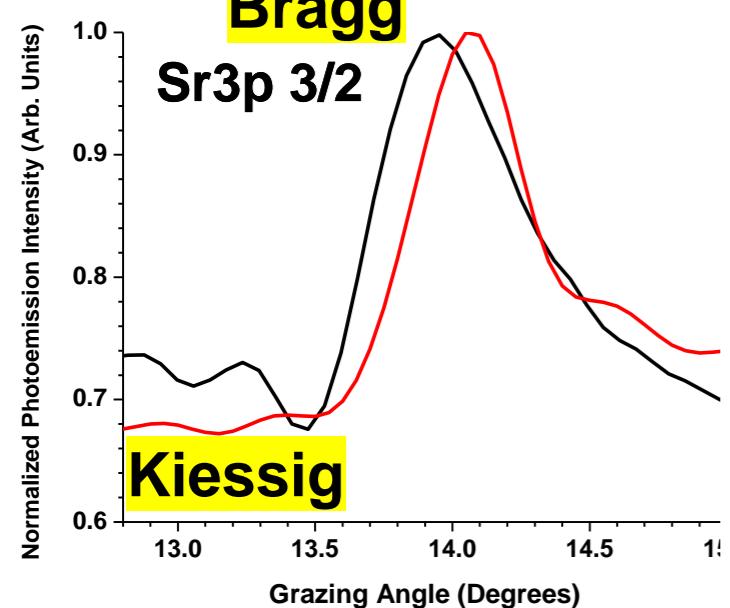
# Standing wave/rocking curve analysis of an epitaxial SrTiO<sub>3</sub>/La<sub>0.67</sub>Sr<sub>0.33</sub>MnO<sub>3</sub> interface: hard x-ray excitation



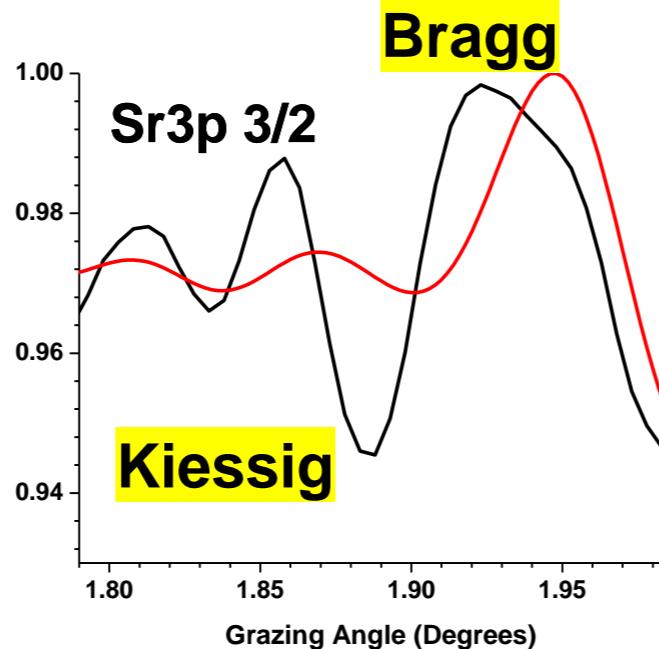
# $\text{SrTiO}_3/\text{La}_{0.67}\text{Sr}_{0.33}\text{MnO}_3$ Multilayer

## Analysis of Rocking Curves

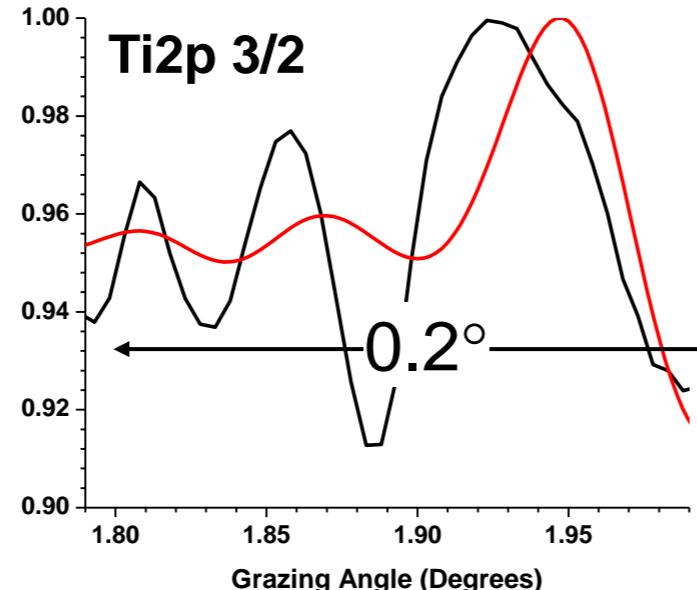
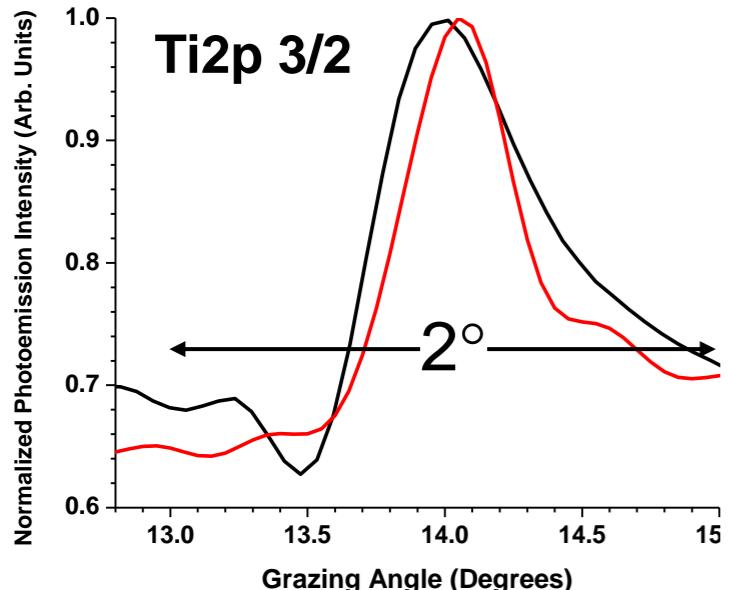
$h\nu = 833.2 \text{ eV}$



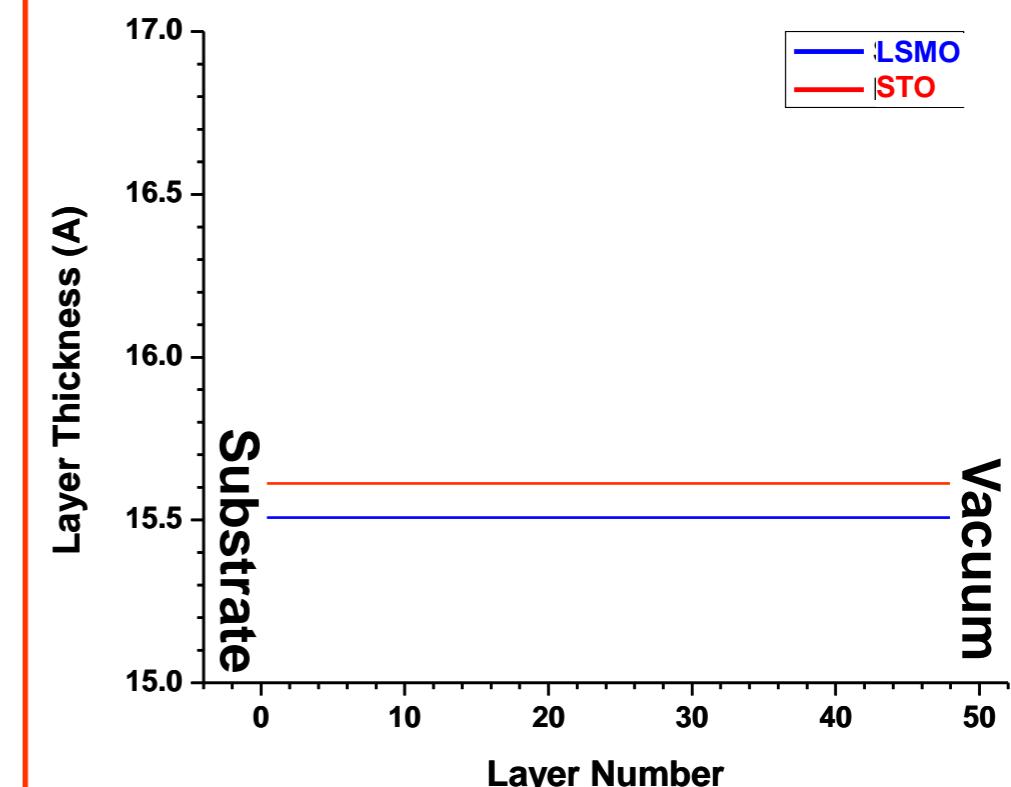
$h\nu = 5956.4 \text{ eV}$



Expt.  
Calc.



## Ideal Bilayer Thickness Gradient Profile



- Relative amplitude of the predicted Kiessig fringes does not agree with experiment
- Strong Kiessig fringes predicted on both sides of the rocking curves, esp. 5.9 keV

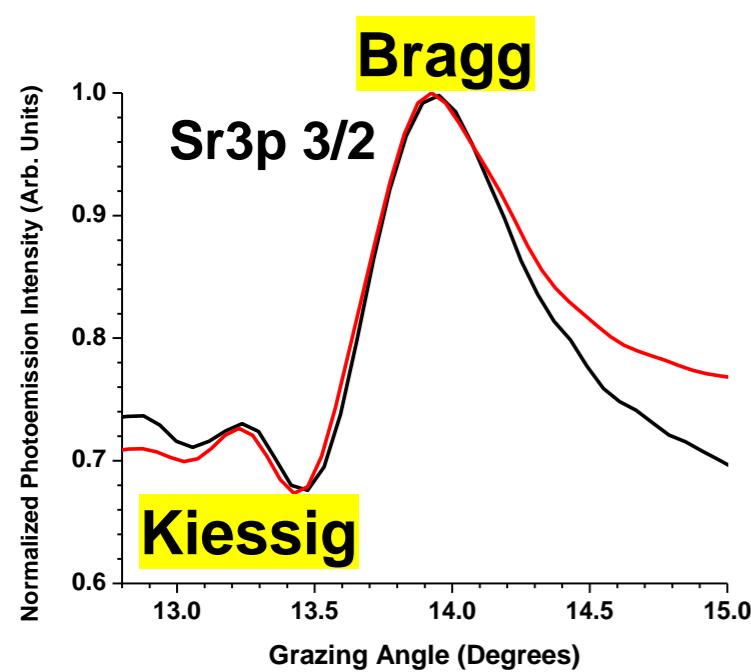


The Advanced Light Source

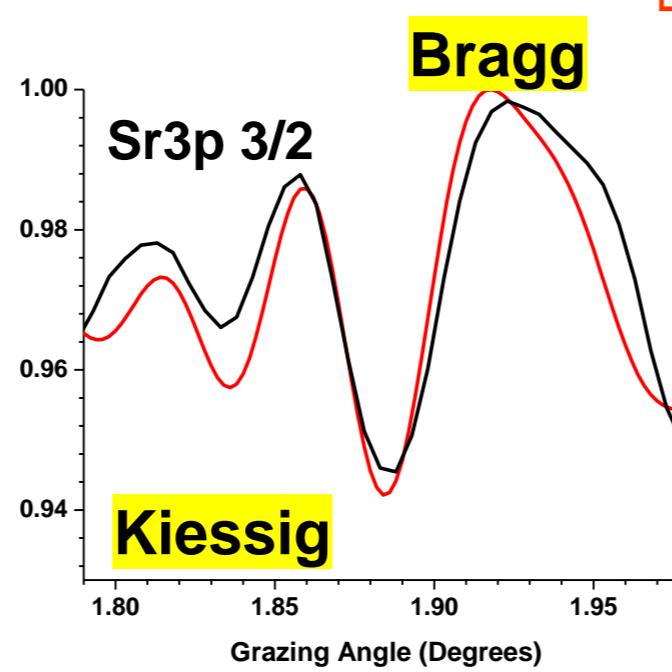


# $\text{SrTiO}_3/\text{La}_{0.67}\text{Sr}_{0.33}\text{MnO}_3$ Multilayer Analysis of Rocking Curves

$h\nu = 833.2 \text{ eV}$

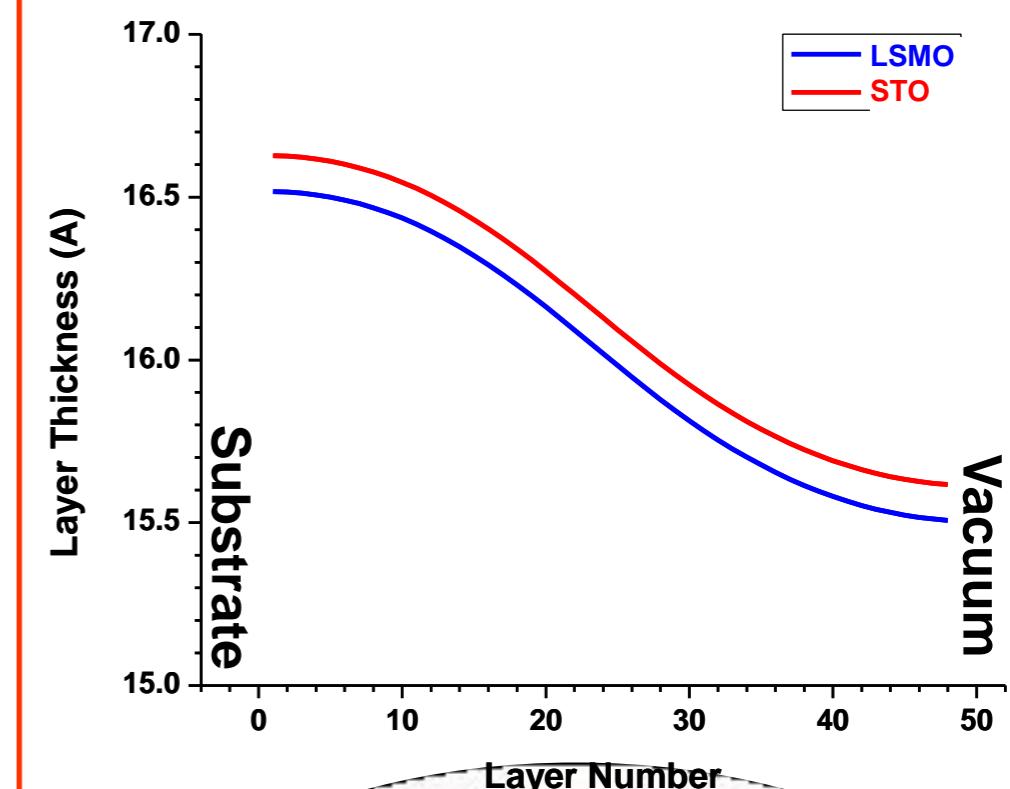


$h\nu = 5956.4 \text{ eV}$



Exp.  
Calc.

## Bilayer Thickness Gradient Profile



→ Average multilayer  $d_{\text{ML}}$  changes by about  $-2 \text{ Å} \approx -6\%$  from top to bottom



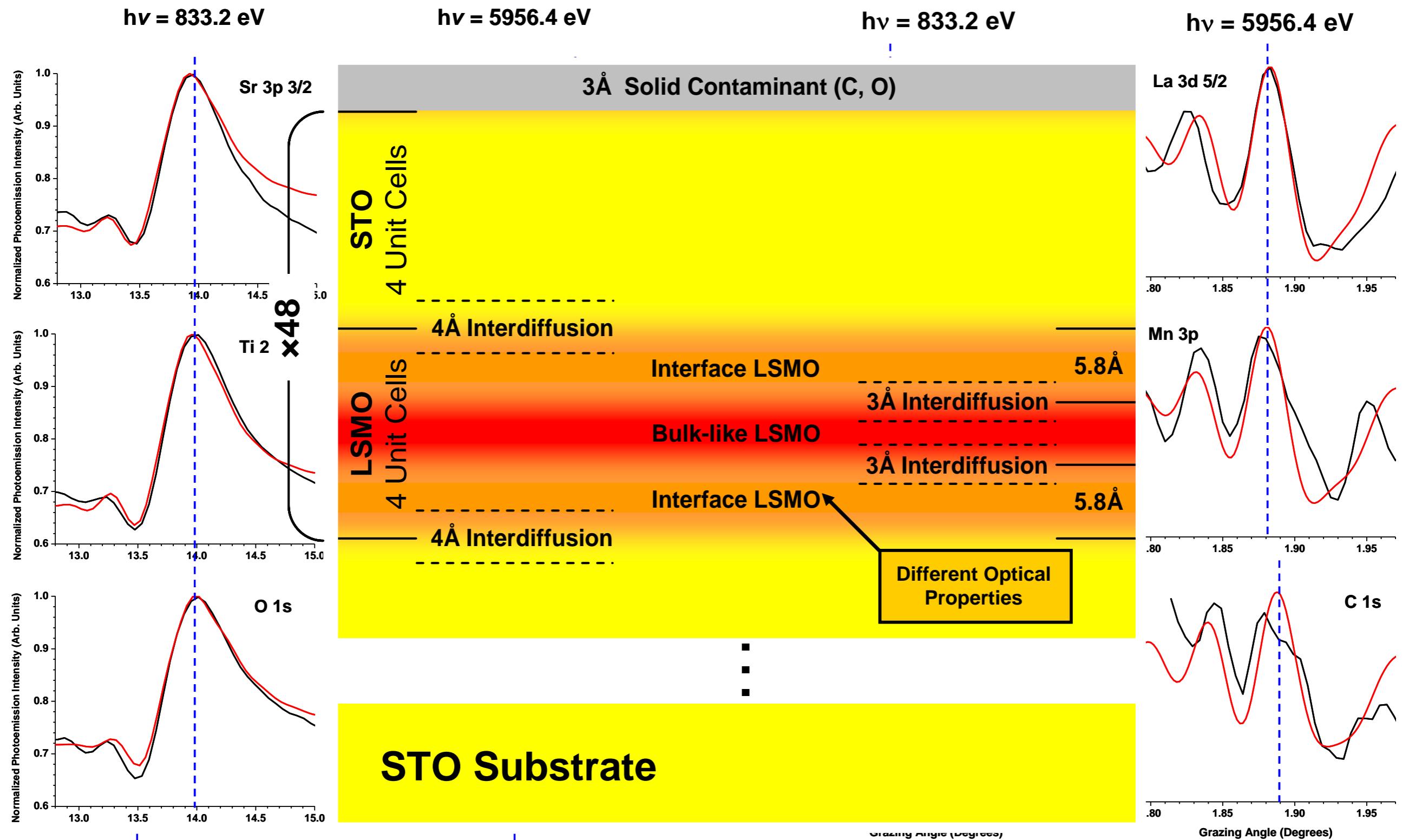
BEST FIT



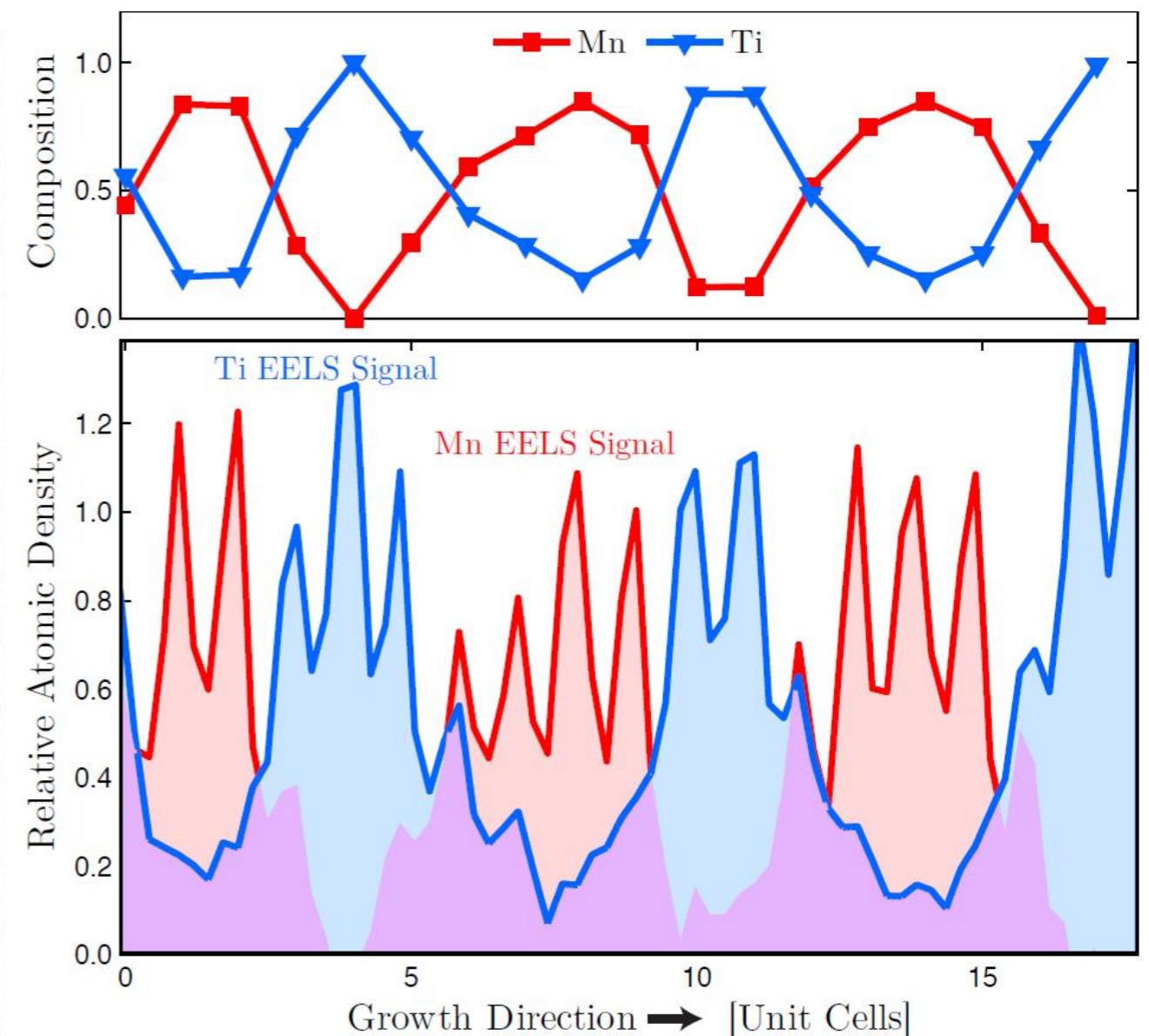
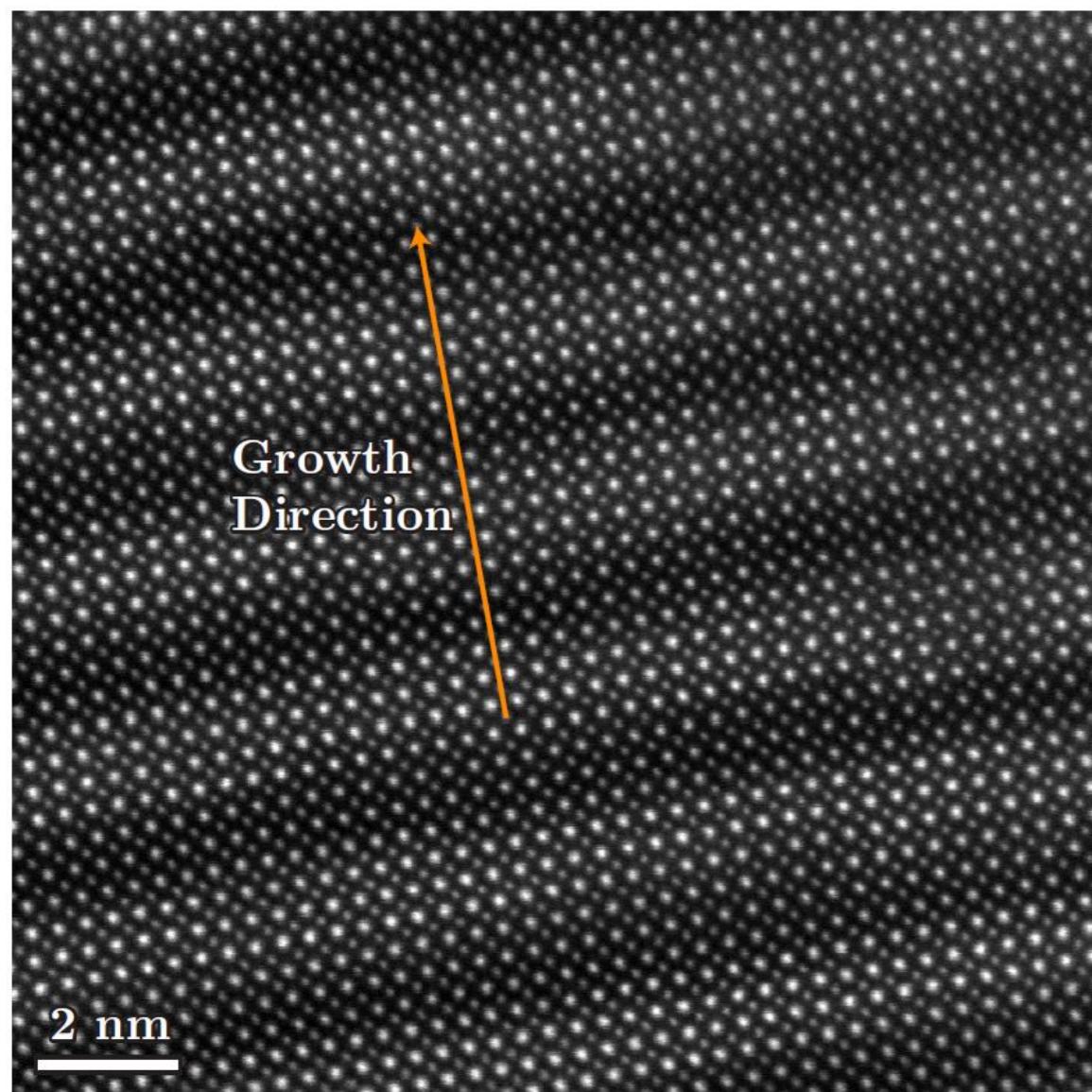
The Advanced  
Light Source

Gray et al., Phys. Rev. B 82, 205116 (2010)

# Fitting of Rocking Curves—All Elements Present, Soft and Hard X-rays

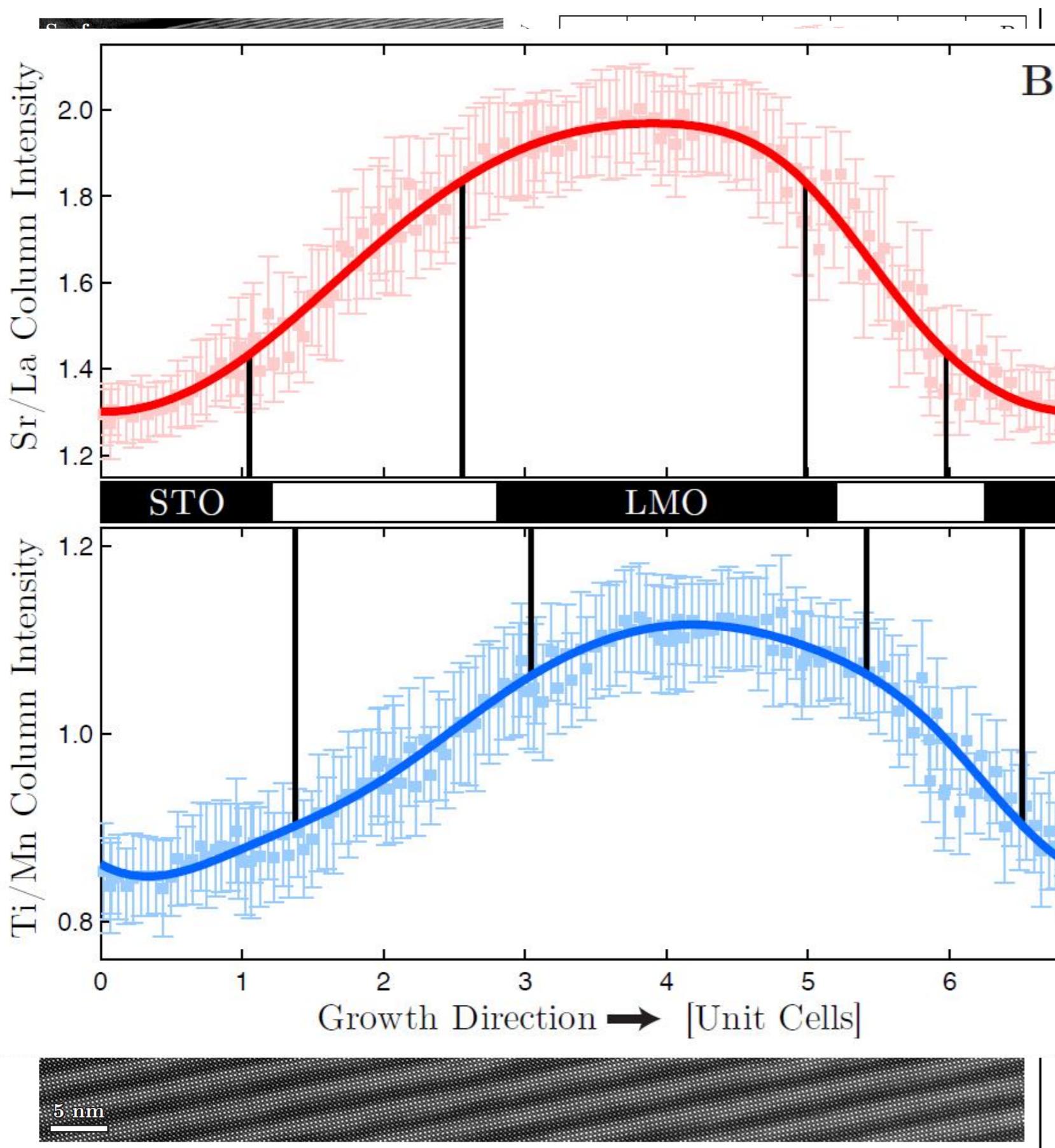


# TEM with EELS-Confirms Conclusions of Standing-Wave Photoemission



Also confirms change in bilayer spacing from top to bottom

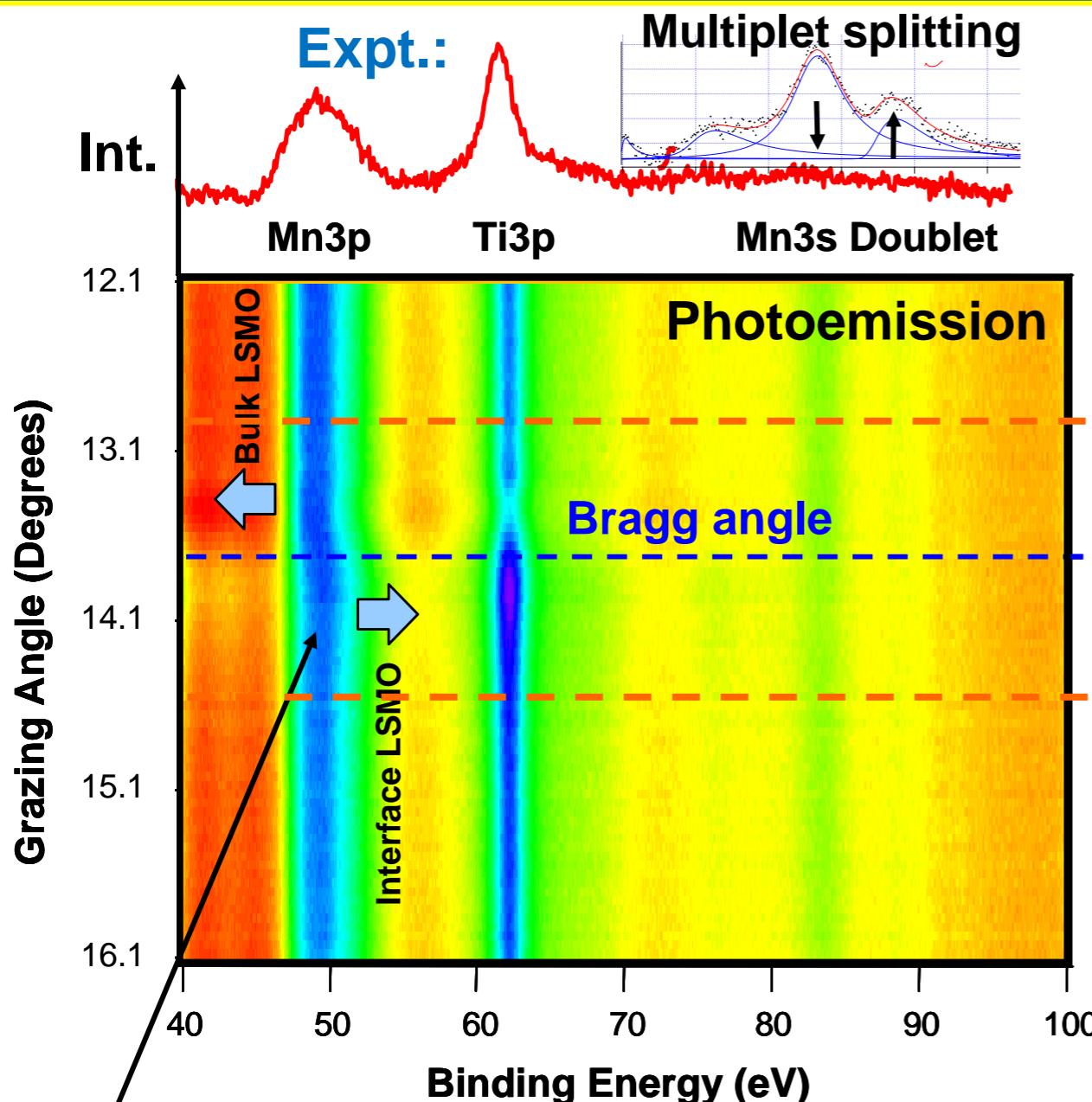
# TEM with EELS-Confirms Conclusions of Standing-Wave Photoemission



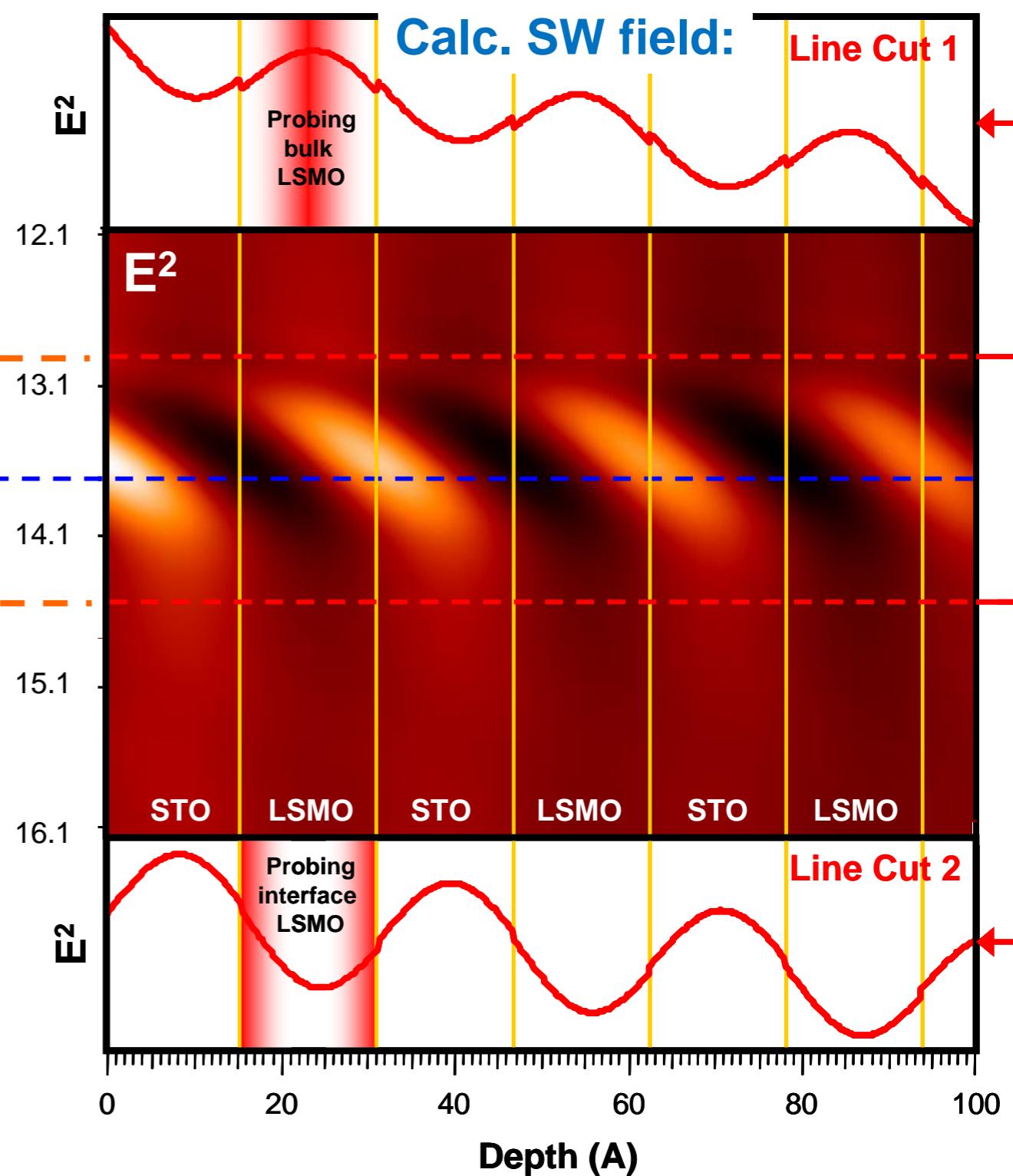
- Top and bottom interfaces of difference thicknesses
- Photoemission most sensitive to top interface

Measurements at Nat'l. Center for  
Electron Microscopy, LBNL by  
J. Ciston, C. Ophus, M. Mancuso

# STO/LSMO-Resonant soft x-ray standing wave/rocking curves at 833 eV: core photoelectron peaks compared to calculated standing-wave field

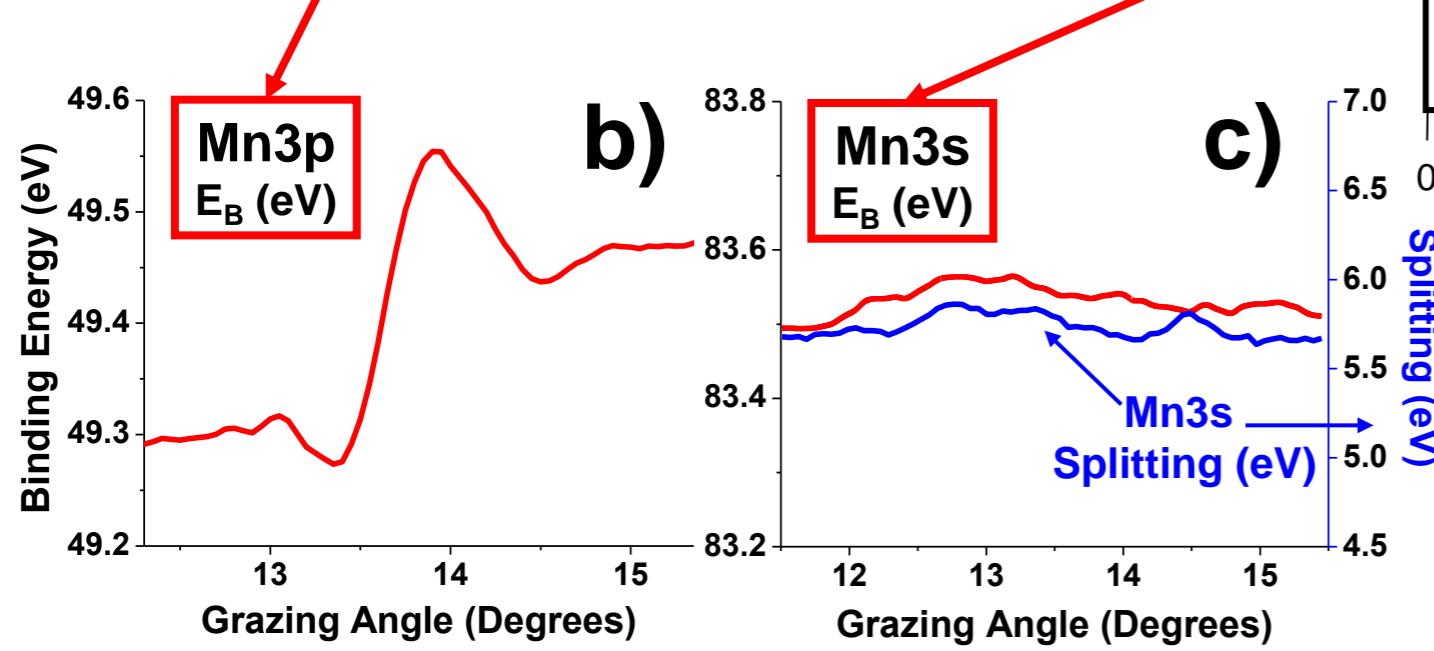
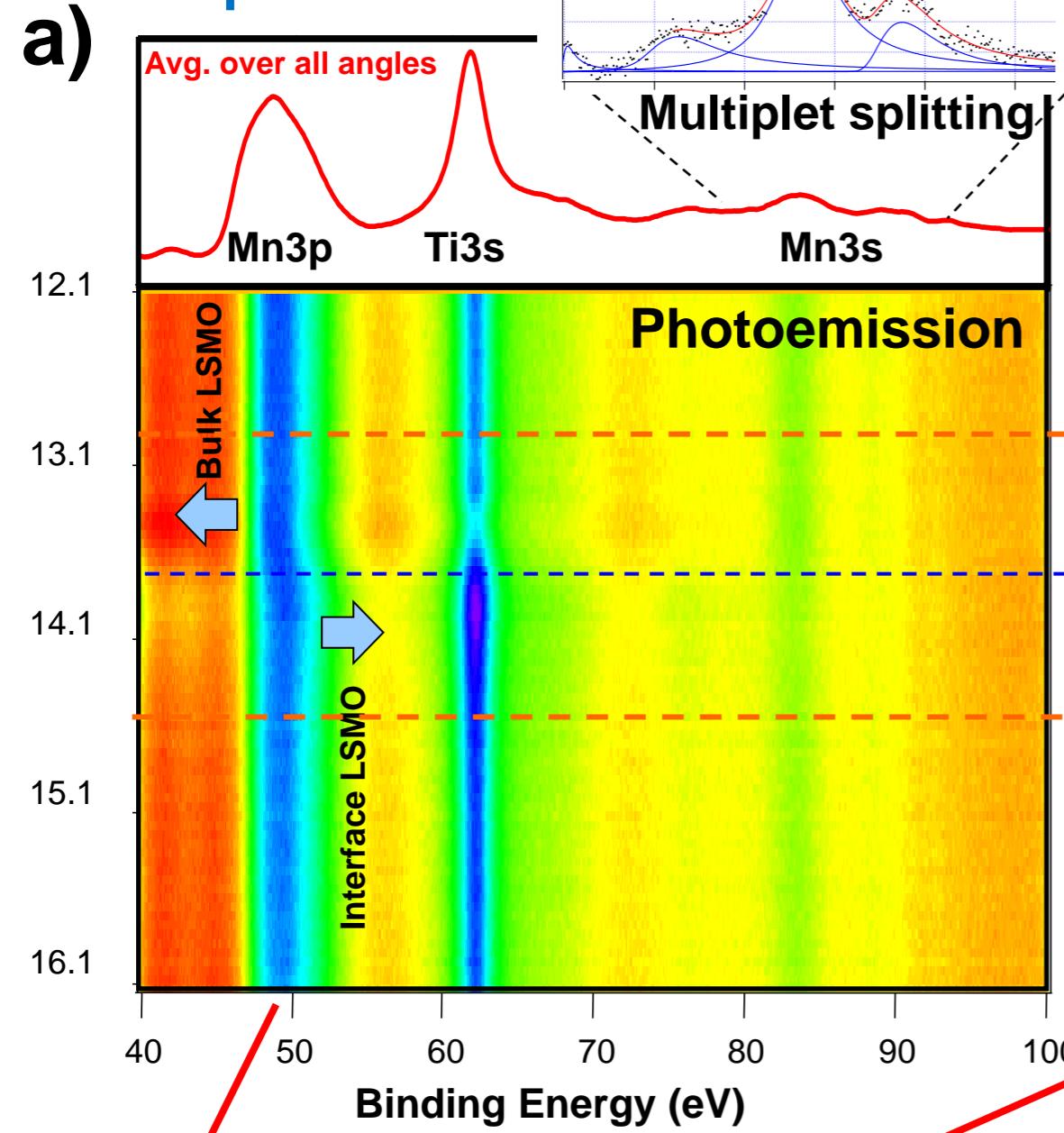


- Clear chemical/final-state shift at interface seen in Mn 3p
- No change in Mn 3s
- No change in Ti 3p—near surface



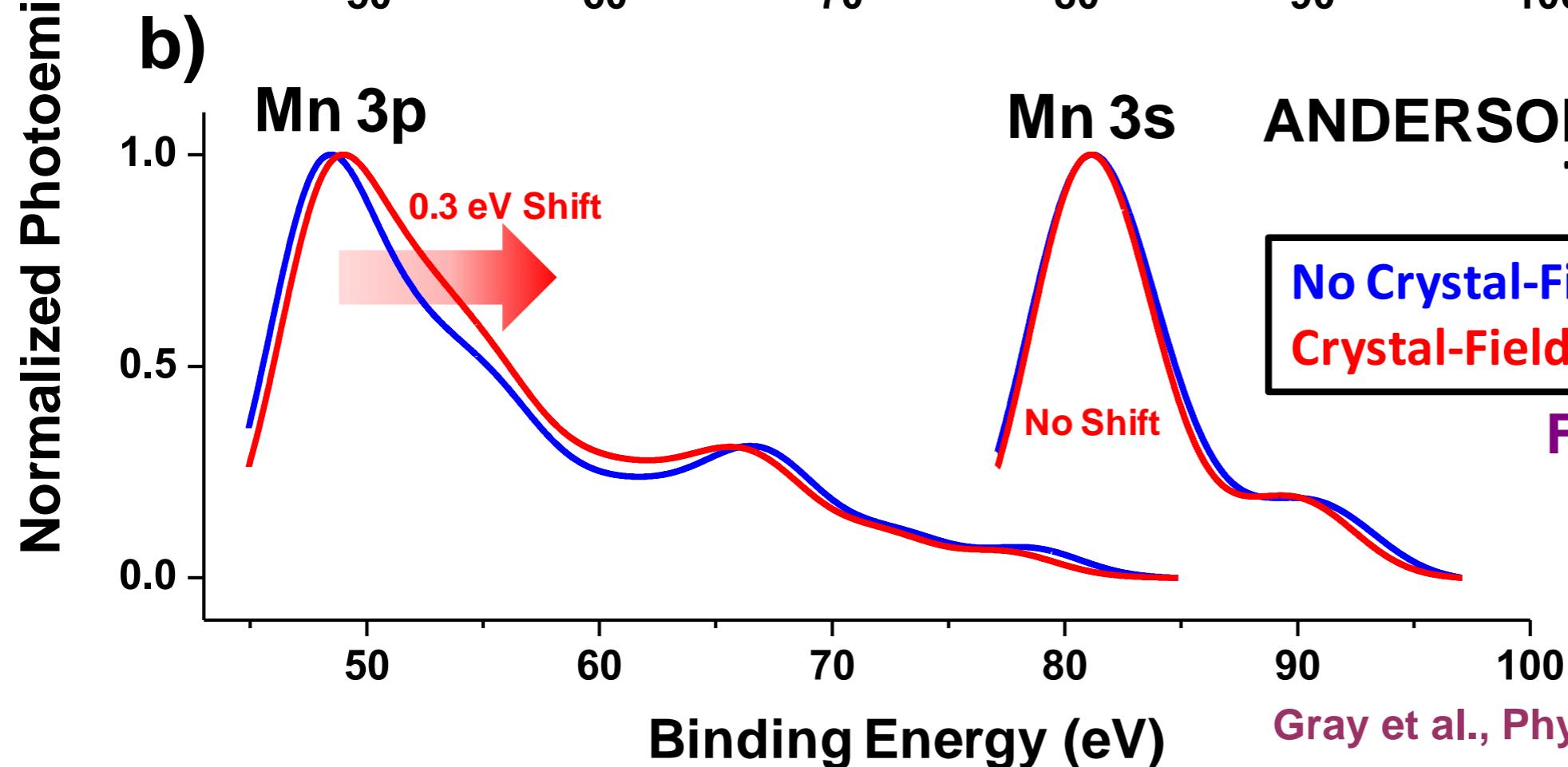
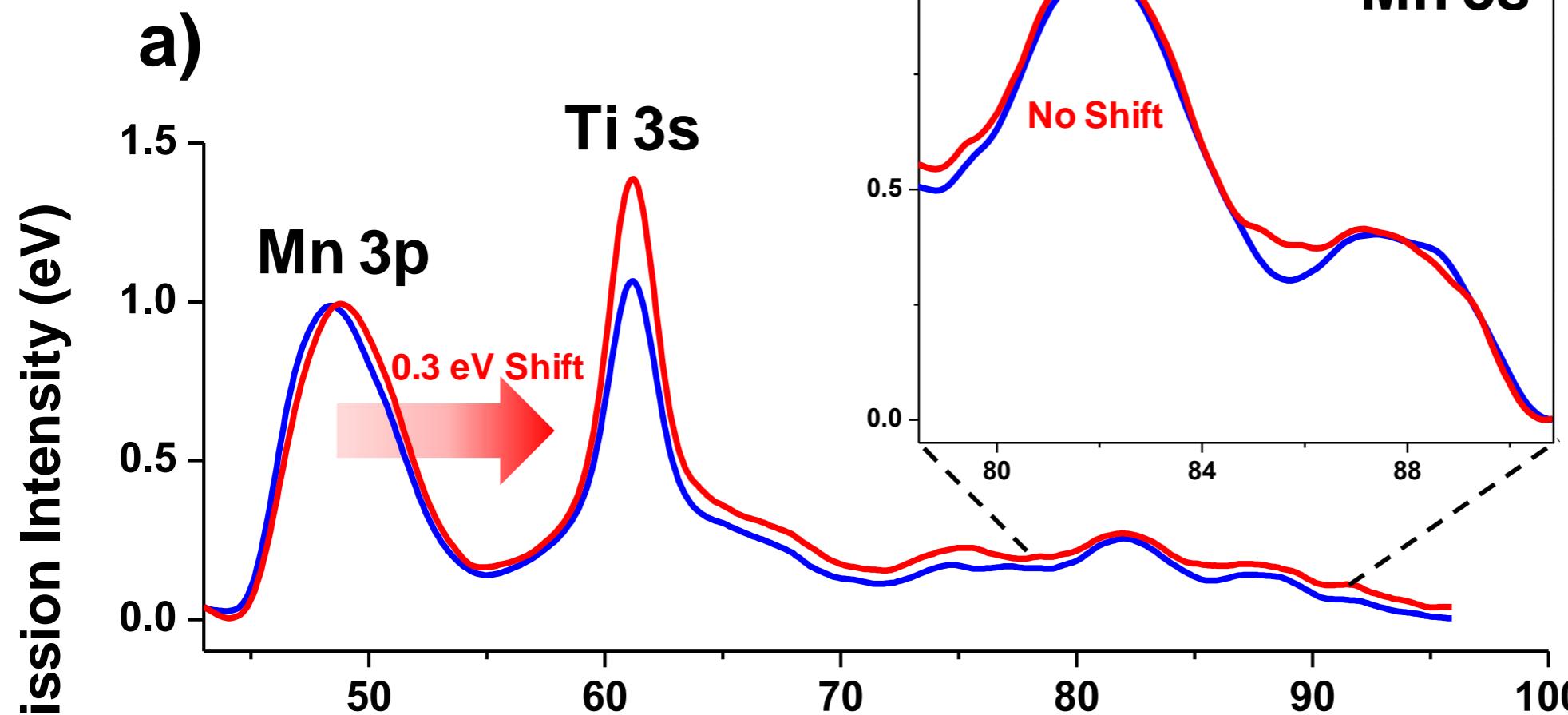
Gray, Yang et al., Phys. Rev. B 82, 205116 (2010)

**Expt.:**

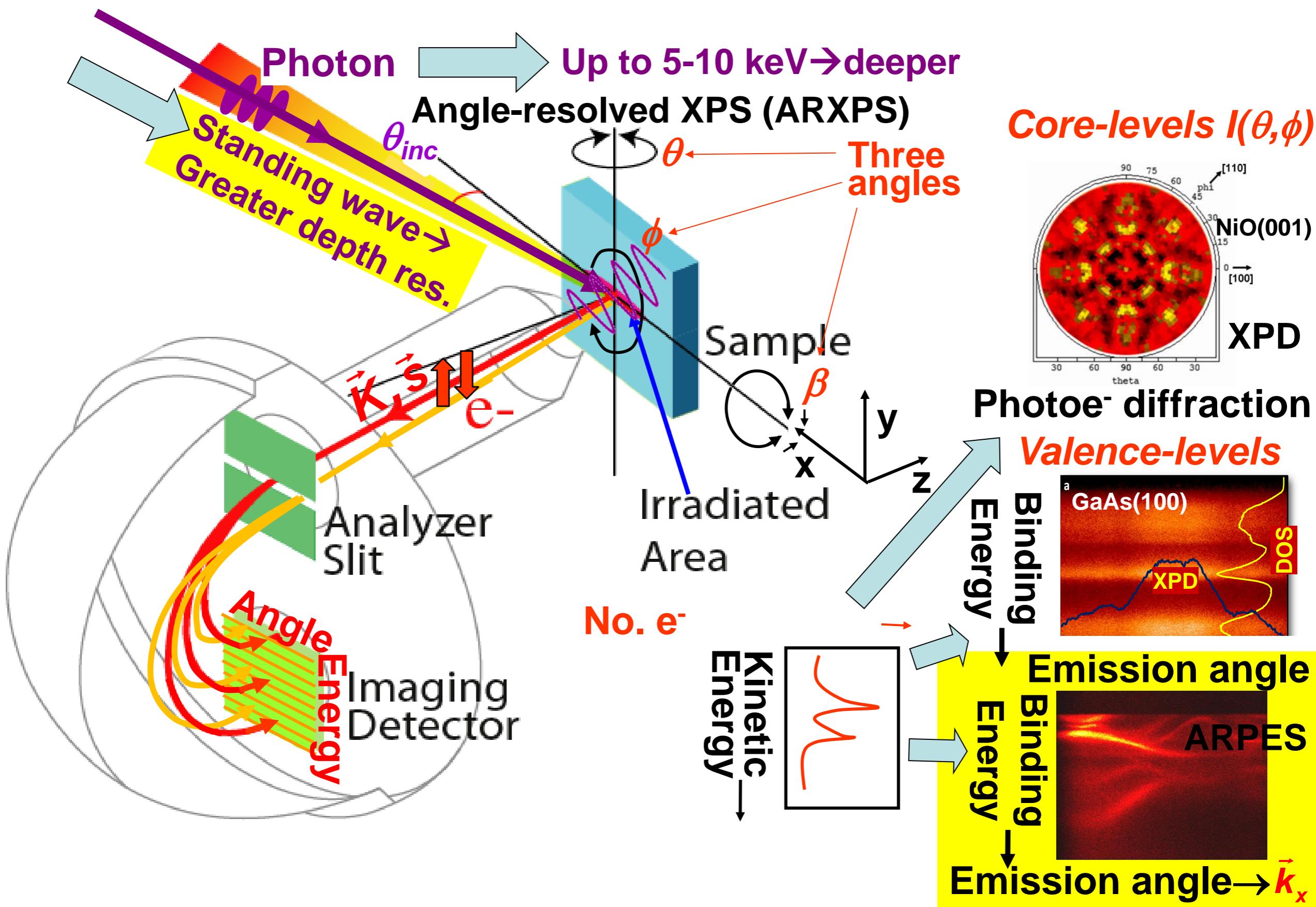


- Clear shift at interface in Mn 3p
- No change in Mn 3s binding energy or multiplet splitting

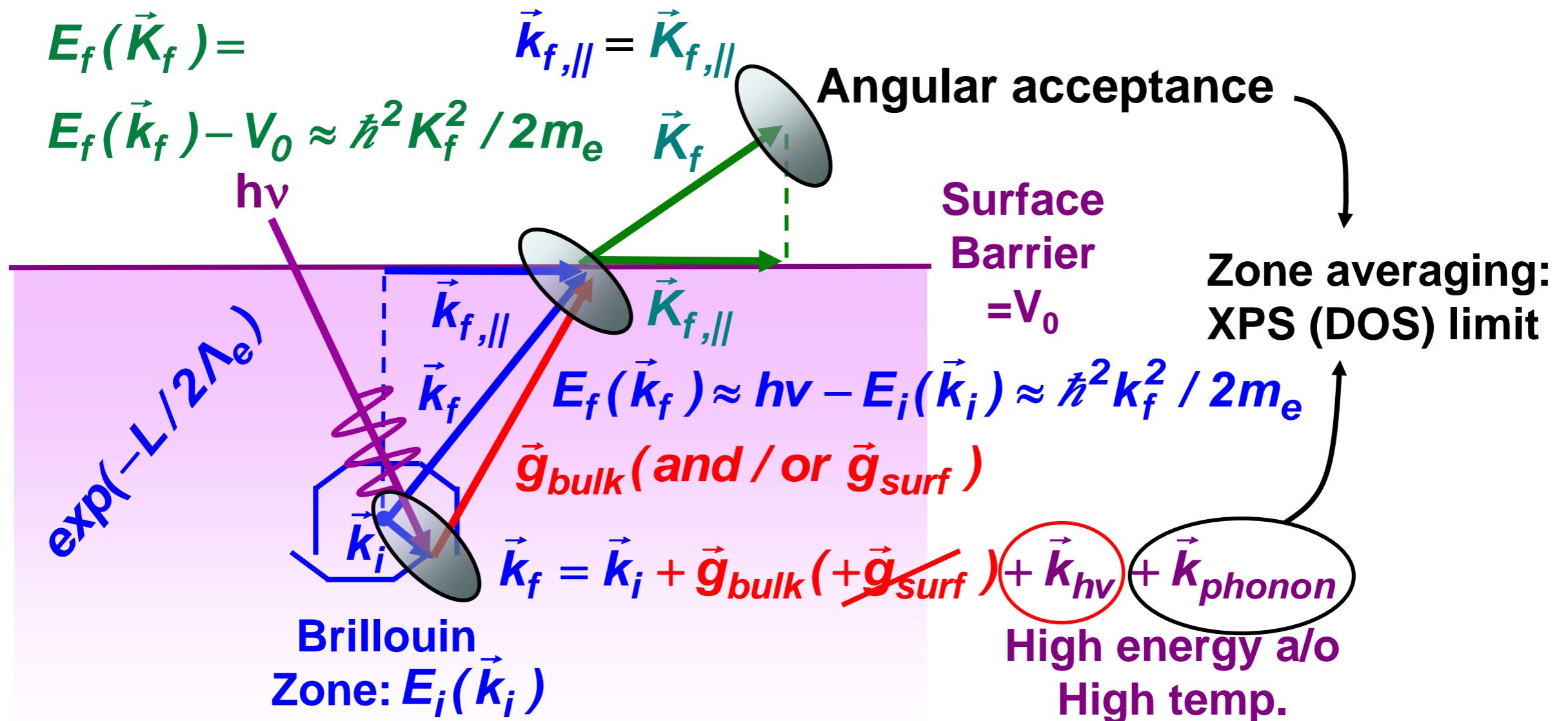
# STO/LSMO-Explaining the Difference Between Mn 3p and Mn 3s behavior



# X-ray photoemission: some key elements



# ARPES—How high can we go in energy and temperature?



Fraction DTs  $\approx$  Debye-Waller factor =  $W(T) \approx \exp[-(k^f)^2 \langle u^2(T) \rangle]$   
 $\approx \exp[-C_1 (k^f)^2 T / (m \Theta_D^2)] \approx \exp(-C_2 E_{kin} T)$

$W \approx 1$

ARPES  $\rightarrow$  bands,  
quasiparticles  
(Low  $h\nu$ , Low  $T$ ,  
High angul. Res.)

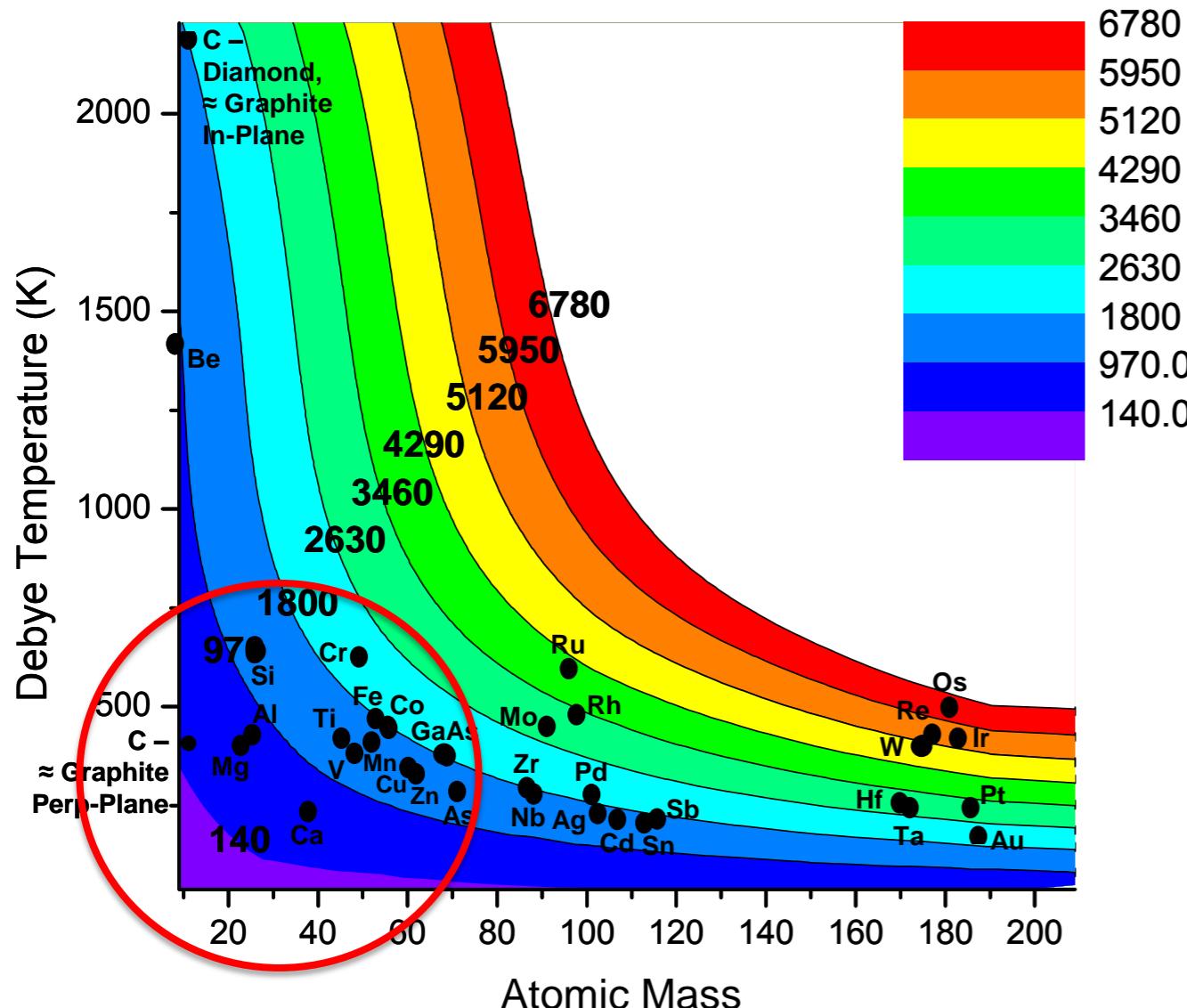
$W \approx 0$

XPS  $\rightarrow$  DOS+XPD  
(High  $h\nu$ , High  $T$ ,  
Low angul. Res.)

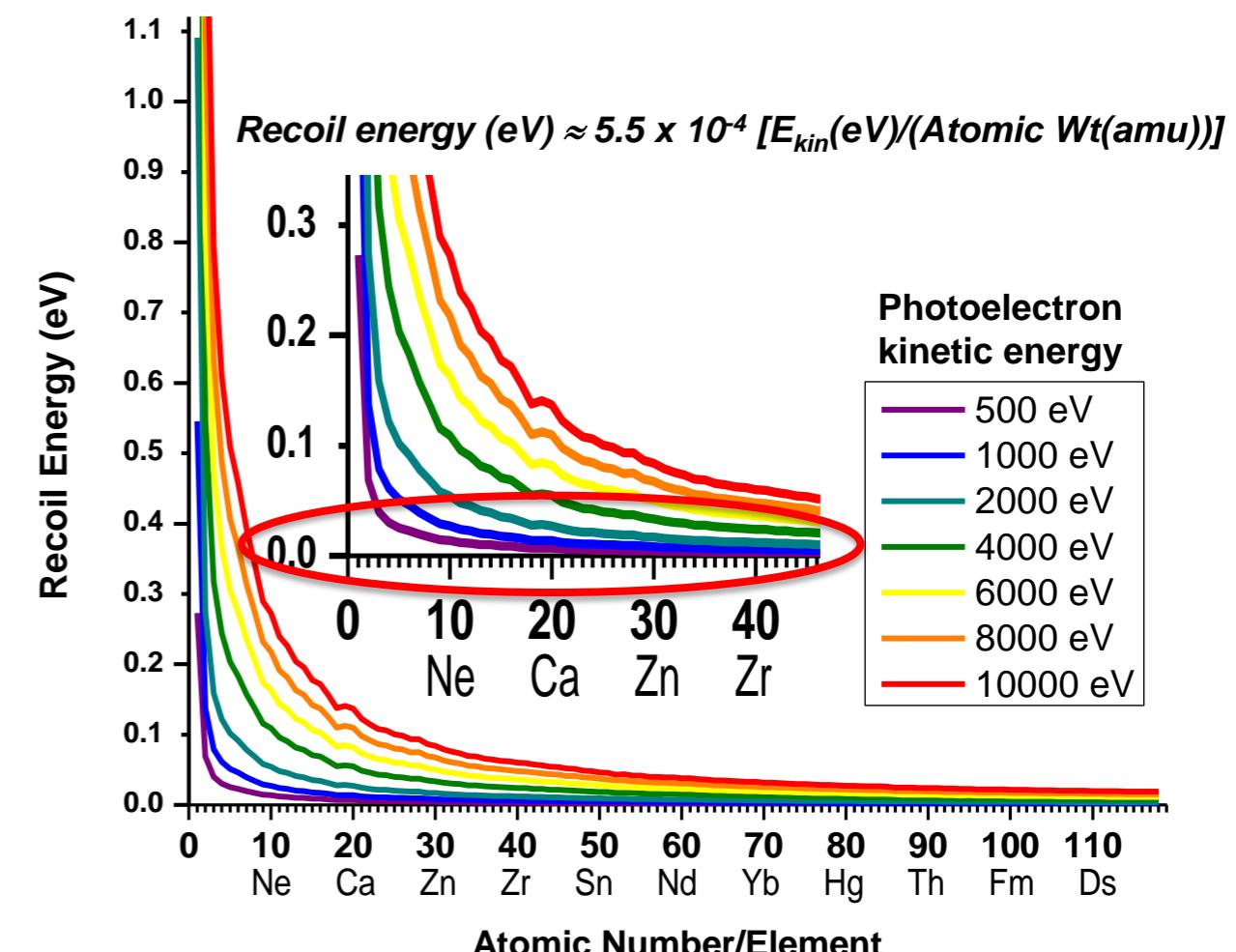
Shevchik, Phys. Rev. B 16, 3428 (1977)  
Hussain....CF, Phys. Rev. B 34 (1986) 5226

# ARPES→HARPES-How high can we go? Photoemission Debye-Waller Factors and Recoil Energies

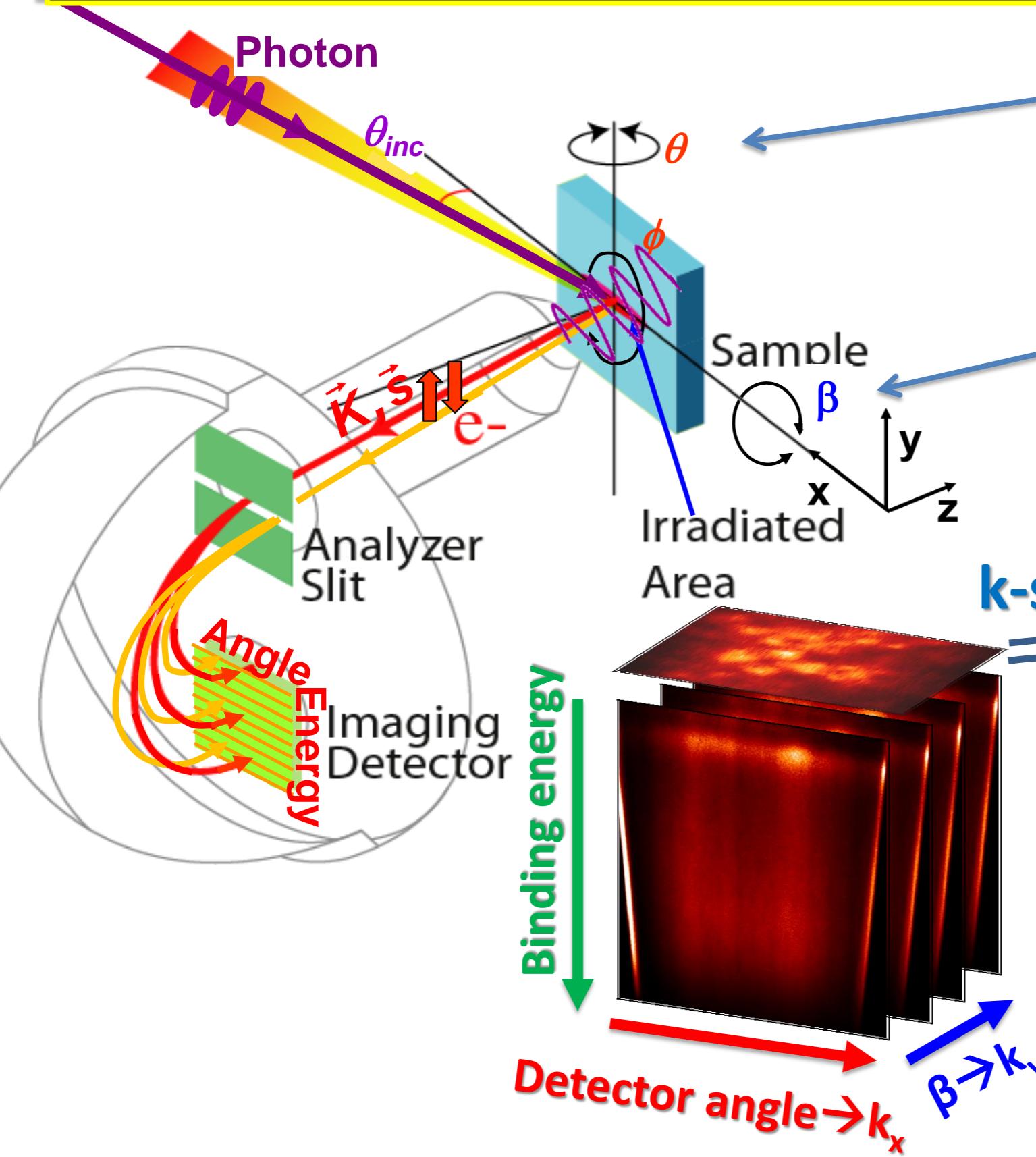
Photon energy for ~50% DTs  
= 0.5 D-W @ 20K



Recoil energy for all atoms and  
different photon energies



# Standing-wave angle-resolved photoemission



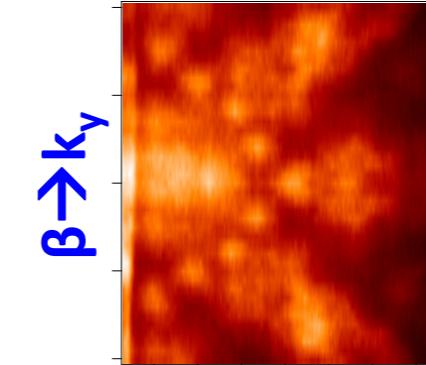
**Variation of  $\Theta_{inc}$ :**

Change of incidence angle  
⇒ Standing wave sweeps

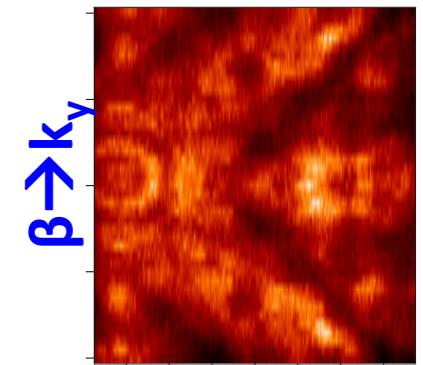
**Variation of  $\beta$ :**

Change of takeoff angle  
⇒ Electron energies for different  $k_y$  vectors

## k-space mapping

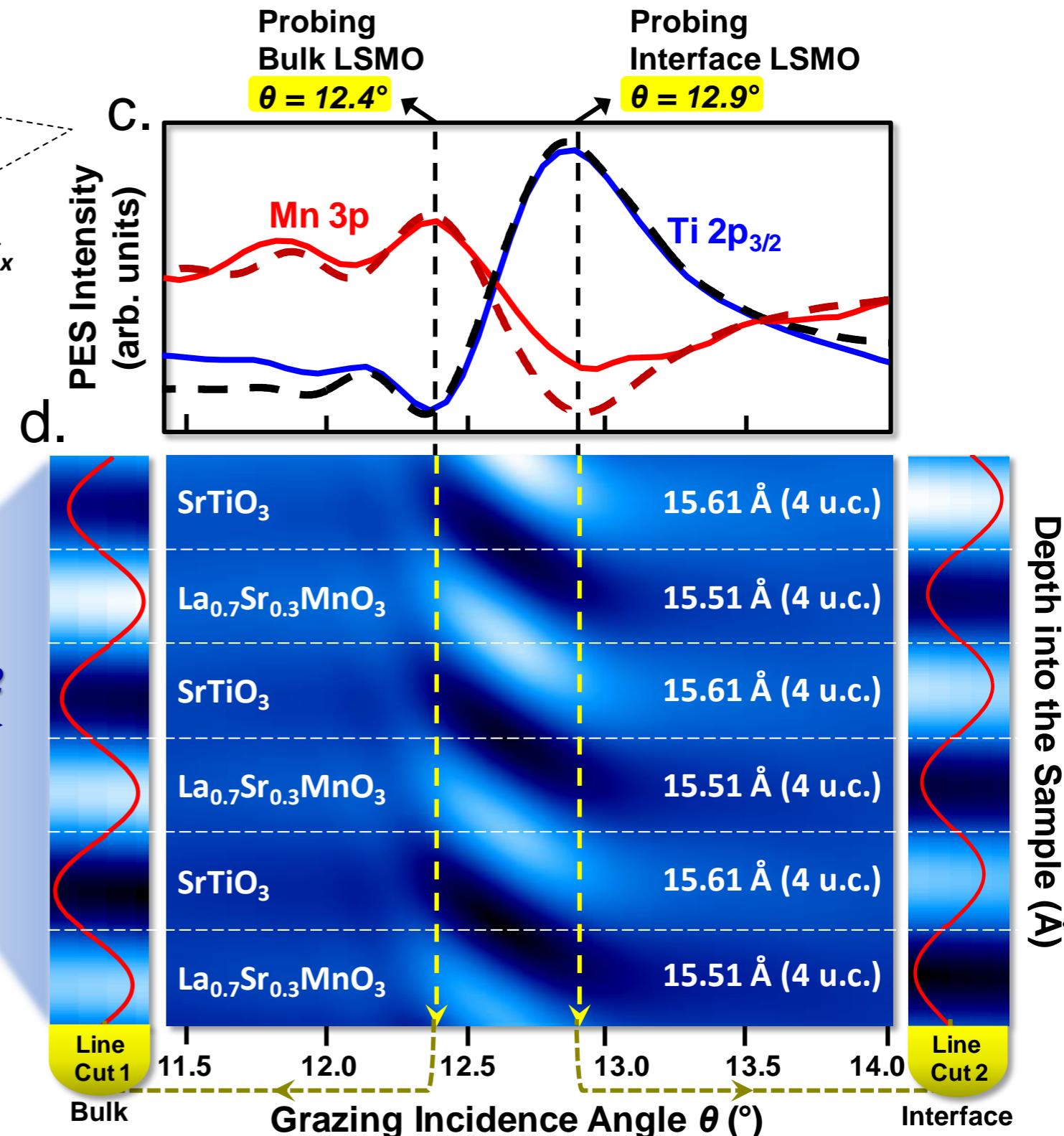
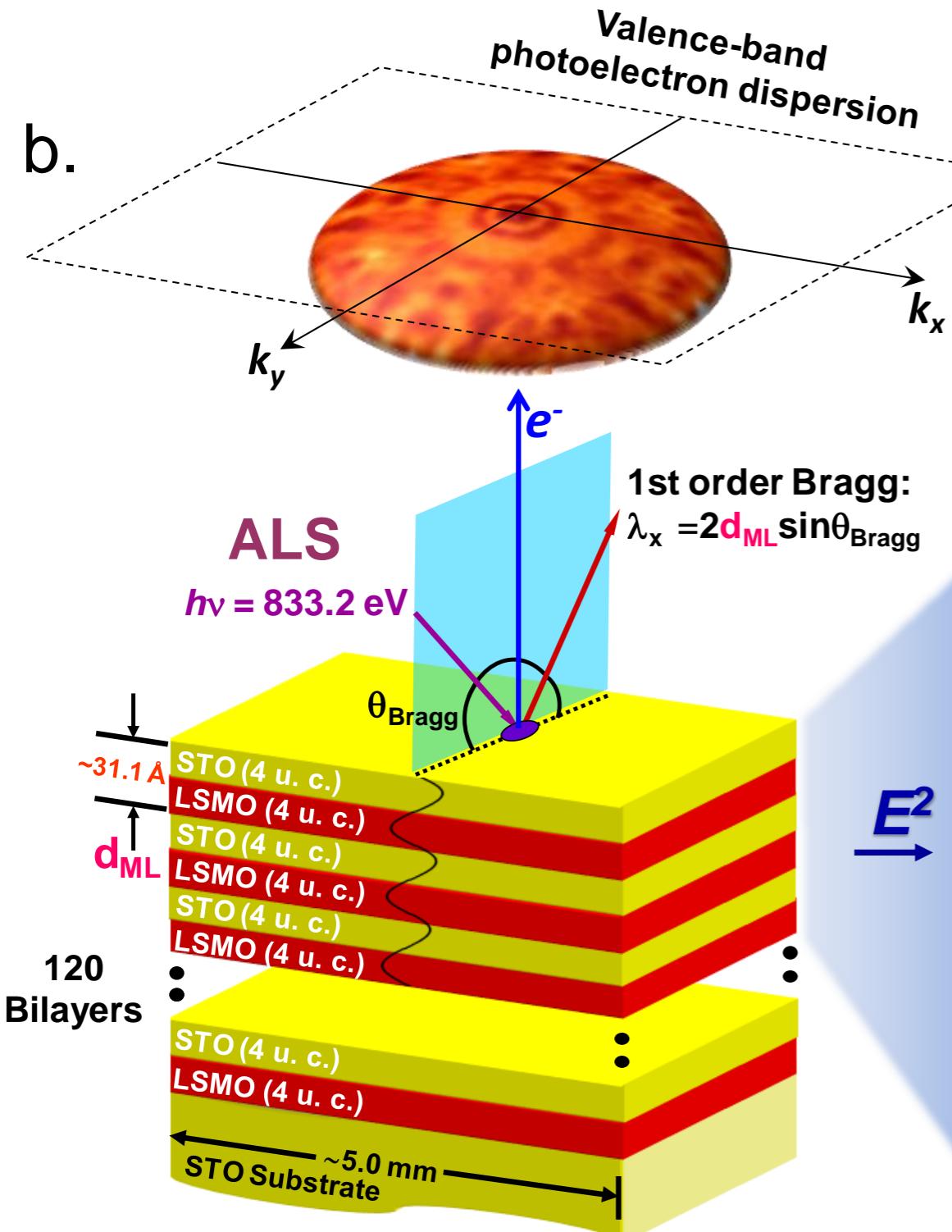


**Detector angle  $\rightarrow k_x$**   
Core levels:  
XPD



**Detector angle  $\rightarrow k_x$**   
Valence bands:  
ARPES

# Depth-Resolved Soft X-Ray ARPES?

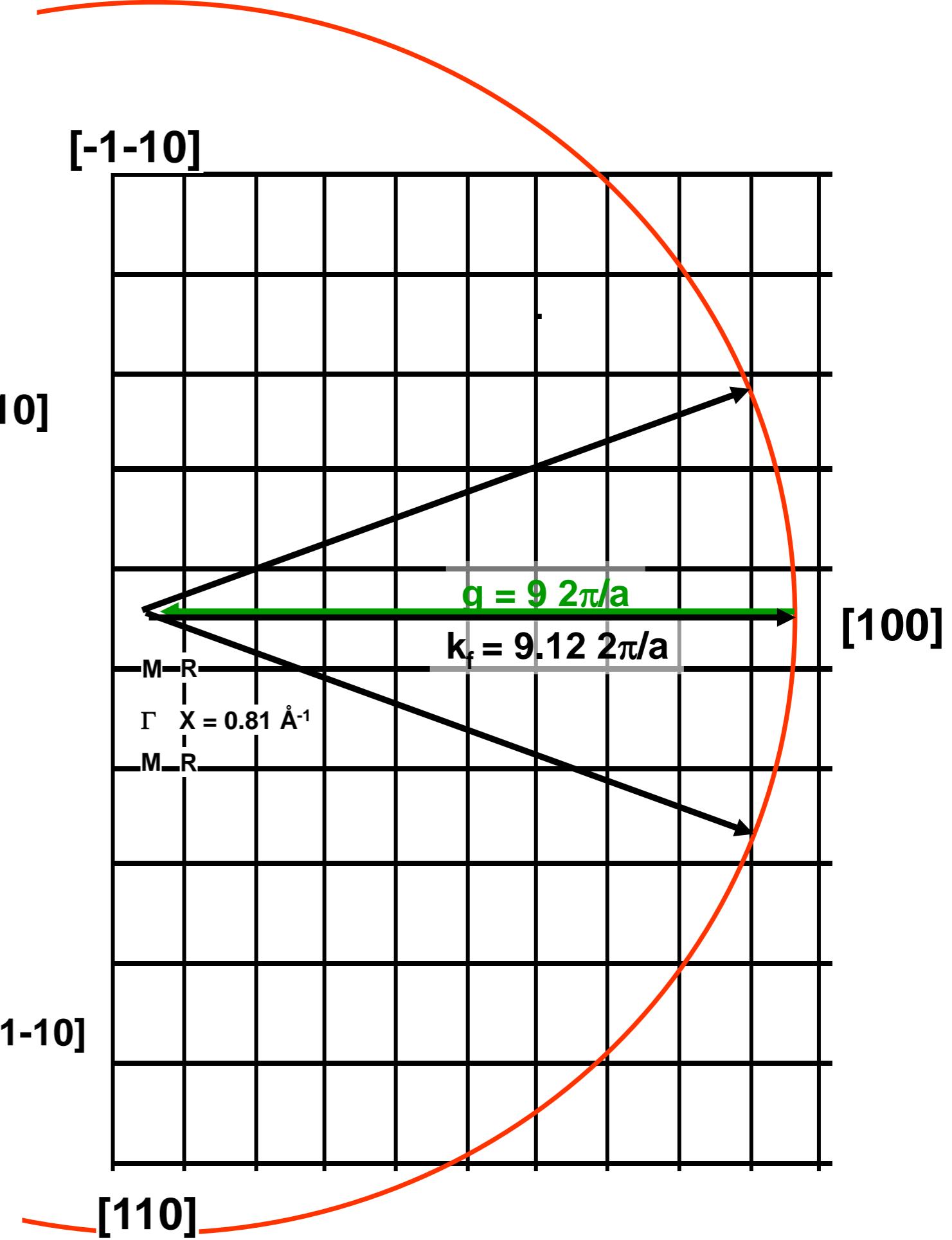
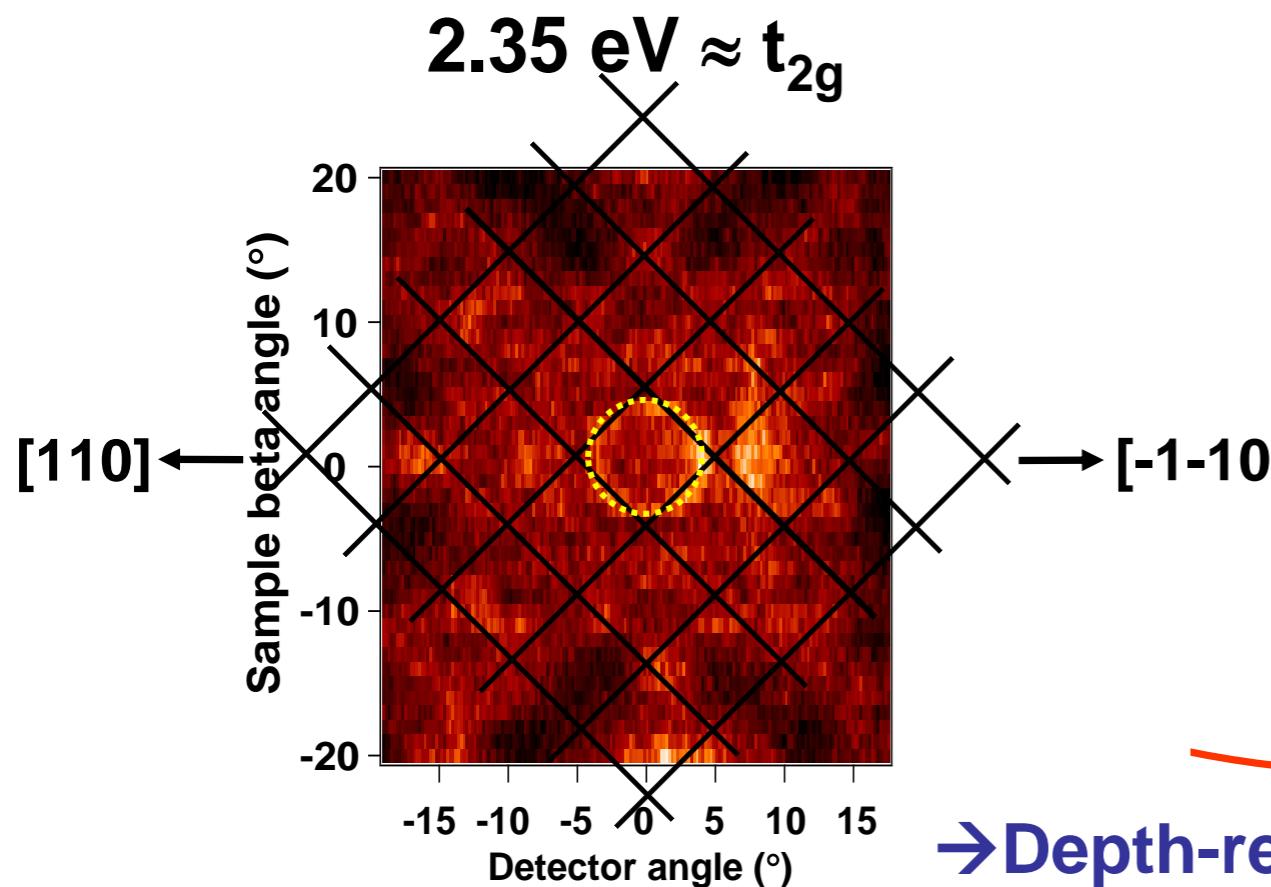
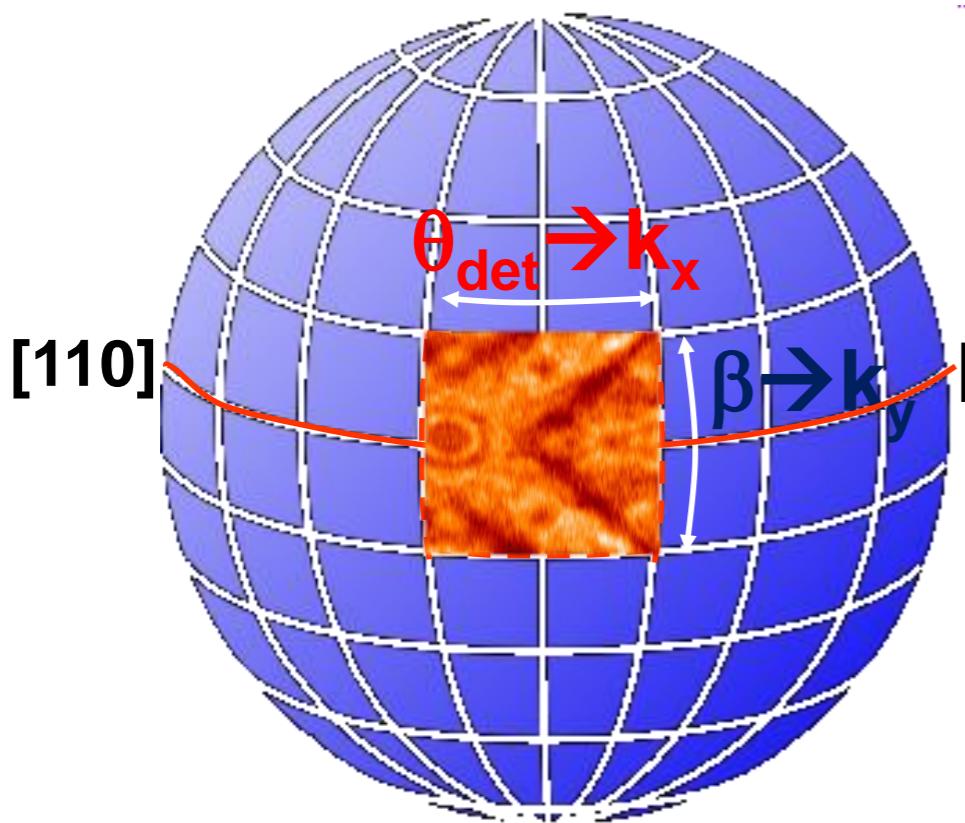


Sample: Huijben, Ramesh

Experiment: Gray, Papp, Bostwick, Rotenberg, Ueda, Yamashita, Kobayashi

Theory: Minar, Braun, Ebert, Plucinski, Yang

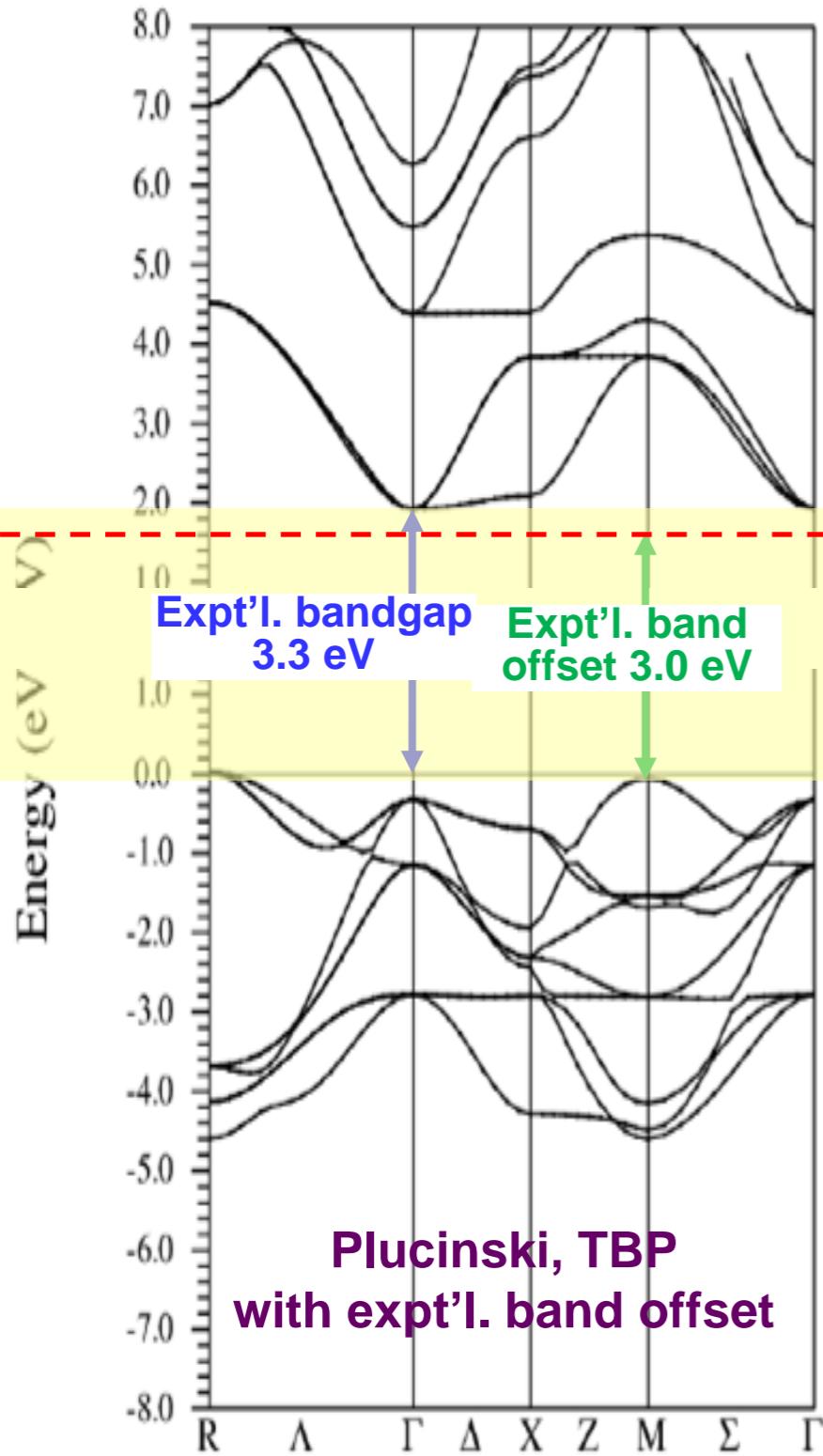
# STO/LSMO ARPES in k-space: 833 eV, 20K



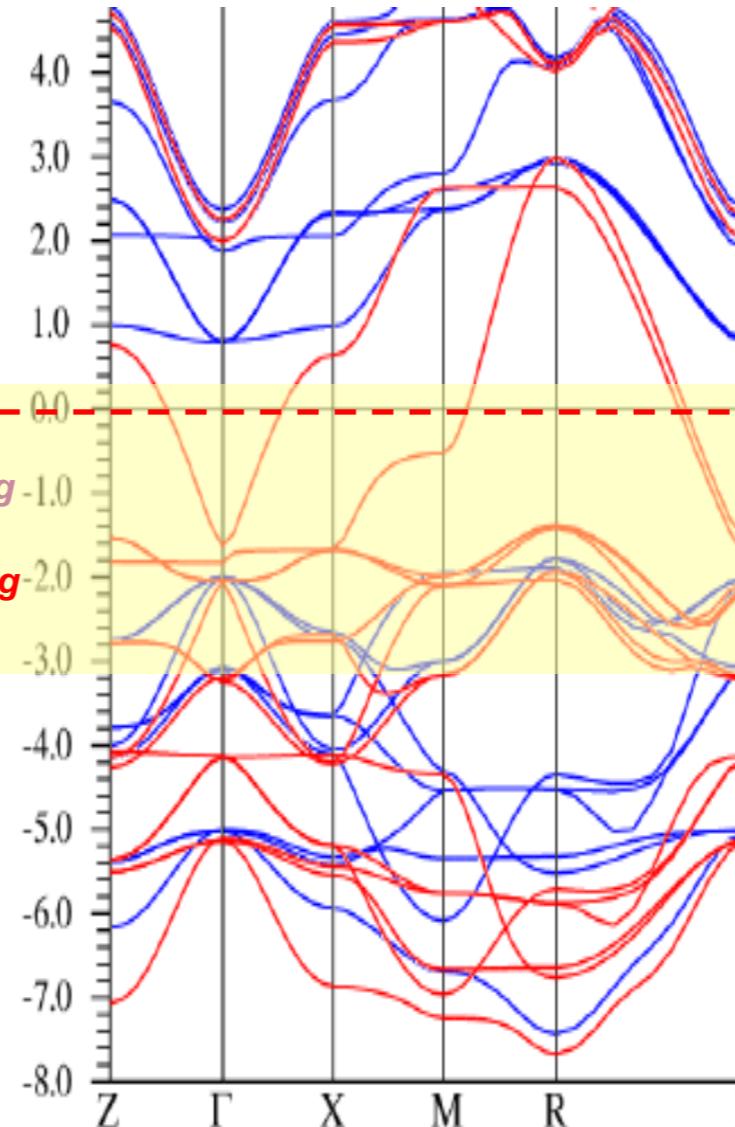
→ Depth-resolved/interface band structure?

# SrTiO<sub>3</sub> and La<sub>0.67</sub>Sr<sub>0.33</sub>MnO<sub>3</sub> band structures and DOS

## SrTiO<sub>3</sub>-band insulator

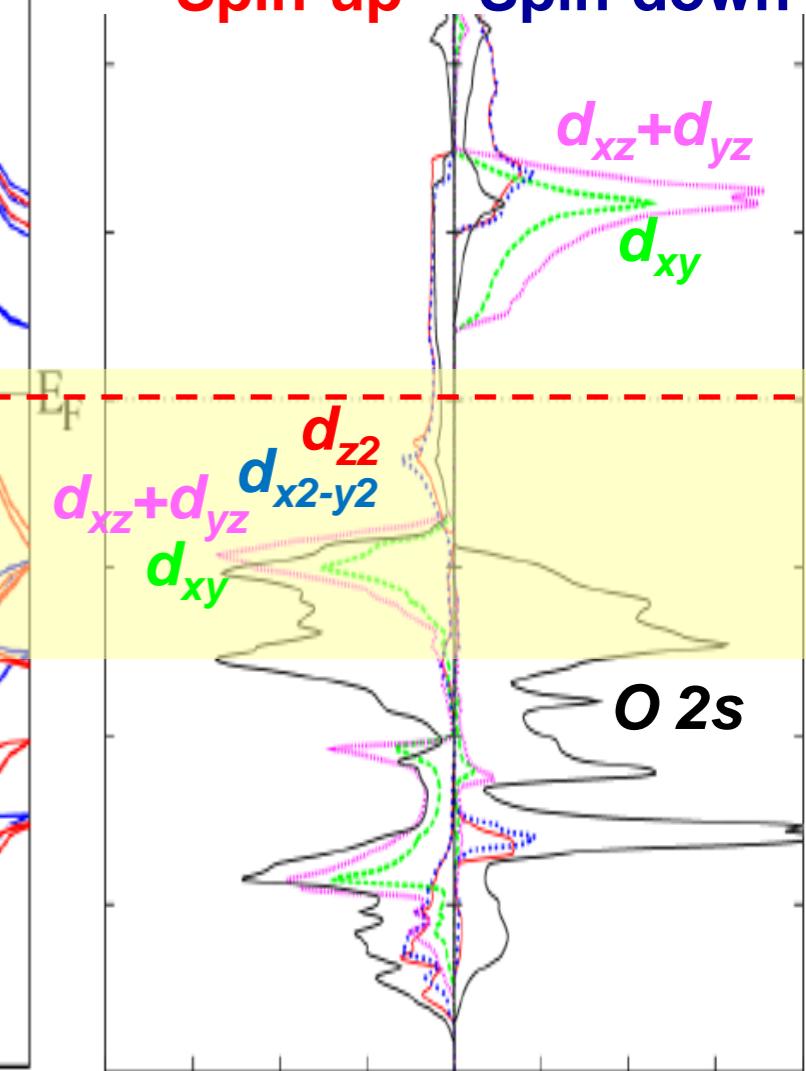


## La<sub>0.67</sub>Sr<sub>0.33</sub>MnO<sub>3</sub>- Half-Metallic Ferromagnet



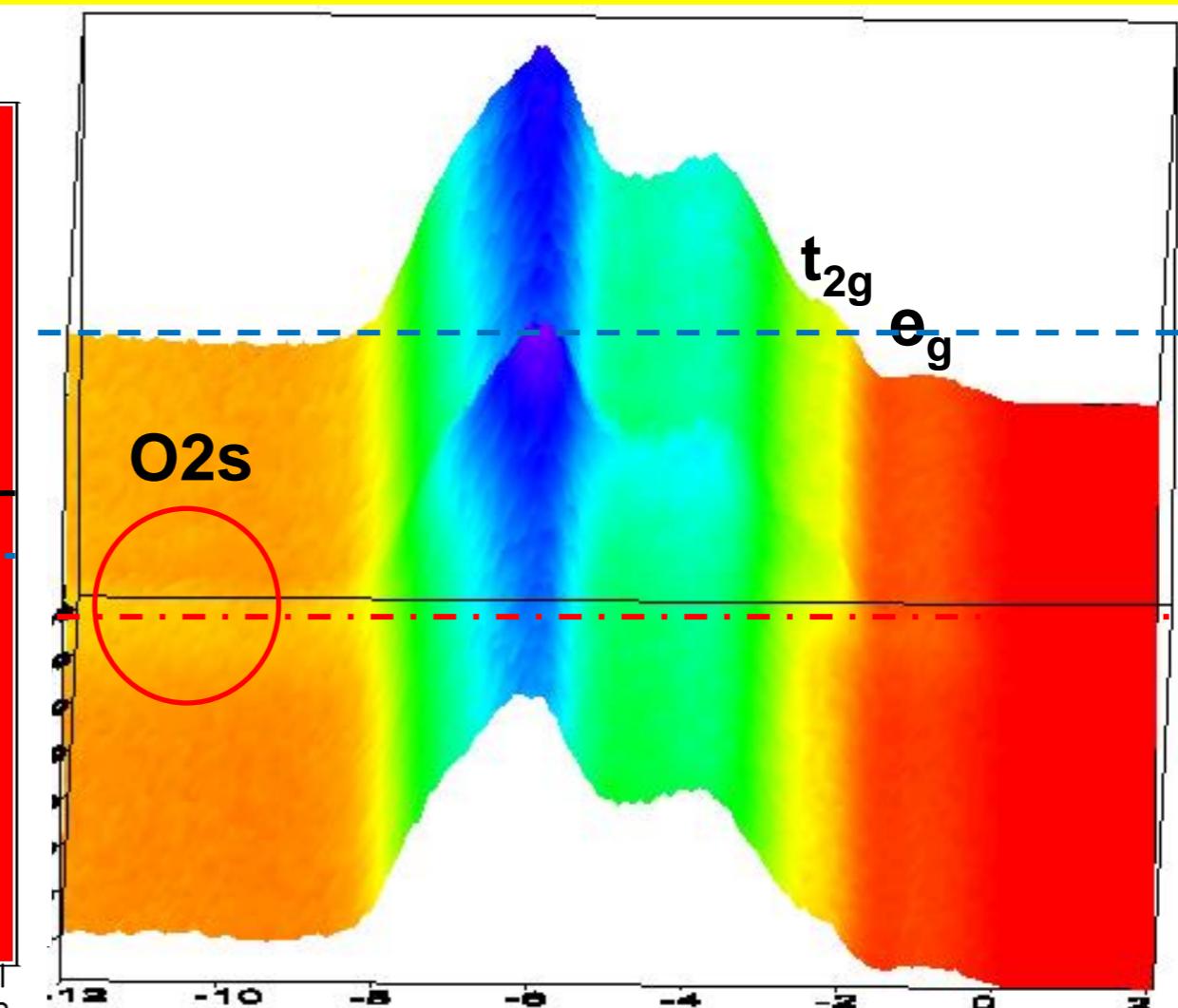
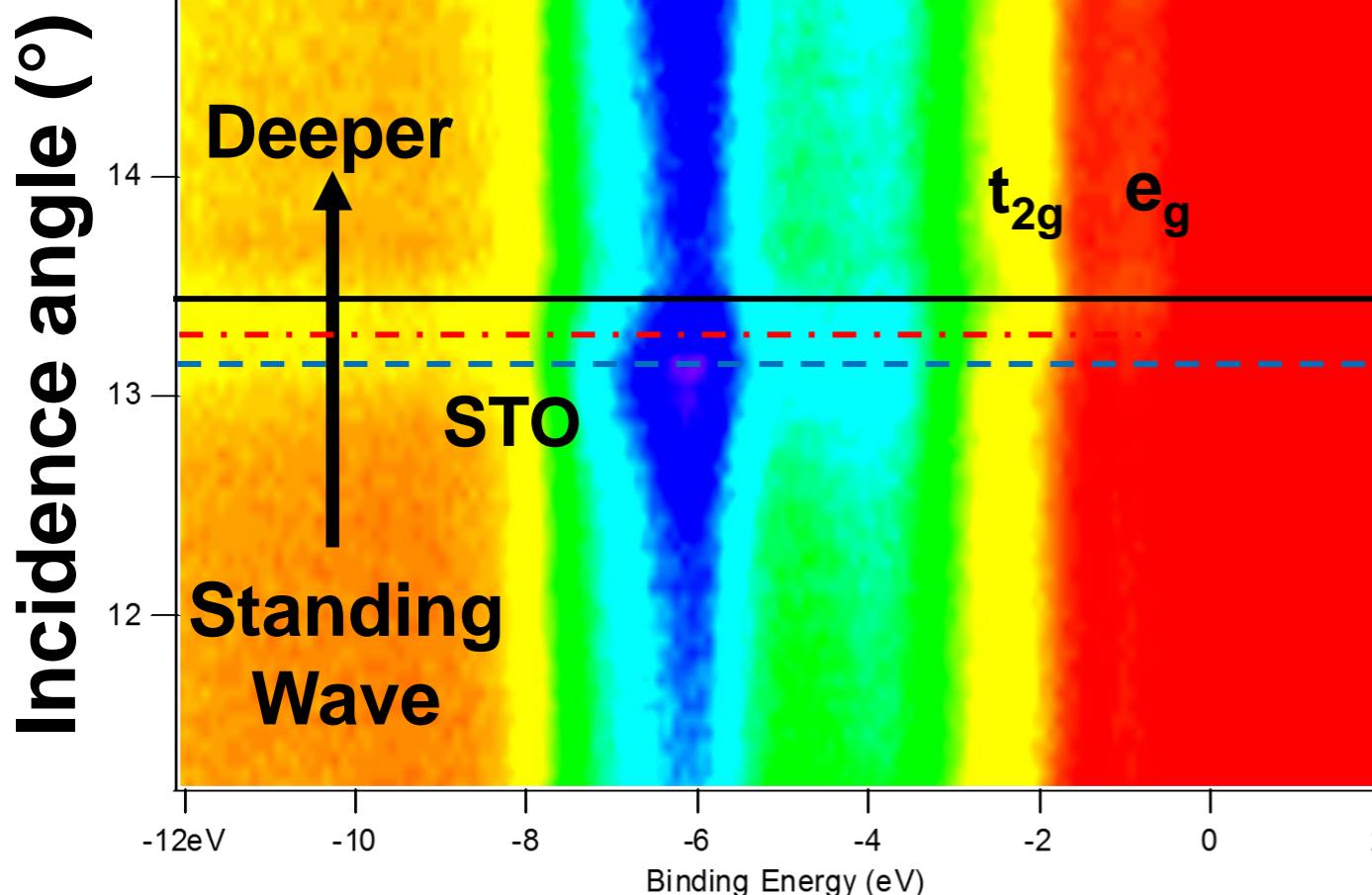
Chikamatsu et al.,  
PRB 73, 195105 (2006);  
Plucinski, TBP

## Projected DOSs Spin-up Spin-down

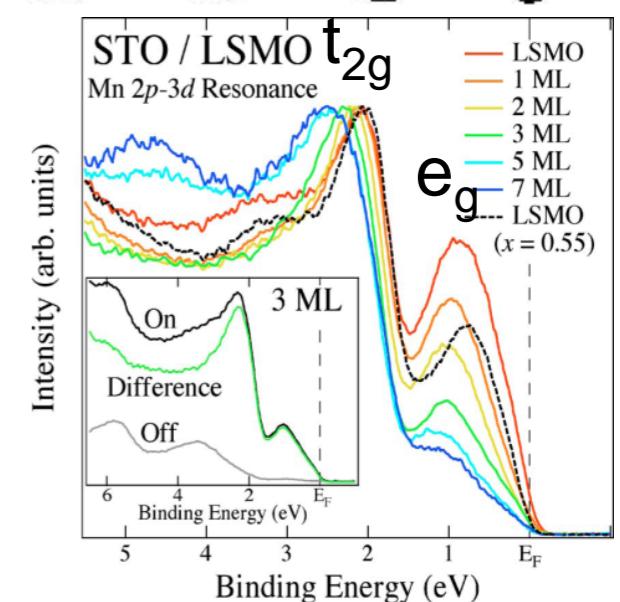
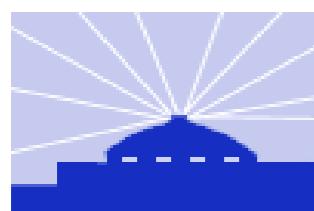


Zheng, Binggeli, J. Phys.  
Cond. Matt. 21, 115602 (2009)  
Plucinski, TBP

**STO/LSMO-Standing wave/rocking curves of valence region: 833 eV, 300K**  
**Debye-Waller  $\approx 0.013 \rightarrow$  DOS limit**



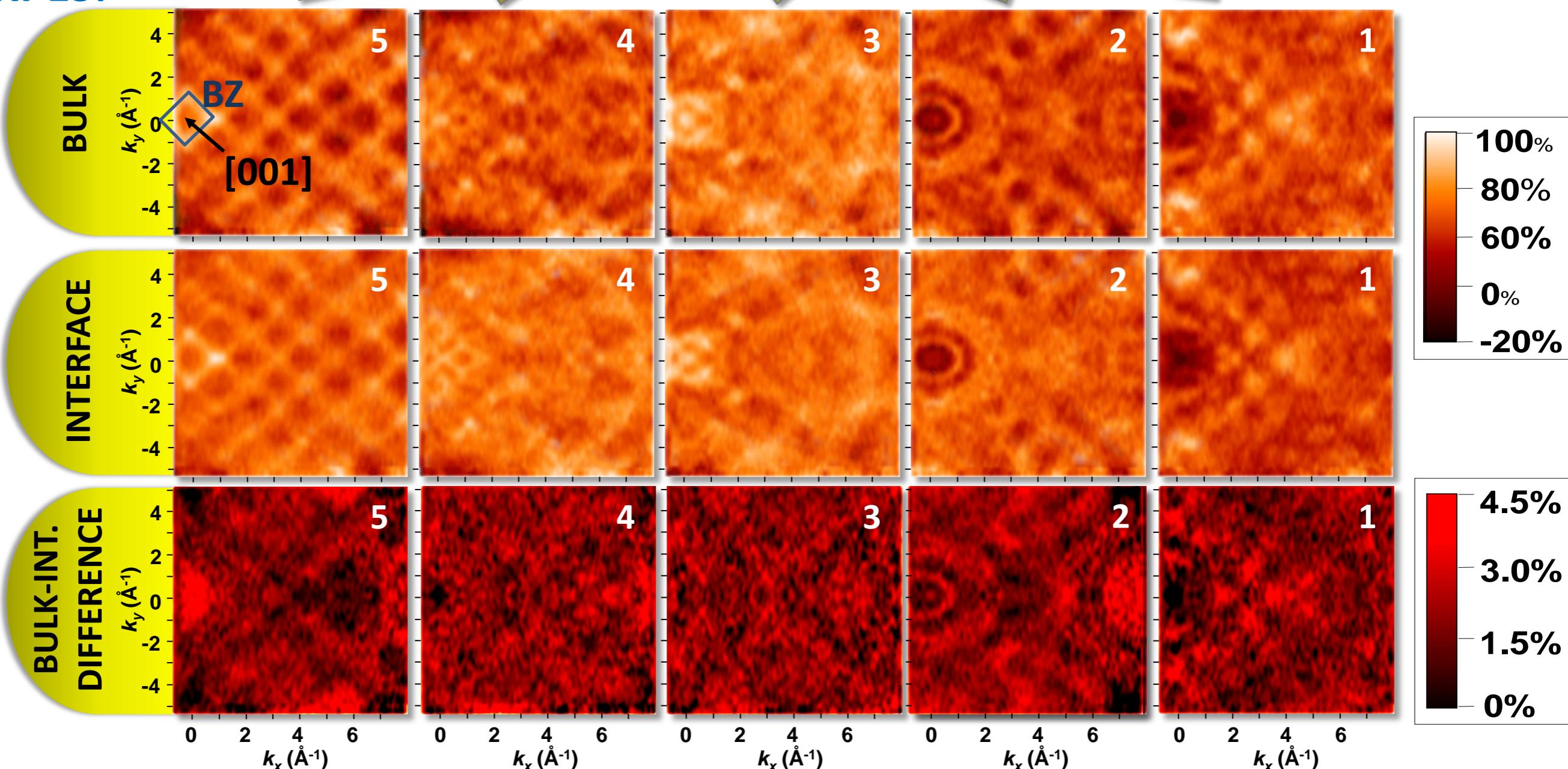
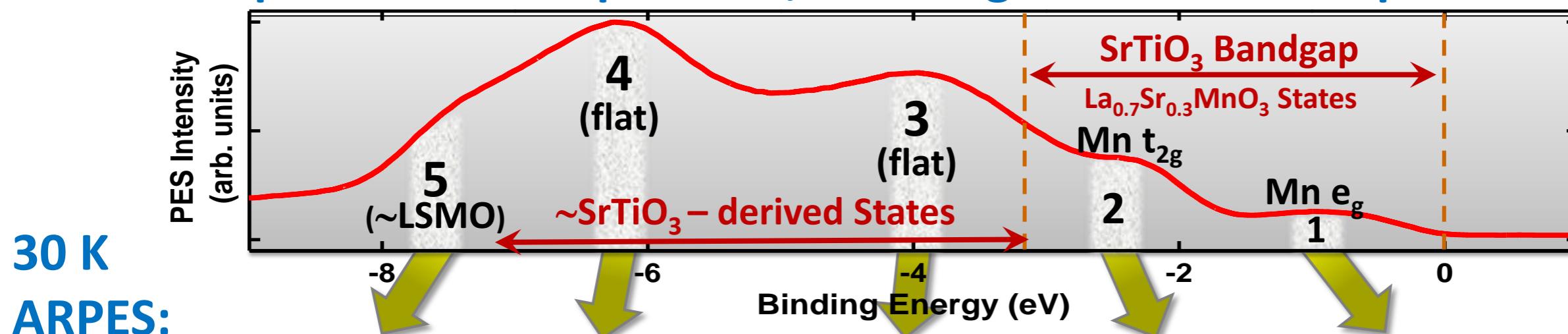
**A. Gray et al., Phys. Rev. B 82, 205116 (2010)**



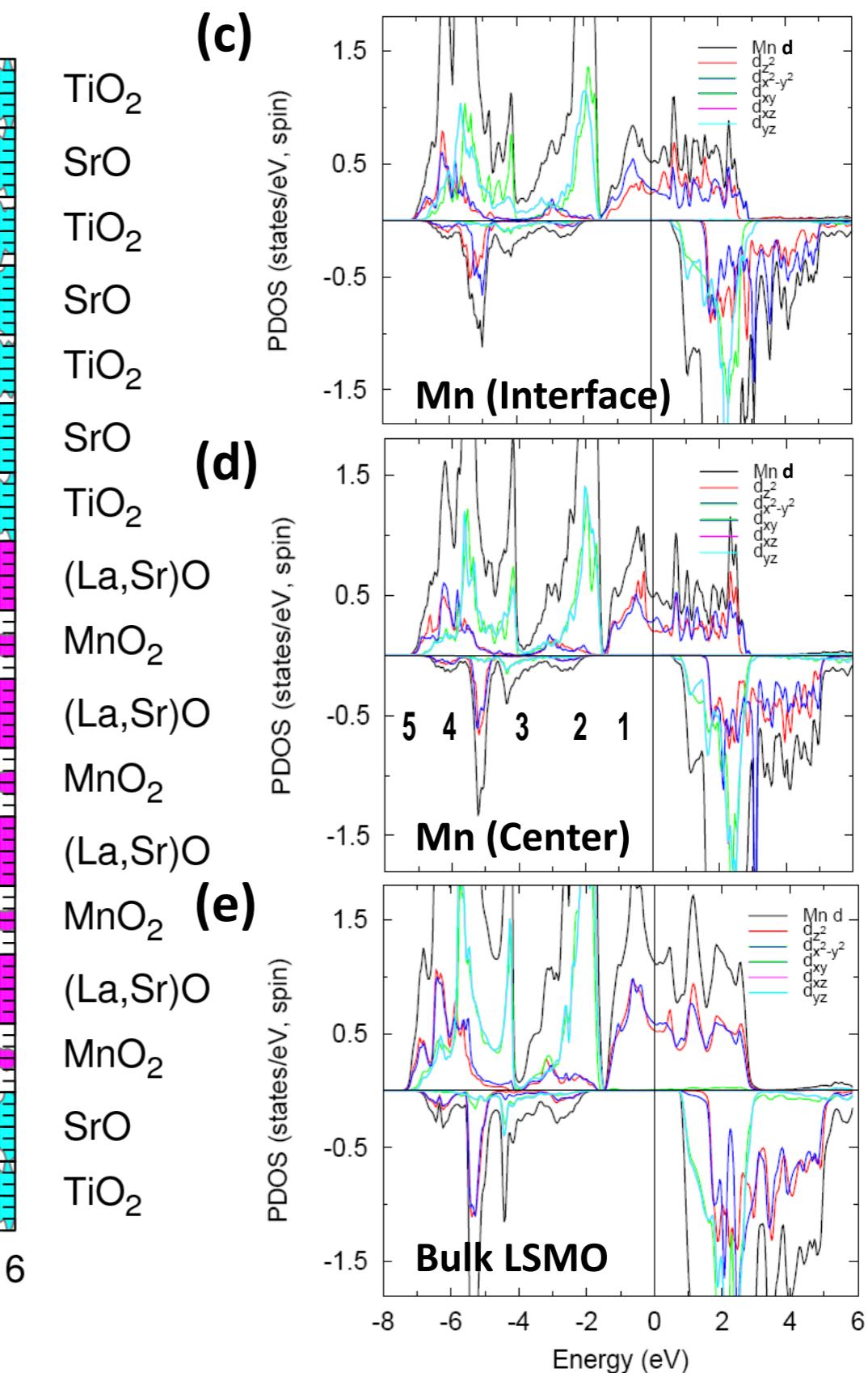
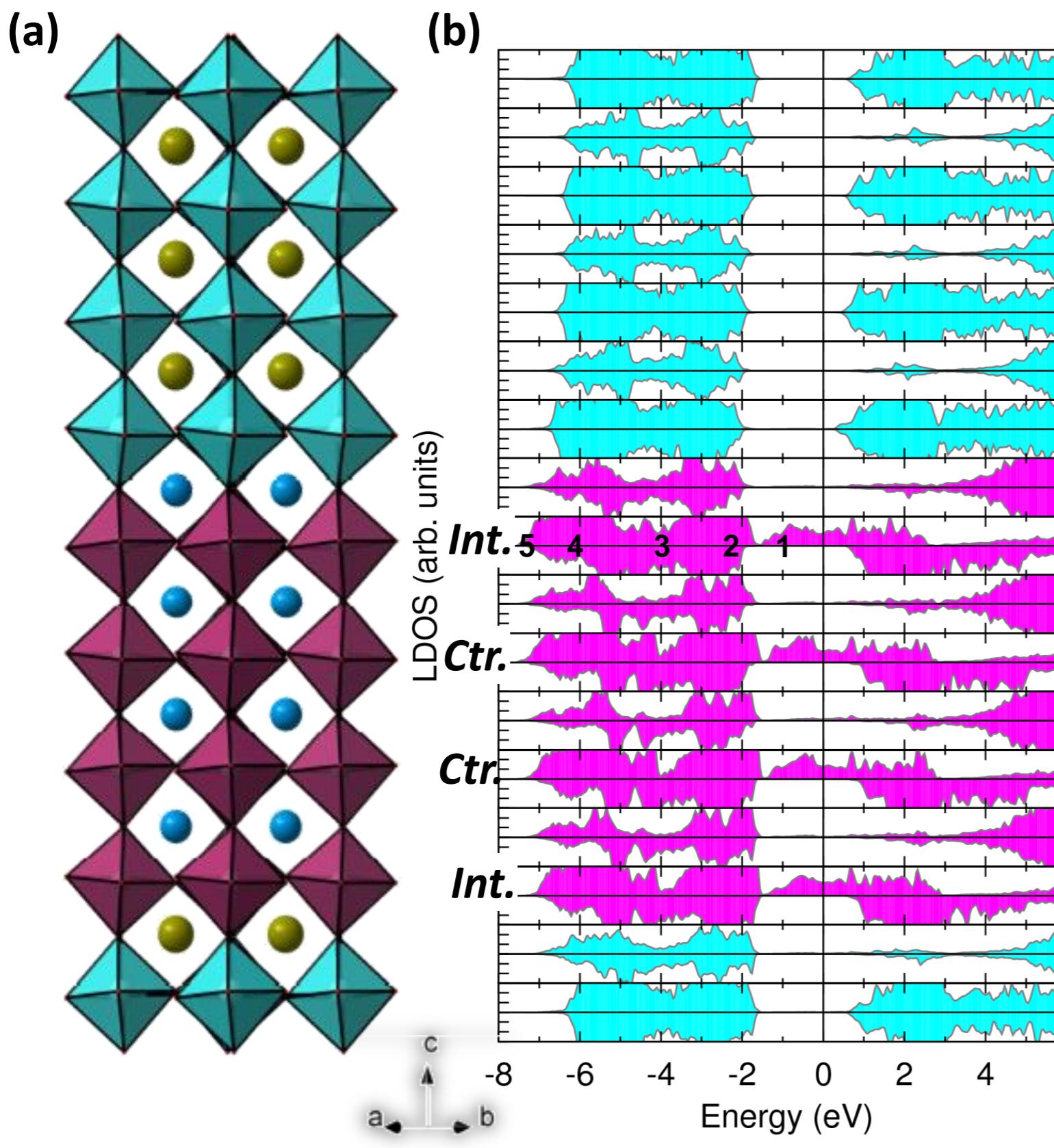
Prior resonant PS: Fujimori et al., J.A.P 99, 08S903 (2006)

# STO/LSMO Depth-resolved ARPES: $h\nu=833$ eV, RT (DW = 0.13) and 30K (DW = 0.75)

## Room-Temperature DOS Spectrum, Standing-wave LSMO emphasis:



# STO/LSMO- Full-multilayer all-electron full-potential APW calculations using LDA/GGA+U (Wien2k) of density of states

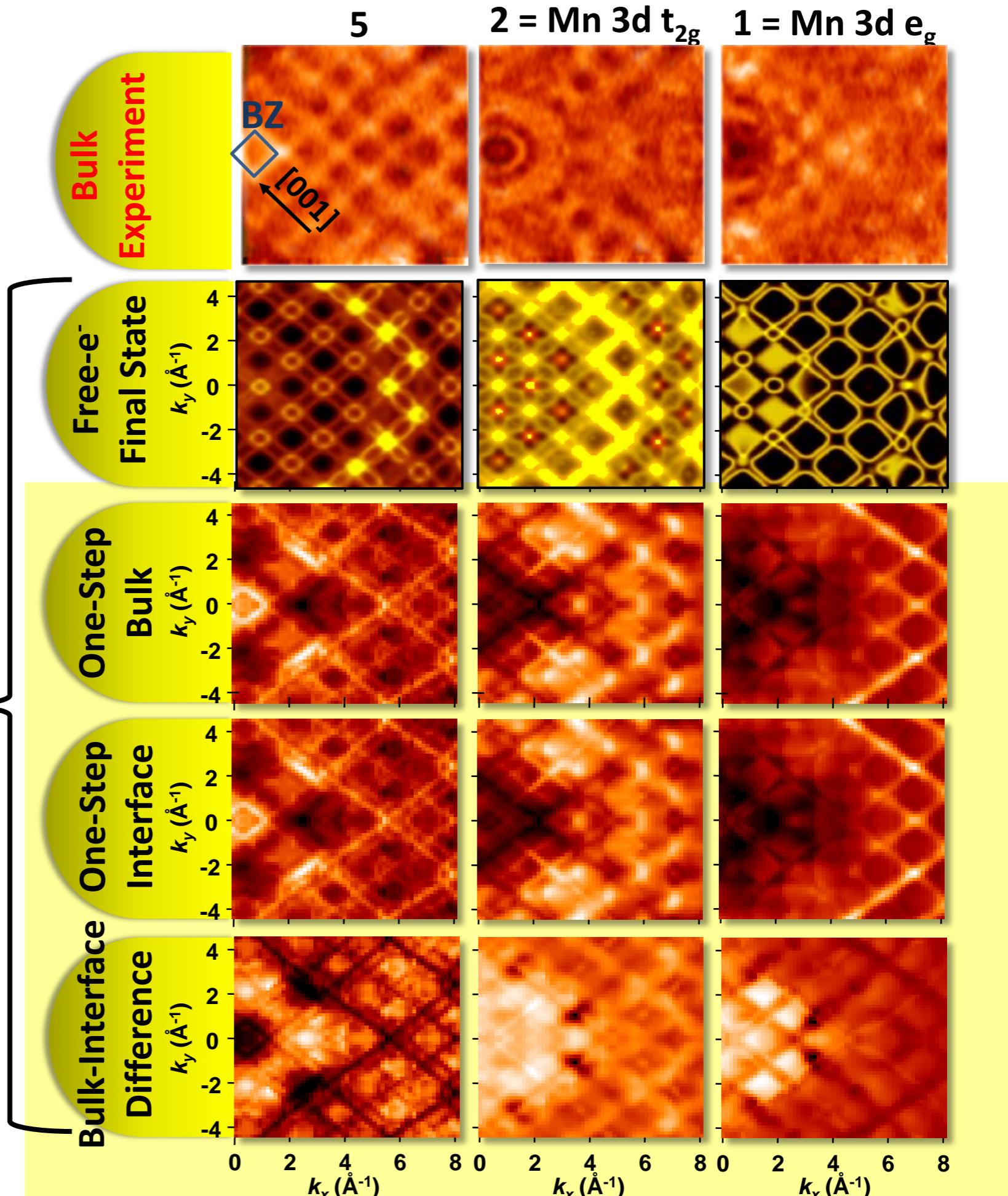


**First test case:  
STO/LSMO  
Depth-resolved  
ARPES:  $h\nu=833$  eV,  
20K- Expt. vs Theory**

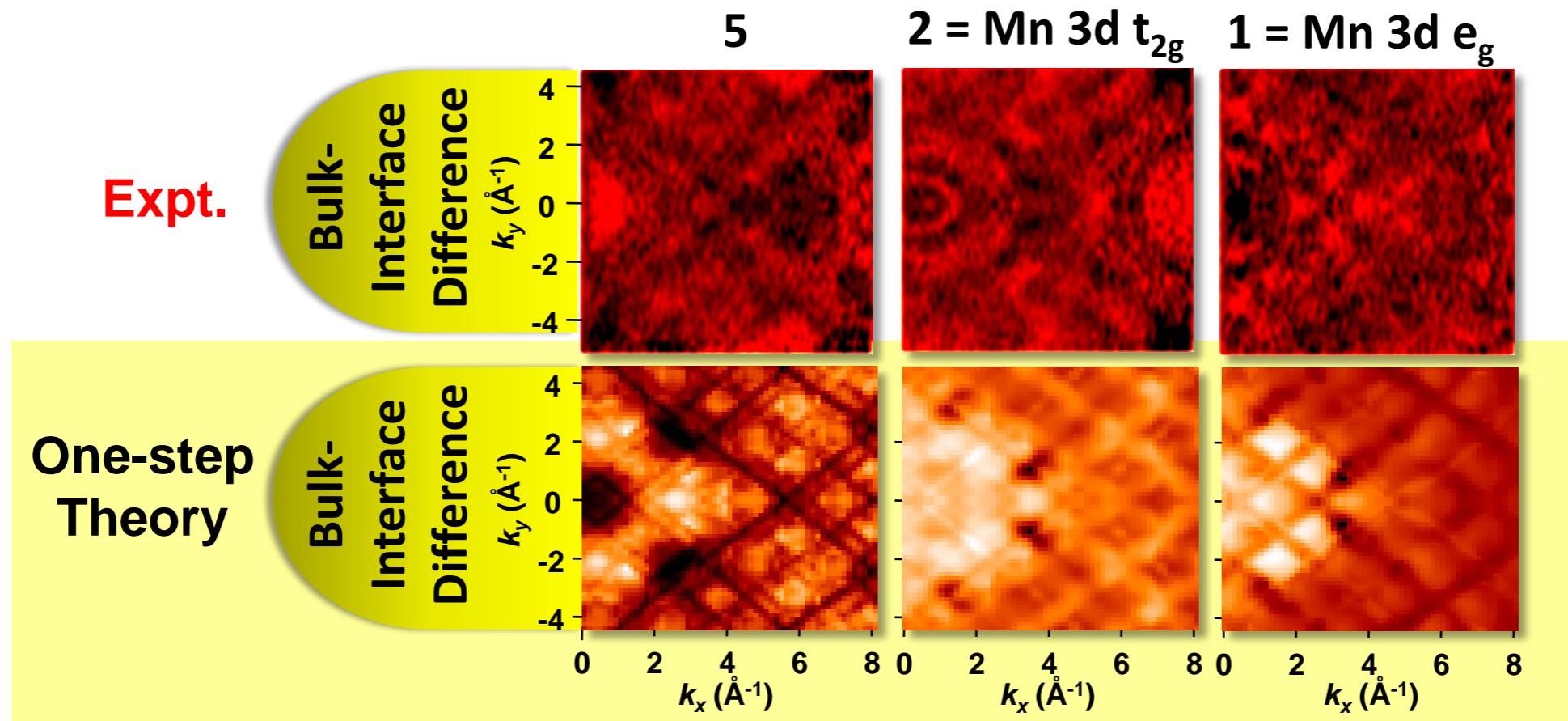
**Theory:**  
Ground-state band  
structure  $\rightarrow$  k-conserving  
free-e<sup>-</sup> final state  
Plucinski

**Theory:**  
One-step, t-reversed  
LEED, spin-polarized  
relativistic KKR,  
Minar, Braun, Ebert

Gray et al., Phys. Rev. B  
82, 205116 (2010), and  
TBP



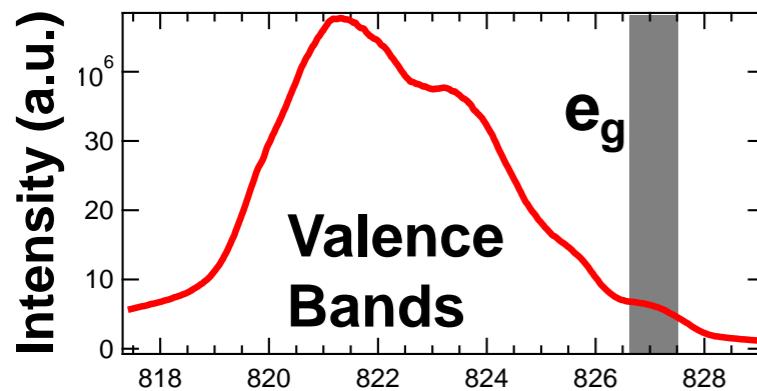
**First test case: STO/LSMO**  
**Depth-resolved ARPES:  $h\nu=833$  eV, 20K-Expt. vs Theory**



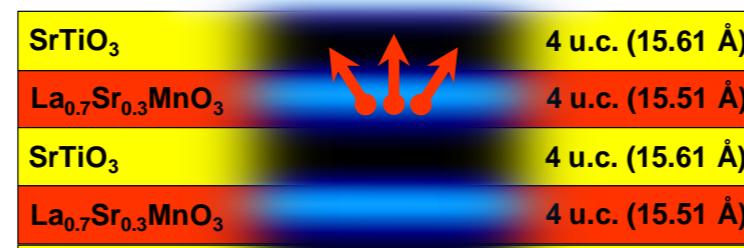
**Further calculations in progress with relaxed atomic positions and multilayer roughness at interface (Pentcheva)**  
**Gray et al., Phys. Rev. B 82, 205116 (2010), and TBP**

# [SrTiO<sub>3</sub>/La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub>]<sub>120</sub> Variable-Polarization SWARPES

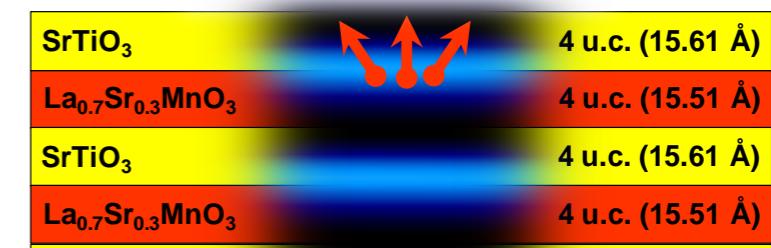
$h\nu = 833.2$  eV



Bulk LSMO Geometry

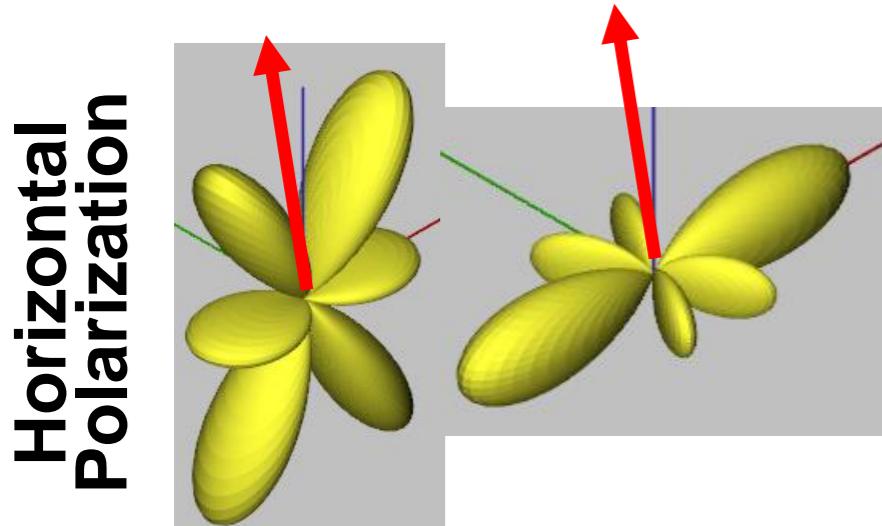
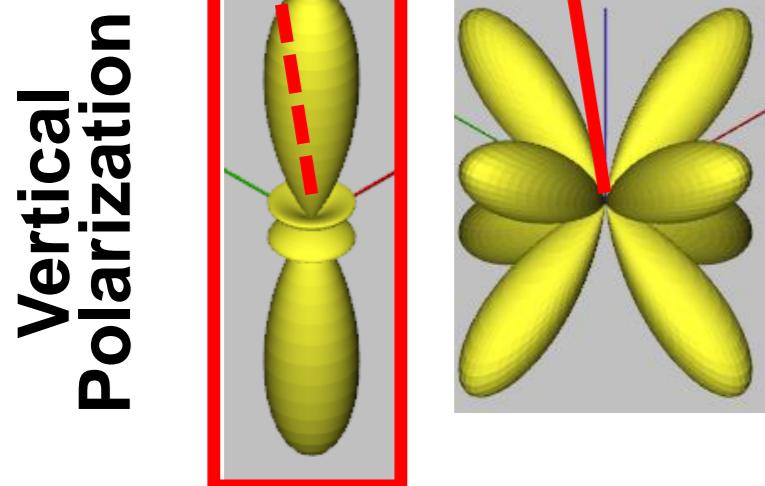


Interface LSMO Geometry

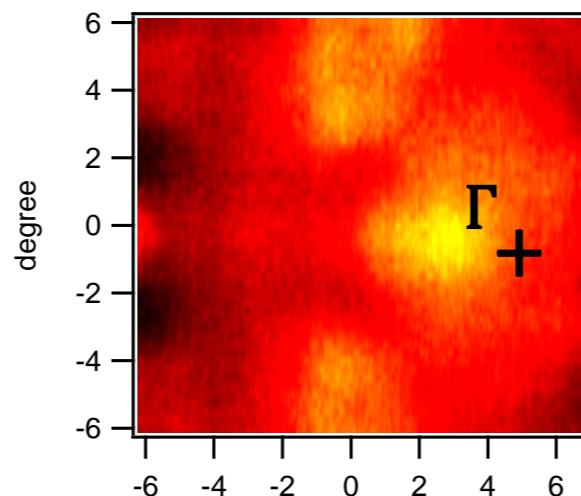


$d\sigma/d\Omega$   
atomic  
Kinetic Energy (ev)

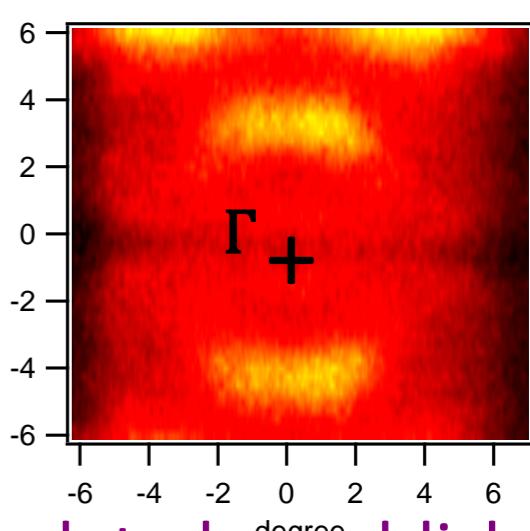
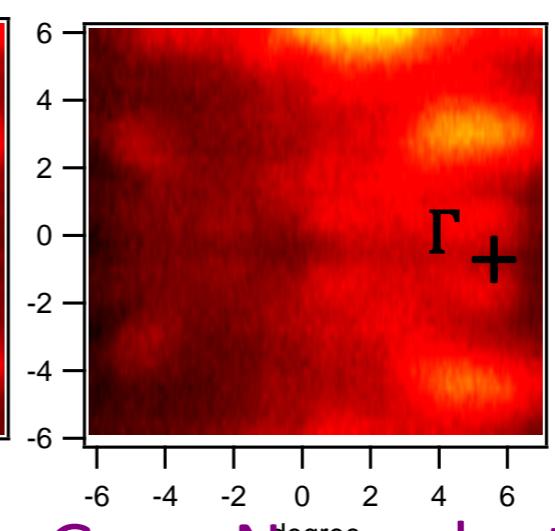
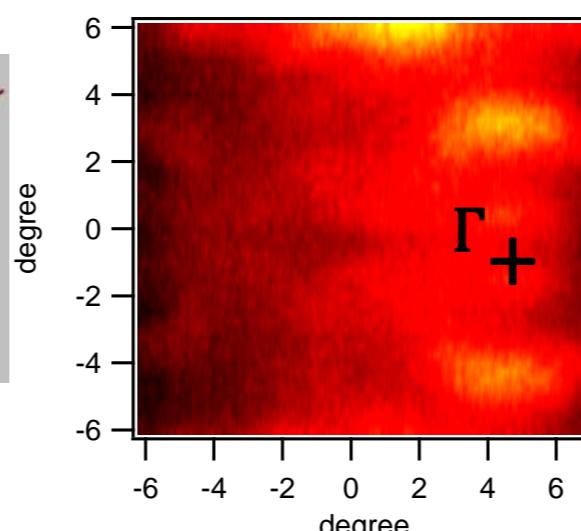
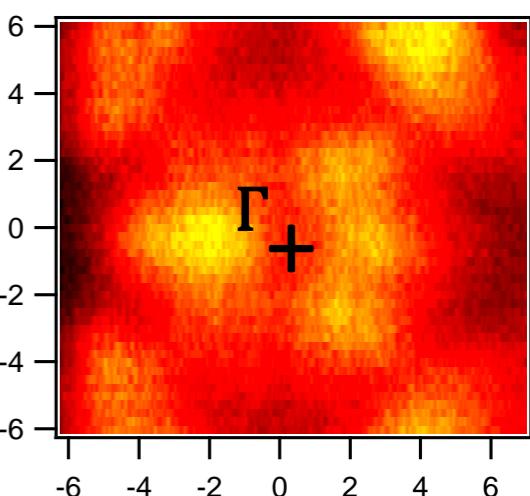
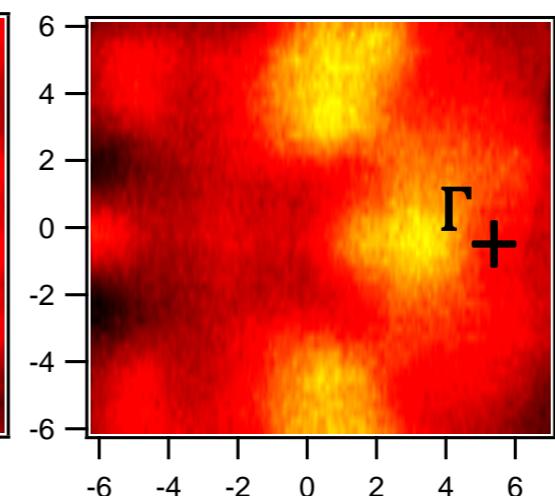
$z^2$        $x^2-y^2$



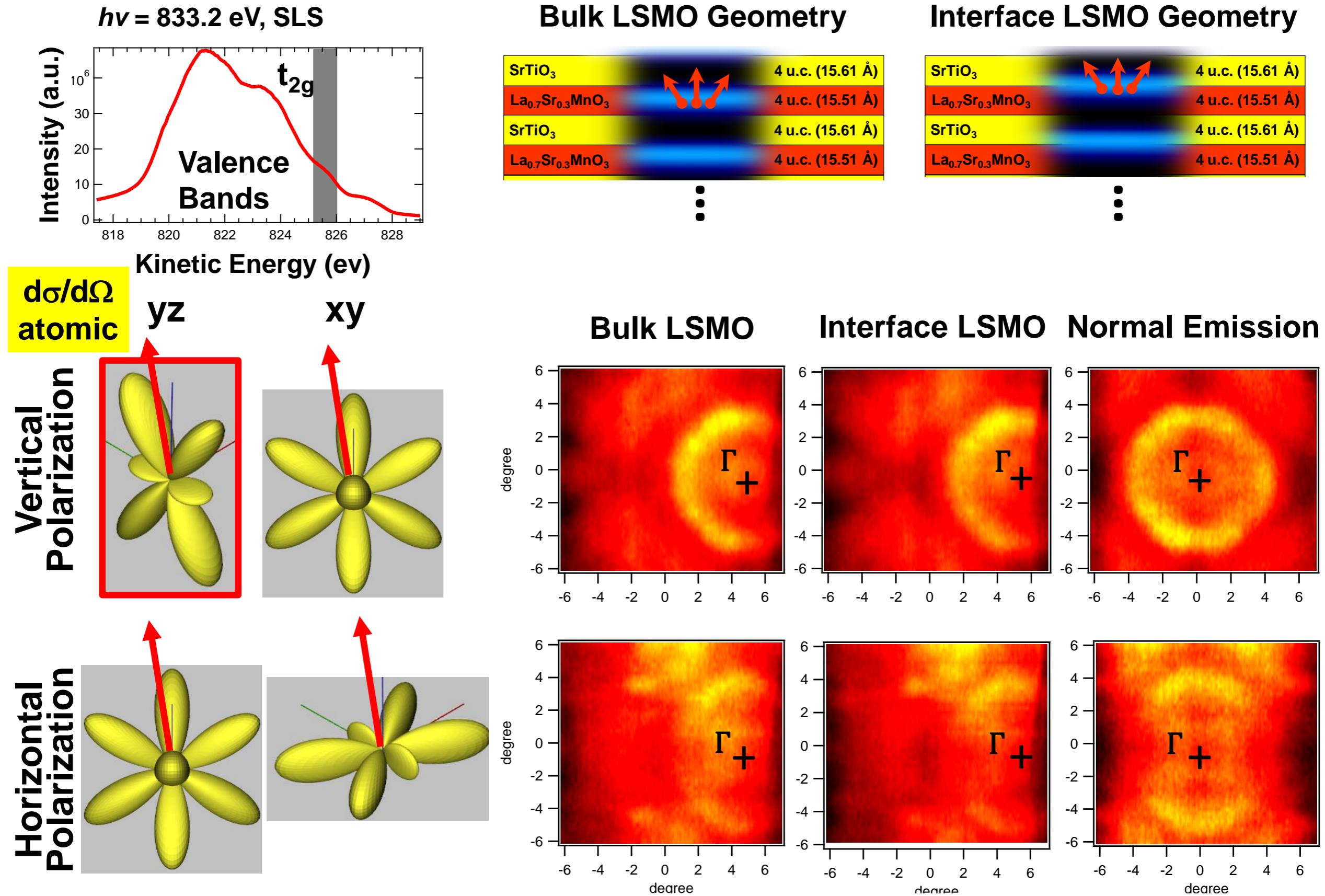
Bulk LSMO



Interface LSMO Normal Emission



# [SrTiO<sub>3</sub>/La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub>]<sub>120</sub> Variable-Polarization SWARPES



## Summary

- Hard x-ray excitation to 5-10 keV permits probing to depths of ~100 Å: bulk properties and buried interfaces
- Hard x-ray photoemission (HAXPES, HXPS) is rapidly growing worldwide, with recent overviews at:
  - C.F., Nuclear Instr. & Meth. A 547, 24-41 (2005); 601, 8 (2009);  
J. Elect. Spect. 178–179, 2 (2010) and to appear;
  - K. Kobayashi, Nuclear Inst. & Meth. A 601, 32 (2009);
  - L. Kover, J. Elect. Spect. 241, 178-179, (2010)
  - Hamburg HAXPES Conf.- <http://haxpes2011.desy.de>
- HARPEs is possible in the multi-keV regime: W, GaAs
- One-step theory of ARPES (Ebert et al.) used for quantitative interpretation, including phonon effects via CPA
- HARPEs of GaAs and GaMnAs permits determining nature of Mn perturbation of the electronic structure
- Combining soft and hard x-ray excitation, standing waves, and ARPES (SWARPES) yields depth-resolved composition, optical properties, and electronic structure for  $\text{SrTiO}_3/\text{La}_{0.7}\text{Sr}_{0.3}\text{MnO}_3$  multilayer, wider application to other multilayer systems (see also talk by Strocov)
- SARPES and HARPEs emerging as new more bulk-sensitive probes of electronic structure (see also Strocov talk)

CF, Synchrotron Radiation News 25, 26 (2012)